

Final Screening Assessment for

***Bacillus amyloliquefaciens* 13563-0**

***Bacillus atrophaeus* 18250-7**

***Bacillus licheniformis* ATCC 12713**

***Bacillus subtilis* ATCC 6051A (=ATCC 6051a)**

***Bacillus subtilis* ATCC 55405**

***Bacillus subtilis* subspecies *subtilis* ATCC 6051**

***Bacillus subtilis* subspecies *inaquosorum* ATCC
55406**

***Bacillus* species 16970-5**

***Bacillus* species 2 18118-1**

***Bacillus* species 4 18121-4**

***Bacillus* species 7 18129-3**

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Synopsis

Pursuant to paragraph 74(b) of the *Canadian Environmental Protection Act, 1999* (CEPA 1999), the Minister of the Environment and the Minister of Health have conducted a screening assessment of the following living organism strains that are listed on the DSL:

- *Bacillus amyloliquefaciens* 13563-0
- *Bacillus atrophaeus* 18250-7
- *Bacillus licheniformis* ATCC 12713
- *Bacillus subtilis* ATCC 6051A (also referred to as *Bacillus subtilis* ATCC 6051a)
- *Bacillus subtilis* ATCC 55405
- *Bacillus subtilis* subspecies *subtilis* ATCC 6051 (= type strain)
- *Bacillus subtilis* subspecies *inaquosorum* ATCC 55406
- *Bacillus* species 16970-5
- *Bacillus* species 2 18118-1
- *Bacillus* species 4 18121-4
- *Bacillus* species 7 18129-3

For the purposes of this assessment, the DSL micro-organisms listed above will collectively be referred to as the 'DSL *Bacillus licheniformis/subtilis* group' (*B. licheniformis/subtilis* group). The term '*Bacillus subtilis* complex' will denote information that is not specific to these DSL strains, but relates to the broader group of species that includes the DSL strains.

Under the *Masked Name Regulations* pursuant to section 113 of CEPA 1999, Environment Canada assigned masked names and accession numbers to *Bacillus* species 16970-5, *Bacillus* species 2 18118-1, *Bacillus* species 4 18121-4 and *Bacillus* species 7 18129-3 in place of these organisms' explicit biological names, which are considered confidential and must not be publicly disclosed.

Members of the broader *Bacillus Subtilis* (*B. subtilis*) complex have the ability to adapt to and thrive in many terrestrial and aquatic habitats. They may be contaminants in food and aviation fuel and transient members of the bowel microflora. Some members of the *B. subtilis* complex are used in the fermentation of foods. They form endospores that permit survival in sub-optimal environmental conditions. Numerous physiological variants exist in nature, indicating that members of this complex establish successfully in nearly every environment. Various characteristics of the DSL *B. licheniformis/subtilis* group make them suitable for use as active ingredients in commercial and consumer products.

Certain strains of *Bacillus licheniformis* (*B. licheniformis*) can cause bovine, porcine and ovine abortion as well as mastitis in cattle, but the overall impact of *B. licheniformis* disease in livestock is low. Members of the DSL

B. licheniformis/subtilis group are susceptible to veterinary antibiotics so that in the case of livestock infection, effective treatment options are available. Negative effects in aquatic and terrestrial invertebrates exposed to strains of *B. subtilis* and *B. licheniformis* have been reported. One report implicated an isolate of *B. licheniformis* as the causative agent of pistachio dieback. *B. subtilis* complex strains also have antimicrobial properties, and can promote growth in both plants and animals.

Certain members of the *B. subtilis* complex are occasionally reported to cause disease in susceptible humans, including those with debilitating disease or compromised immunity, young infants and the elderly, but do so rarely in the general population. Some produce extracellular enzymes and toxins that could cause food poisoning. In laboratory analyses done by scientists at Health Canada, the DSL *B. licheniformis/subtilis* group strains did not produce these food poisoning toxins.

This assessment considers the aforementioned characteristics of these strains with respect to environmental and human health effects associated with product use and industrial processes subject to CEPA 1999, including releases to the environment through waste streams and incidental human exposure through environmental media. To update information about current uses, the Government launched a mandatory information-gathering survey under section 71 of CEPA 1999, as published in the *Canada Gazette*, Part I, on October 3, 2009 (section 71 notice). Information submitted in response to the section 71 notice indicates that the DSL *B. licheniformis/subtilis* group strains were used in biodegradation and bioremediation; products for surface and drain cleaning, degreasing and deodorizing; enzyme and chemical production; waste and wastewater treatment.

Considering all available lines of evidence presented in the Screening Assessment, there is low risk of harm to organisms and the broader integrity of the environment from the DSL *B. licheniformis/subtilis* group strains. It is concluded that *Bacillus amyloliquefaciens* 13563-0, *Bacillus atrophaeus* 18250-7, *Bacillus licheniformis* ATCC 12713, *Bacillus subtilis* ATCC 6051A, *Bacillus subtilis* ATCC 55405, *Bacillus subtilis* subsp. *subtilis* ATCC 6051, *Bacillus subtilis* subsp. *inaquosorum* ATCC 55406, *Bacillus* species 16970-5, *Bacillus* species 2 18118-1, *Bacillus* species 4 18121-4 or *Bacillus* species 7 18129-3 do not meet the criteria under paragraphs 64(a) or (b) of CEPA 1999, as they are not entering

the environment in a quantity or concentration or under conditions that have or may have an immediate or long-term harmful effect on the environment or its biological diversity or that constitute or may constitute a danger to the environment on which life depends.

Also, based on the information presented in the Screening Assessment, it is concluded that *Bacillus amyloliquefaciens* 13563-0, *Bacillus atrophaeus* 18250-7, *Bacillus licheniformis* ATCC 12713, *Bacillus subtilis* ATCC 6051A, *Bacillus subtilis* ATCC 55405, *Bacillus subtilis* subsp. *subtilis* ATCC 6051, *Bacillus subtilis* subsp. *inaquosorum* ATCC 55406, *Bacillus* species 16970-5, *Bacillus* species 2 18118-1, *Bacillus* species 4 18121-4 or *Bacillus* species 7 18129-3 do not meet the criteria under paragraph 64(c) of CEPA 1999, as they are not entering the environment in a quantity or concentration or under conditions that constitute or may constitute a danger in Canada to human life or health.

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Introduction

Pursuant to paragraph 74(b) of the *Canadian Environmental Protection Act, 1999* (CEPA 1999), the Minister of the Environment and the Minister of Health are required to conduct screening assessments of living organisms listed on the DSL that were in commerce between 1984 and 1986, to determine whether they present or may present a risk to the environment or human health (according to criteria as set out in section 64 of CEPA 1999)¹. These strains were added to the DSL under section 105(1) of CEPA 1999 because they were manufactured in or imported into Canada between January 1, 1984, and December 31, 1986 and they entered or were released into the environment without being subject to conditions under CEPA 1999 or any other federal or provincial legislation.

This Screening Assessment considers hazard information obtained from the public domain as well as from unpublished research data and comments from researchers in related fields. Exposure information was obtained from the public domain and from a mandatory CEPA 1999 section 71 Notice published in the *Canada Gazette*, Part I, on October 3, 2009. Further details on the risk assessment methodology used are available in the Risk Assessment Framework document "[Framework on the Science-Based Risk Assessment of Micro-organisms under the Canadian Environmental Protection Act, 1999](#)" (Environment Canada and Health Canada 2011).

In this report, data that are specific to the DSL *Bacillus licheniformis/subtilis* group strains are identified as such and includes information from the Nominators, the American Type Culture Collection (ATCC), and unpublished data generated by Environment Canada² and Health Canada³ research scientists. Where strain-specific data were not available, surrogate information from literature searches was used. When applicable, literature searches conducted on the organism included its synonyms, and common and superseded names. Surrogate organisms are identified in each case to the taxonomic level provided by the source. Literature searches were conducted using scientific literature databases (SCOPUS, CAB Abstracts and Google Scholar), web searches, and key search terms for the identification of human health and environmental hazards of each of the DSL strains assessed in this report. Information identified as of May 2014 was considered for inclusion in this report.

¹ A determination of whether one or more of the criteria of section 64 of CEPA 1999 are met is based upon an assessment of potential risks to the environment and/or to human health associated with exposure in the general environment. For humans, this includes, but is not limited to, exposure from air, water and the use of products containing the substances. A conclusion under CEPA 1999, on DSL *Bacillus licheniformis/subtilis* group strains, is not relevant to, nor does it preclude, an assessment against the hazard criteria for Workplace Hazardous Materials Information System (WHMIS) that are specified in the Controlled Products Regulations for products intended for workplace use.

² Testing conducted by Environment Canada's Biological Methods Division

³ Testing conducted by Health Canada's Environmental Health Science and Research Bureau

Decisions from Domestic and International Jurisdictions

Domestic

The members of the DSL *B. licheniformis/subtilis* group are recognized as Risk Group 1 micro-organisms by the Public Health Agency of Canada (PHAC) and by the Canadian Food Inspection Agency (CFIA).

Strains of *B. subtilis* have been approved in Canada for the production of enzymes used in food. Fermentation extracts from strains of *B. subtilis* are accepted as a feed ingredient under the Feeds Regulations, as long as they are free from antimicrobial activity and are not a source of viable microbial cells. The DSL *B. licheniformis/subtilis* strains have not been approved for use on the Canadian market under this Act at this time. The CFIA Fertilizer Safety Office conducted a comprehensive safety assessment of *B. subtilis* and exempted all strains from full safety data requirements (CFIA 2014). Strains isolated from the natural environment must be identified and distinguished to the strain level.

The Pest Management Regulatory Agency of Health Canada (PMRA-HC) has approved several other strains of the *B. subtilis* complex for use as biocontrol agents including *B. subtilis* var. *amyloliquefaciens* strain FZB24 (2011) (PMRA-HC 2012), *B. subtilis* strain MBI 600 (2005) (PMRA-HC 2007a; PMRA-HC 2007c), *B. subtilis* strain QST 713 (2006) (PMRA-HC 2007b) and *B. subtilis* strain GB03 (2011) (PMRA-HC 2013). An evaluation for each microbial pest control agent and end-use product determined that they did not present an unacceptable risk to human health or the environment.

International

The United States Environmental Protection Agency (U.S. EPA) assessed several strains of *B. subtilis* and *B. licheniformis* used in the production of enzymes. It was concluded that no unreasonable risks to human health or the environment were associated with the use of these strains for the production of enzymes, antibiotics or other specialty chemicals. The United States Food and Drug Administration (U.S. FDA) recognizes enzymes produced by *B. subtilis* to be generally recognized as safe (GRAS) for use in food. The U.S. EPA approved many of the same biocontrol agents registered by PMRA-HC. In addition to the strains approved for use as biofungicides in Canada, the U.S. EPA has also approved *B. amyloliquefaciens* strain D747 (U.S. EPA 2011) and *B. licheniformis* strain SB3086 (U.S. EPA 2001).

In Australia, modified *B. amyloliquefaciens*, *B. licheniformis* and *B. subtilis* were approved for use in enzyme production (ANZ 2012a; ANZ 2012b; ANZ 2013). *B. subtilis* PB6 has been applied in poultry to reduce clostridial isolates (*C. difficile* and *C. perfringens*) (ANZ 2011). Other strains are being considered for biocontrol purposes.

1. Hazard Assessment

1.1 Characterisation of the DSL strains under assessment

1.1.1 Taxonomy, identification and strain history

Taxonomic designation:

Kingdom: Bacteria

Phylum: Firmicutes

Class: Bacilli

Order: Bacillales

Family: *Bacillaceae*

Genus: *Bacillus*

Species: *Bacillus amyloliquefaciens* 13563-0

Bacillus atrophaeus 18250-7

Bacillus licheniformis ATCC 12713

Bacillus subtilis ATCC 6051A

Bacillus subtilis ATCC 55405

Bacillus subtilis subsp. *subtilis* ATCC 6051 (=type strain)

Bacillus subtilis subsp. *inaquosorum* ATCC 55406

Bacillus species 16970-5

Bacillus species 2 18118-1

Bacillus species 4 18121-4

Bacillus species 7 18129-3

Eleven strains of the '*Bacillus subtilis* species complex' that are listed on the DSL are the subject of this assessment. They will be assessed collectively as the 'DSL *Bacillus licheniformis/subtilis* group' in this report. The term '*Bacillus subtilis* complex' and the grouping of these species are supported in the literature and include the DSL strains (Sorokulova et al. 2008; De Jonghe et al. 2010). This term will be used when surrogate information is discussed. As indicated above, the names of several of the DSL *B. licheniformis/subtilis* group strains have been masked to the genus level at the request of the nominators, pursuant to the Masked Name Regulations of CEPA 1999, and may not be disclosed.

Synonyms for species of the DSL *B. licheniformis/subtilis* group were obtained from the 'List of Prokaryotic Names with Standing in the Nomenclature' (Euzéby 2013), the 'NCBI taxonomy browser' (Benson et al. 2009; Sayers et al. 2009) and the 'Catalogue of Life' (Shimura et al. 2013) unless otherwise indicated (Table 1-1).

Table 1-1: Synonyms of micro-organisms in the *B. subtilis* complex

Current Nomenclature	Synonyms
<i>B. amyloliquefaciens</i>	<i>Bacillus amyloliquifaciens</i> ^b
• subspecies <i>amyloliquefaciens</i> ^a	<i>Bacillus subtilis</i> var. <i>amyloliquefaciens</i> ^c
• subspecies <i>plantarum</i> ^a	<i>Bacillus velezensis</i> ^d

Current Nomenclature	Synonyms
<i>B. atropheus</i>	<i>Bacillus subtilis</i> var. <i>niger</i> <i>Bacillus globigii</i> <i>Bacillus niger</i>
<i>B. licheniformis</i>	<i>Denitrobacillus licheniformis</i> <i>Clostridium licheniforme</i>
<i>B. subtilis</i> <ul style="list-style-type: none"> • subsecies <i>inaquosorum</i>^e • subspecies <i>spizizenii</i> • subspecies <i>subtilis</i> • subspecies <i>virginiana</i>^f 	<i>Vibrio subtilis</i> <i>Bacillus globigii</i> <i>Bacillus uniflagellatus</i> <i>Bacillus natto</i>

^a (Borris et al. 2011)

^b (Priest et al. 1987)

^c (PMRA-HC, 2012)

^d Later heterotypic synonym of *B. amyloliquefaciens* (Wang et al. 2008)

^e Some strains were reclassified from *B. licheniformis* (Rooney et al. 2009)

^f (Zhao et al. 2011)

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42 1.1.1.1 Phenotypic identification and biochemical profile

43 *Bacillus* species are Gram positive but stain variably, with some species staining clearly
44 Gram positive in young cultures only. They have rod-shaped cells with rounded or
45 squared ends ranging from 0.5 × 1.2 to 2.5 × 10 µm in size, occurring singly or in
46 chains, and the stability of these chains determines the form of the colony, which may
47 vary from strain to strain (Logan and De Vos 2009; Rooney et al. 2009). While most
48 species within the genus are aerobic some are facultatively or strictly anaerobic (Logan
49 and De Vos 2009; Murray et al. 1995). *Bacillus* species are capable of forming spores
50 that may be cylindrical, oval, round, or kidney-shaped, placed centrally, terminally or
51 subterminally, none of which swell the sporangium (Murray et al. 1995).

52 Members of the *B. subtilis* complex can be differentiated from known human and animal
53 pathogens of the *B. cereus* group (*B. anthracis*, *B. cereus* and the insect pathogen
54 *B. thuringiensis*) by both morphological and biochemical means. Members of the
55 *B. subtilis* complex have cell diameters which measure less than 1 µm whereas
56 members of the *B. cereus* group have cell diameters which are greater than 1 µm
57 (Logan and De Vos 2009). Biochemical profiles can be used to differentiate between
58 members of the *B. subtilis* complex and the *B. cereus* group; select distinguishing
59 features are provided in Table 1-2 (Santini et al. 1995).

60 **Table 1-2: Biochemical characteristics of *B. cereus* group species compared with**
61 ***B. subtilis* complex species**

<i>Bacillus</i> species ^a	D-xylose	Mannose	Inositol	Mannitol	ONPG ^b
<i>B. anthracis</i>	- ^c	-	-	-	-
<i>B. cereus</i>	-	-	-	-	-
<i>B. thuringiensis</i>	- ^d	- ^e	N/A ^f	- ^d	N/A
<i>B. amyloliquefaciens</i>	N/A ^g	+ ^h	N/A	+ ^g	N/A
<i>B. atropheus</i>	+ ^h	+ ^h	N/A	+ ^h	N/A
<i>B. licheniformis</i>	+ ⁱ	+	+	+	+
<i>B. subtilis</i>	+ ^j	+	+	+	+

62 ^a (Santini et al. 1995)

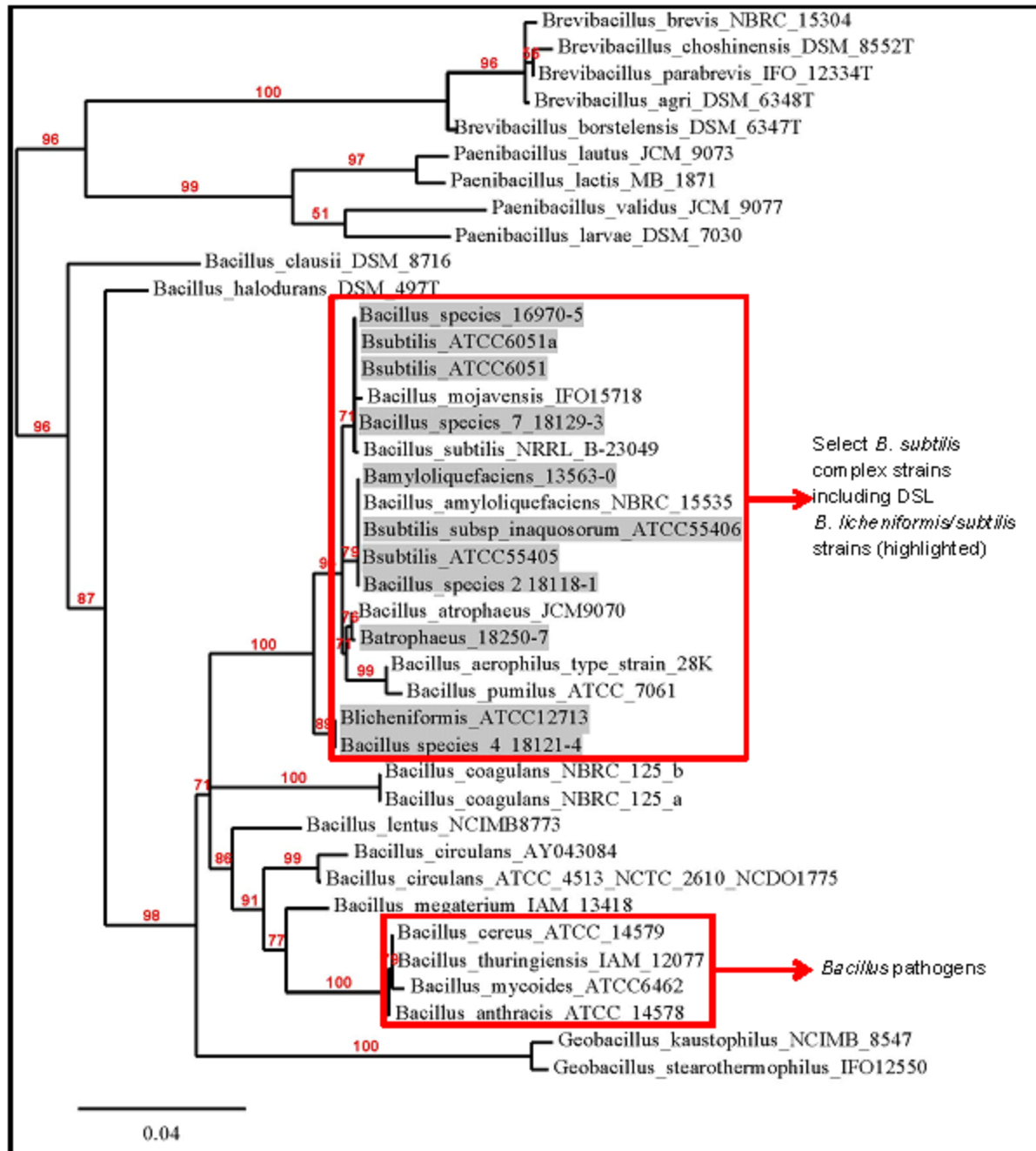
63 ^b o-nitrophenyl-β-D-galactopyranoside
64 ^c -, ≤19% positive reactions
65 ^d (Fakruddin et al. 2012)
66 ^e (Swiecicka et al. 2002)
67 ^f N/A, Not Available
68 ^g (Borriss et al. 2011)
69 ^h (Nakamura, 1989)
70 ⁱ +, ≥ 81% positive reactions
71 ^j 20-80% positive reactions

72 1.1.1.2 Molecular identification

73 The genus *Bacillus* is large, consisting of 11 phylogenetic subclusters and over 140
74 species (Logan and De Vos 2009). Using 16S rRNA gene sequencing analysis, the
75 *B. subtilis* complex can be differentiated from the *B. cereus* group due to the presence
76 of a *Hinfl* restriction site between the V4 and V5 region in the *B. subtilis* complex
77 (Jeyaram et al. 2011). Figure 1-1 describes the phylogenetic relationships of *Bacillus*
78 species and closely related genera based on the alignment of 16S ribosomal RNA gene
79 sequences generated by Health Canada scientists and publicly available sequences.
80 This figure clearly demonstrates that species of the *B. subtilis* complex cluster together
81 and apart from known pathogens of the *Bacillus* genus, particularly those of the
82 *B. cereus* group.

83 The identity of the DSL *B. licheniformis/subtilis* group strains was independently verified
84 by Health Canada scientists. Colony morphologies (Appendix 1) were consistent with
85 descriptions in the literature. For example, *B. atrophaeus*, unlike other group members,
86 forms a black pigment when grown on media containing tyrosine or other organic
87 nitrogen sources (Logan and De Vos 2009; Rooney et al. 2009). The ability of
88 *B. atrophaeus* strain 18250-7 to produce dark pigments was confirmed.

89 At Health Canada laboratories, the identification of most DSL *B. licheniformis/subtilis*
90 group strains, including those that are masked at the genus level, was confirmed by 16S
91 ribosomal RNA gene sequence, fatty acid methyl ester (FAME) analyses and total
92 cellular content of select fatty acids to be correctly identified (Appendices 2 to 4).
93 *B. subtilis* is difficult to distinguish from closely related *Bacillus* species, particularly
94 *B. amyloliquefaciens* (Ash et al. 1991; Logan and De Vos 2009); however,
95 *B. amyloliquefaciens* carries distinctive differences in the 16S ribosomal RNA gene
96 sequence: the absence of two *Rsal* restriction sites in the V3 region that differentiates it
97 from *B. subtilis* (Jeyaram et al. 2011). The lack of *Rsal* sites is characteristic of
98 *B. amyloliquefaciens* and was observed in the ribosomal RNA gene sequence of
99 *B. subtilis* ATCC 55405. Other methods used also demonstrated that *B. subtilis* ATCC
100 55405 is more similar to *B. amyloliquefaciens* than *B. subtilis*, suggesting that it may be
101 misidentified. The *Cfol* restriction site, between the V4 and V5 regions, can be used to
102 differentiate between *B. subtilis* and *B. licheniformis* (Jeyaram et al. 2011).



103
104
105

Figure 1-1: Phylogenetic relationships of *Bacillaceae* species based on the alignment of the 16S ribosomal RNA gene sequence coding region

106 *B. subtilis* subsp. *inaquosorum* and *B. licheniformis* both have properties that distinguish
107 them from other *B. subtilis* complex members, including a lower salt tolerance,
108 anaerobic growth and the production of toxic compounds in some strains (Salkinoja-
109 Salonen et al. 1999). *B. subtilis* subsp. *inaquosorum* is distinguished from
110 *B. licheniformis*, other subspecies of *B. subtilis* and other members of the *B. subtilis*
111 complex by the production of a novel surfactin-like lipopeptide demonstrated by an
112 additional major ion (mass m/z 1120.8) in its matrix-assisted laser desorption/ionization-

113 time-of-light mass spectrometer profile, as well as differences in the total cellular
 114 content of fatty acids (Rooney et al. 2009) (Appendix 4). Recent genomic sequencing of
 115 strains of *B. subtilis* subsp. *inaquosorum* supports its taxonomic status as an
 116 independent subspecies of *B. subtilis* (Yi et al. 2014). For the purposes of this report,
 117 information relating to *B. subtilis* subsp. *inaquosorum* ATCC 55406 will be grouped with
 118 information on the DSL *B. subtilis* strains.

119 **1.1.1.3 Strain history**

120 The sites of isolation of most members of the DSL *B. licheniformis/subtilis* group are
 121 unknown. Certain members were isolated from soil (*B. subtilis* ATCC 55405, *B. subtilis*
 122 subsp. *inaquosorum* ATCC 55406 and *Bacillus* species 16970-5) and industrial settings
 123 (*Bacillus* species 2 181181-1). Various strains of the DSL *B. licheniformis/subtilis* group
 124 that are in the American Type Culture Collection (ATCC) are also recognised under
 125 other strain designations in culture collections around the world (Table 1-3). The type
 126 strain, *B. subtilis* subsp. *subtilis* ATCC 6051, has been deposited to many culture
 127 collections and is known as the Marburg strain (Table 1-4).

128 **Table 1-3: Culture collections holding DSL *B. licheniformis/subtilis* group strains**
 129 **and alternative recognized strain designations**

Strain	Culture Collection	Other Strain Designation
<i>B. licheniformis</i> ATCC 12713	Agricultural Research Service Database Culture Collection/NRRL Collection	NRRL B-1001
<i>B. licheniformis</i> ATCC 12713	Prairie Regional Laboratory	PRL B479
<i>B. subtilis</i> ATCC 6051A	Not applicable	P31K6
<i>B. subtilis</i> ATCC 55405	Not applicable	300
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	National Collection of Industrial, Food and Marine Bacteria	NCIMB 14014

130 **Table 1-4: Major culture collections holding the Marburg strain (type strain),**
 131 ***B. subtilis* subsp. *subtilis* ATCC 6051 and alternative strain designations**

Culture Collection	Other Strain Designations
Agricultural Research Service Database Culture Collection/NRRL Collection	NRRL B-4219, NRS 1315, NRS 744
American Type Culture Collection	ATCC 6051-U
Collection Française des Bactéries Phytopathogenes and Pasteur Institute Collection (France)	CFBP 4228, CIP 52.65
Deutsche Sammlung von Mikroogansimen und Zellkulturen (Germany)	DSM 10, IMET 10758
Institute for Fermentation, Osaka (collection transferred to NBRC) (Japan)	IFO 12210, IFO 13719, IFO 16412
Japan Collection of Micro-organisms	JCM 1465, IAM 12118
National Collection (United Kingdom)	NCFB 1769, NCIB 3610, NCTC 3610
Netherlands Culture Collection of Bacteria	NCCB 32009, NCCB 53016, NCCB 70064

132 **1.1.2 Biological and ecological properties**

133 **1.1.2.1 Natural occurrence**

134 *B. subtilis* complex members can adapt to and thrive in many environments. In general,
135 *Bacillus* species have been isolated from a diversity of habitats, including terrestrial (soil
136 and vegetation) (Logan and De Vos 2009; Murray et al. 1995; Thatoi et al. 2013) and
137 aquatic environments (Rajarajan et al. 2013; Shakir et al. 2012; Shields et al. 2013;
138 Smitha and Bhat, 2012). *Bacillus* species have also been isolated from animals and as
139 a transient part of the human bowel flora (Kramer and Gilbert, 1989; Turnbull and
140 Kramer 1985); as contaminants of raw and prepared foods (reviewed in Fangio et al.
141 2010; Hosoi et al. 2000; Inatsu et al. 2006; Kramer and Gilbert 1989; Ray et al. 2000;
142 Turnbull et al. 2001); and aviation fuels (Rauch et al. 2006). The broad range of
143 environments exploited by the genus reflects the wide physiological variation among
144 *Bacillus* species (Murray et al. 1995).

145 Naturally-occurring cell densities of viable *B. licheniformis*, *B. amyloliquefaciens* and
146 *B. subtilis* in indoor air and settled dust of schools and daycare centres (Table 1-5) and
147 agricultural buildings (cow shed and piggery) (Table 1-6) have been reported
148 (Andersson et al. 1999).

149 **Table 1-5: Naturally-occurring cell densities of viable *B. amyloliquefaciens*,**
150 ***B. licheniformis* and *B. subtilis* in schools and daycare centers**

Organism	Indoor air (CFU/m ³)	Settled Dust (CFU/g)
<i>B. amyloliquefaciens</i>	No data	10 ¹ -10 ²
<i>B. licheniformis</i>	10 ²	10 ³
<i>B. subtilis</i>	No data	No data

151 **Table 1-6: Naturally-occurring cell densities of viable *B. amyloliquefaciens*,**
152 ***B. licheniformis* and *B. subtilis* in agricultural settings (cow shed and piggery)**

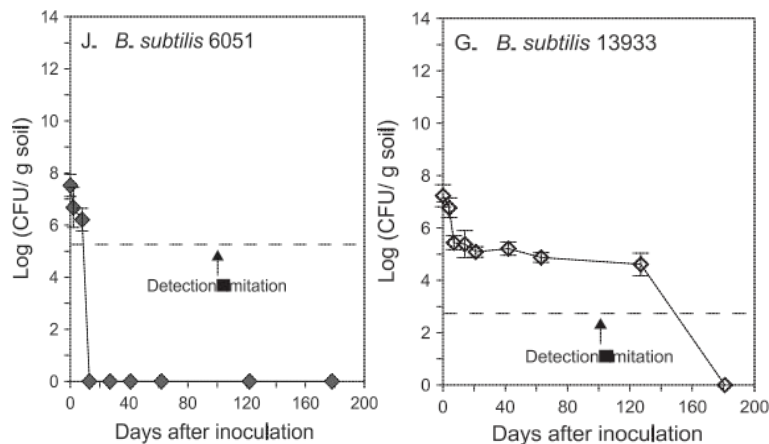
Organism	Indoor air (CFU/m ³)	Settled Dust (CFU/g)
<i>B. amyloliquefaciens</i>	No data	No data
<i>B. licheniformis</i>	10 ⁴ -10 ⁷	10 ⁴ -10 ⁶
<i>B. subtilis</i>	10 ⁴ -10 ⁷	10 ⁴ -10 ⁶

153 **1.1.2.2 Survival and persistence in the environment**

154 *Bacillus* species form spores that allow them to survive inhospitable conditions and this
155 gives them a competitive advantage over non-spore forming species in variable
156 environments (Grossman and Losick 1988; Kramer and Gilbert 1989). Spores are more
157 resistant to heat, chemicals, radiation and desiccation than their vegetative counterparts
158 (Brown 2000; Logan 2012). The physiology of *Bacillus thuringiensis* spores is similar to
159 those of the *B. subtilis* complex making it an appropriate surrogate. Spores of
160 *B. thuringiensis* are reported to persist at high levels in soil for at least 13 years
161 (Hendriksen and Hansen 2002; Hendriksen and Carstensen 2013). Nevertheless, in
162 general, introduced microbial populations gradually decline, regardless of the source of

163 their original isolation, due to the hostility of biotic and abiotic conditions in the soil
164 environment (Van Veen et al. 1997). Biotic factors include predation and antagonism;
165 abiotic factors include adverse soil pH, temperature and moisture, and nutrient scarcity
166 (Van Veen et al. 1997). High numbers of vegetative cells are unlikely to be maintained
167 in water or soil due to competition from other microflora (Leung et al. 1995). Plant
168 colonization and biofilm formation may also increase the resistance of the bacteria to
169 unfavourable conditions (Sella et al. 2012).

170 Three studies were identified that investigate the persistence of the *B. subtilis* complex
171 in soils. In one study, long-term persistence of *B. subtilis* ATCC 6051 and *B. subtilis*
172 ATCC 13933 in agricultural soil was investigated (Xiang et al. 2010). DNA from
173 *B. subtilis* ATCC 6051 and *B. subtilis* ATCC 13933 could be amplified from laboratory
174 microcosms for 8 and 127 days respectively after inoculation with cell culture
175 suspensions containing 10^8 to 10^{10} CFU/mL of the test strains (Xiang et al. 2010). Using
176 amplified fragment length polymorphisms to develop specific DNA markers for the
177 strains being investigated combined with quantitative real-time PCR the fate of
178 *B. subtilis* ATCC 6051 and *B. subtilis* ATCC 13933 extracted from soil can be
179 quantitatively tracked and can be used to estimate the concentration of cells in the soil
180 (Figure 1-2).



181
182 **Figure 1-2: Persistence of *Bacillus subtilis* ATCC 6051 and *Bacillus subtilis* 13933**
183 **in soil, based on qPCR analyses of extractable soil DNA**

184 The very different detection limits between these two strains make comparison of their
185 persistence difficult. Sporulation of vegetative cells and less efficient recovery of DNA
186 from spores may have played a role in the observed decline. Recovery of DNA from
187 spores depends on the spore type, concentration of spores and the environment.

188 In another study, a strain of *B. subtilis* was inoculated into field soils and the population
189 was observed to decline rapidly before stabilising (van Elsas et al. 1986). The
190 populations remained low and mainly as spores over the course of 120 days.

191 In a third study, the persistence of *B. amyloliquefaciens* 13563-0, *B. licheniformis* ATCC
192 12713, *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405 and *B. subtilis* subsp.
193 *inaquosorum* ATCC 55406 in soil was investigated (Providenti et al. 2009). The authors

194 suggested that if 1×10^6 CFU/g soil of the vegetative cells were initially released, the
195 detectable concentration of bacteria would likely decrease to 1×10^2 CFU/g soil or less
196 within one to six months.

197 On the basis of these three studies, concentrations of the *Bacillus* species under
198 assessment applied to soil are expected to decrease several fold over time, but would
199 be likely to persist at some lower concentration as spores.

200 1.1.2.3 Growth parameters

201 Growth temperature and pH ranges vary between members of the *B. subtilis* complex
202 and may vary between strains (Table 1-7) (Logan and De Vos 2009; Rooney et al.
203 2009).

204 **Table 1-7: Growth temperature and pH ranges of members of the *B. subtilis***
205 **complex**

Species	Growth Temperature Range (°C)	Optimal Growth Temperature (°C)	pH Range ^a
<i>B. amyloliquefaciens</i>	15-50	30-40	5.5-8.5 ^b
<i>B. atropheus</i>	5-55	28-30	5.3-5.7 ^c
<i>B. licheniformis</i>	15-55	30-40	5.7-6.8 ^b
<i>B. subtilis</i> subsp. <i>subtilis</i>	5-55	28-30	5.5-8.5 ^b
<i>B. subtilis</i> subsp. <i>inaquosorum</i>	15-55 ^d	28-30 ^d	5.5-5.7 ^d

206 ^a pH in Voges-Proskauer broth

207 ^b (Logan and De Vos 2009)

208 ^c (Nakamura 1989)

209 ^d (Rooney et al. 2009)

210 *B. licheniformis* and *B. subtilis* subsp. *inaquosorum* are facultative anaerobes and some
211 strains of *B. subtilis* have restricted growth under anaerobic conditions (Logan and De
212 Vos 2009). The ability to grow in both aerobic and anaerobic conditions contributes to
213 the success of these *Bacillus* species in colonizing a variety of niches. In BALB/c mice
214 inoculated orally with high concentrations of *B. subtilis* spores the quantity of *B. subtilis*
215 (spores and vegetative cells) excreted in the feces was higher than the initial inoculation
216 concentration (Hoa et al. 2001). This increase suggests that spores may be able to
217 persist and germinate in the gastrointestinal tract of mice despite the anaerobic
218 environment (Hoa et al. 2001).

219 1.1.2.4 Biocontrol and growth promotion⁴

220 Biocontrol

221 *B. subtilis* complex strains have characteristics which make them effective biocontrol
222 agents. As an endophytic bacterium, *B. licheniformis*, colonises the same sites as
223 certain plant pathogens and may be better suited than rhizosphere bacteria to

⁴ Biocontrol or growth promotions activities are not within the scope of this assessment.

224 outcompete or antagonise plant pathogens (Mekete et al. 2009). *B. licheniformis* ATCC
225 14580 has chitinase and chitobiase activity which may be useful against fungal
226 pathogens (ATCC 2012e). *B. subtilis* complex members are able to produce antibiotics
227 and extracellular chitinolytic enzymes that may inhibit plant fungal pathogens (Cordero-
228 Ramírez et al. 2013; reviewed in Hameeda et al. 2006; Jamalizadeh et al. 2008; Pérez-
229 García et al. 2011; Toledo et al. 2011). Bacteriocins are antagonistic peptides that may
230 kill or inhibit the growth of other bacteria. (He et al. 2006; Tagg et al. 1976). Bacteriocins
231 produced by *B. licheniformis* strains exhibit a broad range of antagonistic activity
232 against various Gram positive and fungal pathogens but not Gram negative organisms
233 (He et al. 2006). Antimicrobial compounds, such a bacteriocins, produced by members
234 of the *B. subtilis* complex could affect microbial populations in habitats such as soils,
235 and the microbiomes of plants, animals and humans. Recently, *B. atrophaeus* CAB-1
236 was demonstrated to have antifungal activity, making it a potential biocontrol agent
237 (Zhang et al. 2013).

238 Strains of *B. amyloliquefaciens* and *B. subtilis* have been approved for use as biocontrol
239 agents against fungal disease in terrestrial plants in Canada since 2011 and 2005,
240 respectively (PMRA-HC 2007a; PMRA-HC 2007b; PMRA-HC 2007c; PMRA-HC 2012;
241 PMRA-HC 2013; PMRA-HC 2014). Strains of *B. amyloliquefaciens*, *B. licheniformis* and
242 *B. subtilis* have been approved for use as biocontrol agents of fungal disease in
243 terrestrial plants in the United States since 2000, 2007 and 1992, respectively
244 (Mendelsohn and Vaituzis 1999; U.S. EPA 2001; U.S. EPA 2006; U.S. EPA 2010; U.S.
245 EPA 2011; U.S. EPA 2012; U.S. EPA 2013b).

246 **Growth promotion**

247 Members of the *B. subtilis* complex may promote plant growth by fixing nitrogen,
248 producing biofertilizers and phytohormones, enhancing root nodulation, controlling plant
249 pathogens and through their interactions with other symbiotic bacteria and fungi. These
250 functions may be related to plant colonization and biofilm formation (Beauregard et al.
251 2013; Chung et al. 2010; Weng et al. 2012). *B. licheniformis*, *B. amyloliquefaciens* and
252 *B. subtilis* have been isolated from the inner tissues of healthy plants and may have
253 roles in growth promotion and plant protection (Logan, 2012). *B. amyloliquefaciens*,
254 *B. atrophaeus* and *B. licheniformis* have been described within the rhizosphere of
255 mangrove forests where they solubilize phosphate, increasing nutrient availability to the
256 plants (Thatoi et al. 2013).

257 *B. licheniformis* and *B. subtilis* produce a number of enzymes (e.g. protease, lipase and
258 amylase) that can be applied in aiding the digestion of proteins from animal feed
259 (Ahmadnia Motlagh et al. 2012; Link and Kovác 2006). As an alternative to prophylactic
260 antibiotic treatment, *B. licheniformis* has been demonstrated to protect against
261 pathogens in aquaculture (Vinoj et al. 2013) and has been used as a probiotic for weight
262 gain or pathogen resistance in rainbow trout (Merrifield et al. 2010a; Merrifield et al.
263 2010b), pigs (Link and Kovác 2006) and chickens (Rahimi and Kahsksefidi 2006). The
264 use of some of the *B. subtilis* complex strains as probiotics in animals and the addition
265 of their enzymes to feeds have been reported to result in increased weight gain and
266 improvement of health. Other studies have investigated the immune stimulating

267 potential of probiotic strains to enhance resistance of animal hosts against pathogens
268 (Huang et al. 2013; reviewed in Vinoj et al. 2013).

269 **1.1.2.5 Gene transfer**

270 *B. subtilis* is naturally competent for transformation, a phenomenon that is growth-stage
271 specific and nutrient sensitive (Dubnau and Losick 2006; Veening et al. 2008). Genetic
272 exchange by this mechanism seems to be biased towards closely related species since
273 the transformation frequency decreases exponentially with DNA sequence divergence
274 (Majewski and Cohan 1998; Roberts and Cohan 1993; Zawadzki et al. 1995). This is
275 expected to limit the possibility of *B. subtilis* acquiring pathogenic traits from distant
276 species.

277 *B. subtilis* has also been implicated in the conjugal transfer of plasmids; however, most
278 *B. subtilis*-like bacteria do not contain endogenous plasmid DNA (Kreft and Hughes
279 1982; Meijer 1995; Meijer et al. 1998; Tanaka et al. 1977). Transposable elements and
280 prophages were reported in the genome of *B. licheniformis* ATCC 14580 (the type
281 strain) (Lapidus et al. 2002), including nine identical copies of the 1,285 base pair
282 insertion sequence *IS3Bli1* and prophage sequences NZP1 and NZP3 (Rey et al.
283 2004). The identified prophage sequences have not been characterized. *B. subtilis* can
284 also transfer transposons and integrons (Auchtung et al. 2005; Celli and Trieu-Cuot
285 1998; Kimura et al. 2011; Koehler and Thorne 1987; Marra and Scott 1999; Meijer et al.
286 1998), and especially those of the class of integrative and conjugative elements (ICE)
287 such as ICEBs1 (Auchtung et al. 2005), which can be transferred from *B. subtilis* to
288 other *Bacillus* or *Listeria* species under conditions of host cell distress or in the
289 presence of a high concentration of cells lacking ICEBs1. ICEs encode for proteins
290 required for conjugal transfer, resistance to antibiotics and metabolism of alternative
291 carbon sources (Auchtung et al. 2005).

292 Mobile genetic elements for some strains of the *B. subtilis* complex are reported in
293 Appendix 5. Genes associated with virulence in strains of the *B. subtilis* complex are
294 reported in Appendix 6. It is unknown if the DSL strains carry genes conferring virulence
295 factors or antimicrobial resistance on mobile elements. Given their capacity for
296 horizontal gene transfer, they could theoretically acquire such genes, but this potential
297 is no greater for the DSL strains than for strains that are naturally present in the
298 environment given what has been reported in the current scientific literature.

299 **1.1.2.6 Pathogenic and Toxigenic Characteristics**

300 **Spores**

301 The ability to form spores is integral to the etiology of *Bacillus* food poisoning, which has
302 been associated with certain strains of *B. licheniformis* and *B. subtilis*. *Bacillus* spores
303 survive disinfection, irradiation and cooking (Baril et al. 2012; Logan 2012). All of the
304 DSL strains under assessment are capable of forming spores. Spores of the *B. subtilis*
305 complex are highly heat resistant, with temperatures between 94.9°C and 97.7°C for
306 *B. licheniformis* and between 103.2°C and 108.0°C for *B. subtilis* required to inactivate

307 90% of spores within 10 minutes (André et al. 2013). Under favourable conditions, such
308 as when food is held at temperatures between 10°C and 50°C, the spores can
309 germinate and proliferate (Baril et al. 2012; Brown 2000), and this permits the
310 accumulation of sufficient cell concentrations for foodborne illness to occur.

311 **Determinants of infectivity**

312 In order to be an effective bacterial pathogen, a micro-organism must be able to adhere
313 to host cell surfaces, invade host tissues and evade host defences. In one study, certain
314 *B. licheniformis* and *B. subtilis* isolates had some ability to adhere or invade (Hep-2 and
315 Caco-2 cell lines) while others were completely incapable of adherence or invasion
316 (Rowan et al. 2001).

317 Strong hemolytic activity (as well as lecithinase activity) may indicate the presence of
318 cytotoxic phospholipases that may facilitate invasion and are associated with virulence
319 (Rowan et al. 2001; Sorokulova et al. 2008). Isolates of *B. amyloliquefaciens*,
320 *B. licheniformis* and *B. subtilis* exhibit varying levels of hemolysis. In one study,
321 *B. amyloliquefaciens* demonstrated beta-hemolysis; *B. licheniformis* no hemolysis; and
322 *B. subtilis* alpha, beta or no hemolysis, depending on the isolate (Cordero-Ramírez et
323 al. 2013). However, analysis by Health Canada scientists on the DSL
324 *B. licheniformis/subtilis* group strains indicated no strong hemolytic activity in any strain
325 (Appendix 7).

326 Catalase activity can enable a micro-organism to protect itself from reactive oxygen-
327 induced killing from immune cells potentially making it a more effective pathogen.
328 Catalase activity was assessed for the DSL *B. licheniformis/subtilis* strains by Health
329 Canada scientists; all strains tested positive for catalase activity (Appendix 8).

330 **Secondary Metabolites**

331 Members of the *B. subtilis* complex also produce an array of secondary metabolites.
332 Surfactin (*B. subtilis*) and lichenysin (*B. licheniformis*) are amphiphilic lipopeptides (Li et
333 al. 2010). Both are powerful surfactants and have antimicrobial and hemolytic
334 properties. Although they differ by only two amino acids, the hemolytic activity of
335 lichenysin is much higher than that of surfactin (15 µmol/L vs. 200 µmol/L required to
336 achieve 100% hemolysis, respectively) (Li et al. 2010).

337 Amylosin was first detected in *B. amyloliquefaciens* (Logan, 2012; Mikkola et al. 2007).
338 It is an ionophore that forms K⁺ and Na⁺ channels in host cell membranes causing toxic
339 responses including complete cell death, with extensive lysis in exposed cell lines and
340 inhibition of motility in a boar spermatozoa assay (Mikkola et al. 2007).

341 **Toxins**

342 Strains of *B. subtilis*, *B. licheniformis* and *B. amyloliquefaciens* have been reported to
343 produce both heat-labile and heat-stable toxins (Appendix 9) (Beattie and Williams,
344 1999; reviewed in De Jonghe et al. 2010; Mikkola et al. 2007; Nieminen et al. 2007).

345 Toxins produced include some that are similar to the *B. cereus* emetic toxin (cereulide)
346 (Salkinoja-Salonen et al. 1999; Taylor et al. 2005), hemolysin BL (Hbl) enterotoxin
347 (Lindsay et al. 2000; Rowan et al. 2001) and a non-hemolytic enterotoxin (Nhe). A non-
348 emetic heat-stable cytotoxic component has also been reported in certain strains of
349 *B. subtilis* and *B. amyloliquefaciens* (De Jonghe et al. 2010). Isolates of *B. licheniformis*
350 have been reported to produce a non-proteinaceous heat-stable toxin, which damages
351 cell membrane integrity, depletes cellular ATP and has beta-hemolytic activity
352 (Salkinoja-Salonen et al. 1999).

353 The Hbl toxin complex and BceT diarrheal toxin genes were identified in *B. licheniformis*
354 and *B. subtilis* clinical and food isolates (Rowan et al. 2001). The growth medium was
355 reported to affect toxin production, with more strains producing Hbl if grown in infant
356 milk formula than in brain-heart infusion (BHI) broth. Toxin production is not related to
357 the source of the isolate (clinical or environmental) (Beattie and Williams 1999;
358 Madslien et al. 2012).

359 In testing conducted in Health Canada laboratories, strains were cultured on BHI. Three
360 different commercial assay kits were used: all strains were tested for the HblC subunit
361 of the Hbl enterotoxin using a commercial RPLA kit (Oxoid) and six strains⁵ were tested
362 for the NheA subunit of the Nhe enterotoxin using an ELISA assay (TECRA kit). The
363 Duopath Cereus (Millipore) kit was also used to detect both Nhe and Hbl enterotoxin
364 production in the DSL strains. None of the DSL strains produced these toxins.

365 Cell-free culture supernatants of some clinical and food isolates of *B. licheniformis* and
366 *B. subtilis* that had been implicated in food poisoning had cytotoxic activity towards both
367 human Caco-2 and HEp-2 epithelial cell lines (Rowan et al. 2001). The growth medium
368 affected the cytotoxic potential, and heat or trypsin treatment of the culture supernatant
369 reduced or eliminated cytotoxic activity, indicating that it was attributable to the
370 proteinaceous fraction (Rowan et al. 2001). In another study, food poisoning isolates of
371 *B. licheniformis* and *B. subtilis* from street vendor food were cytotoxic to McCoy cells
372 (Mosupye et al. 2002). In addition, whereas *B. cereus* isolates lost cytotoxicity following
373 heat-treatment, some *B. licheniformis* and *B. subtilis* isolates retained their cytotoxicity
374 (Mosupye et al. 2002). A *B. licheniformis* strain isolated from raw milk that was
375 associated with food-poisoning was also cytotoxic to McCoy cells (Lindsay et al. 2000).

376 In testing conducted by Health Canada scientists, the cytotoxicity of the DSL
377 *B. licheniformis/subtilis* strains was assessed in two cell lines, J774A.1 (macrophage
378 cells) and HT29 (human colonic epithelial cells), with and without gentamicin. The
379 strains did not demonstrate strong cytotoxicity towards either cell line (Appendix 10).

⁵ *B. licheniformis* ATCC 12713, *B. subtilis* ATCC 6051A, *B. subtilis* subsp. *subtilis* ATCC 6051, *B. subtilis* subsp. *inaquosorum* ATCC 55406, *Bacillus* species 2 18118-1 and *Bacillus* species 7 18129-3.

380 **1.1.2.7 Antibiotic Susceptibility Profile**

381 Information in the scientific literature on antibiotic susceptibility in *B. amyloliquefaciens*
 382 and *B. atrophaeus* is scant, presumably because these have not been implicated in
 383 cases of infection.

384 Variable antibiotic susceptibility profiles have been reported as part of case reports of
 385 infection with *B. licheniformis* and *B. subtilis* (Table 1-8). *B. licheniformis* susceptibility to
 386 the beta-lactam antibiotics ampicillin, piperacillin and ticarcillin depends on the isolate
 387 (Banerjee et al. 1988; Castagnola et al. 1997). Some isolates have an inducible beta-
 388 lactamase that may be responsible for this variable susceptibility (Filée et al. 2002; Zhu
 389 et al. 1992). Similarly, *B. licheniformis* ATCC 12713 is resistant to erythromycin,
 390 whereas the type strain ATCC 14580 is susceptible and variations in bacitracin
 391 synthase gene sequences are postulated to determine erythromycin resistance
 392 (Ishihara et al. 2002). A case of *B. subtilis* endocarditis was successfully treated with
 393 cefazolin (Tuazon et al. 1979), but in a later study, isolates were reported to be
 394 cefazolin resistant (Banerjee et al. 1988).

395 **Table 1-8: Antibiotic susceptibilities of *B. licheniformis* and *B. subtilis* reported in**
 396 **the scientific literature**

Antibiotic	<i>B. licheniformis</i>	<i>B. subtilis</i>	Reference
Amikacin	S ^a	S	(Banerjee et al. 1988; Sorokulova et al. 2008)
Aminoglycosides	S	N/A ^b	(Ozkocaman et al. 2006)
Amoxicillin	I ^c	I	(Sorokulova et al. 2008)
Amoxicillin clavulanic acid	S	N/A	(Lépine et al. 2009)
Ampicillin	V ^d	S	(Banerjee et al. 1988; Sorokulova et al. 2008)
Azlocillin	S	S	(Banerjee et al. 1988)
Bactrim	S	S	(Sorokulova et al. 2008)
Carapenem	S	N/A	(Ozkocaman et al. 2006)
Carbenicillin	S	S	(Sorokulova et al. 2008)
Ceftazimide	R ^e	R	(Banerjee et al. 1988)
Cefamandol	I	S	(Sorokulova et al. 2008)
Cefatolin	S	N/A	(Lépine et al. 2009)
Cefazolin	S	V	(Banerjee et al. 1988; Sorokulova et al. 2008)
Cefepime	S	N/A	(Ozkocaman et al. 2006)
Cefotaxim	R	I	(Sorokulova et al. 2008)
Cefoxitin	R	I	(Sorokulova et al. 2008)
Ceftriaxon	R	I	(Sorokulova et al. 2008)
Cephalotin	S	S	(Sorokulova et al. 2008)
Chloramphenicol	R	V	(Banerjee et al. 1988; Sorokulova et al. 2008)
Ciprofloxacin	S	S	(Castagnola et al. 1997; Sorokulova et al. 2008)
Clindamycin	R	S	(Banerjee et al. 1988; Sorokulova et al. 2008)
Cotrimoxazole	S	N/A	(Castagnola et al. 1997)

Antibiotic	<i>B. licheniformis</i>	<i>B. subtilis</i>	Reference
Doxycycline	S	N/A	(Lépine et al. 2009)
Enrofloxacin	S	S	(Sorokulova et al. 2008)
Erythromycin	V	S	(Ishihara et al. 2002; Sorokulova et al. 2008)
Gentamicin	S	S	(Banerjee et al. 1988; Sorokulova et al. 2008)
Imipenem	S	S	(Banerjee et al. 1988; Sorokulova et al. 2008)
Kanamycin	S	S	(Sorokulova et al. 2008)
Linezolid	S	S	(Sorokulova et al. 2008)
Meropenem	S	N/A	(Mochiduki et al. 2007)
Methicillin	R	I	(Sorokulova et al. 2008)
Mezlocillin	I	S	(Sorokulova et al. 2008)
Nafcillin	S	N/A	(Blue et al. 1995)
Neomycin	S	S	(Sorokulova et al. 2008)
Netilmicin	S	N/A	(Castagnola et al. 1997)
Nitrofurantoin	S	S	(Sorokulova et al. 2008)
Norfloxacin	S	S	(Banerjee et al. 1988; Sorokulova et al. 2008)
Ofloxacin	S	N/A	(Lépine et al. 2009)
Oxacillin	R	R	(Castagnola et al. 1997; Sorokulova et al. 2008)
Penicillin	R	R	(Banerjee et al. 1988)
Piperacillin	V	S	(Banerjee et al. 1988)
Quinupristin + dalfopristin	N/A	N/A	(Sorokulova et al. 2008)
Rifampicin	S	S	(Sorokulova et al. 2008)
Streptomycin	S	S	(Sorokulova et al. 2008)
Telcoplanin	S	N/A	(Castagnola et al. 1997)
Tetracycline	S	S	(Sorokulova et al. 2008)
Ticarillin	V	S	(Banerjee et al. 1988)
Tobramycin	S	S	(Castagnola et al. 1997; Sorokulova et al. 2008)
Trimethoprim	S	S	(Sorokulova et al. 2008)
Vancomycin	S	S	(Banerjee et al. 1988; Sorokulova et al. 2008)

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- ^a S, susceptible, also includes successful treatments where no other antibiotics were used
^b N/A, not available
^c I, intermediate
^d V, variable (different sources gave different resistance results)
^e R, resistant

402 Vegetative cells of the DSL *B. licheniformis/subtilis* group strains were tested for their
403 resistance to antibiotics from a number of families by Health Canada scientists⁶ (Table
404 1-9 to Table 1-13). Interpretive categories (susceptible, intermediate, resistant or
405 nonsusceptible) are classifications based on an in vitro response of an organism to an

⁶ Data generated by Health Canada's Healthy Environments and Consumer Safety Branch. Work conducted using TSB-MTT liquid assay method to determine the MIC values for bacteria based on replicate experiments (Seligy et al. 1997). Values correspond to the minimal inhibitory concentration (µg/mL) for select *Bacillus* species grown in the presence of antibiotic for 24 hours at 37°C.

406 antimicrobial agent at levels corresponding to blood or tissue levels attainable with
 407 usually prescribed doses of that agent (CLSI, 2010). Minimum inhibitory concentration
 408 values were interpreted where possible. Interpretive criteria were not identified for some
 409 of the tested antibiotics.

410 **Table 1-9: Minimum inhibitory concentrations (MIC) of *B. amyloliquefaciens***
 411 **13563-0**

Antibiotic	Susceptible ^a	Intermediate ^a	Resistant ^a	MIC µg/mL (interpretation)
Amoxicillin	N/A ^b	N/A	N/A	0.37 ± 0
Cephotaxime	≤8	16-32	≥64	21.3 ± 8 (I ^c)
Ciprofloxacin	≤1	2	≥4	0.37 ± 0 (S ^d)
Doxycycline	N/A	N/A	N/A	0.37 ± 0
Erythromycin	≤0.5	1-4	≥8 (≥4 ^e)	0.37 ± 0 (S)
Gentamicin	≤4	8	≥16 (≥4 ^e)	0.52 ± 0.21 (S)
Meropenem	N/A	N/A	N/A	0.37 ± 0
Nalidixic acid	N/A	N/A	N/A	10.2 ± 8.4
Trimethoprim	≤2	N/A	≥4	>24 (R ^f)
Vancomycin	≤4	N/A	N/A (≥4 ^e)	0.45 ± 0.17 (S)

412 ^a Interpretive criteria (MIC µg/mL; CLSI 2010)

413 ^b N/A, not available

414 ^c I, intermediate susceptibility

415 ^d S, susceptible

416 ^e Interpretive criteria (MIC µg/mL; EFSA 2008)

417 ^f R, resistant

418 **Table 1-10: Minimum inhibitory concentrations (MIC) of *B. atrophaeus* 18250-7**

Antibiotic	Susceptible ^a	Intermediate ^a	Resistant ^a	MIC µg/mL (interpretation)
Amoxicillin	N/A ^b	N/A	N/A	0.75 ± 0
Cephotaxime	≤8	16-32	≥64	1.5 ± 0 (S ^c)
Ciprofloxacin	≤1	2	≥4	0.37 ± 0 (S)
Doxycycline	N/A	N/A	N/A	0.37 ± 0
Erythromycin	≤0.5	1-4	≥8 (≥4 ^d)	0.37 ± 0 (S)
Gentamicin	≤4	8	≥16 (≥4 ^d)	0.37 ± 0 (S)
Meropenem	N/A	N/A	N/A	0.37 ± 0
Nalidixic acid	N/A	N/A	N/A	3 ± 0
Trimethoprim	≤2	N/A	≥4	>24 ± 0 (R ^e)
Vancomycin	≤4	N/A	N/A (≥4 ^d)	0.75 ± 0 (S)

419 ^a Interpretive criteria (MIC µg/mL; CLSI 2010)

420 ^b N/A, not available

421 ^c S, susceptible

422 ^d Interpretive criteria (MIC µg/mL; EFSA 2008)

423 ^e R, resistant

424 *B. licheniformis* ATCC 12713 appeared to be resistant to many antibiotics (most for
 425 which interpretive criteria were available; Table 1-11). This was unexpected, given that
 426 the literature on the species indicates susceptibility to a variety of antibiotic classes
 427 (Table 1-8). Resistance to vancomycin was particularly unexpected (CLSI 2010). For
 428 this reason the test results were revisited. The MIC had been strictly interpreted as the
 429 lowest concentration that completely inhibited growth of the micro-organism (CLSI

430 2010); however for some antibiotics, the vast majority of bacteria had been eliminated at
 431 much lower concentrations, with a small number of residual bacteria persisting through
 432 several higher concentration increments. Examination by microscopy revealed that
 433 these residual bacteria were in the form of aggregates, which is a unique behavior of
 434 this strain growing in liquid cultures. This aggregate formation may protect internal cells
 435 from contact with the antibiotic. When tests results were re-interpreted with a 95%
 436 bioreduction activity cutoff, the revised MICs were more consistent with values expected
 437 of this species. This was confirmed for vancomycin using test-strips, which showed low
 438 MIC values (1.1 ± 1.0 ; n=6). It was concluded that the apparent high resistance
 439 observed was an artifact of the liquid culture MIC assay.

440 **Table 1-11: Minimum inhibitory concentrations (MIC) of *B. licheniformis* ATCC**
 441 **12713**

Antibiotic	S ^a	I ^b	R ^c	MIC µg/mL (interpretation)	95% bioreduction activity (interpretation)
Amikacin	≤16	32	≥64	>24 (not S ^d)	>24 (not S)
Amoxicillin	N/A ^e	N/A	N/A	>24	>24
Ampicillin	≤0.25	N/A	≥0.5	0.37 ± 0 (I ^f)	0.37 ± 0
Ceftazidime	≤8	16	≥32	>24 (R ^g)	>24 (R)
Cephalexin	≤8	16-32	≥64	12 (I)	6.0 ± 0 (S)
Chloramphenicol	≤8	16	≥32 (≥8 ^h)	12 (I)	12.0 ± 0 (I)
Ciprofloxacin	≤1	2	≥4	18 ± 9 (R)	0.37 ± 0 (S)
Doxycycline	N/A	N/A	N/A	24	0.56 ± 0.19
Erythromycin	≤0.5	1-4	≥8 (≥4 ^f)	>24 (R)	>24 (R)
Gentamicin	≤4	8	≥16 (≥4 ^f)	18 ± 9 (R)	2.53 ± 1.54(S)
Meropenem	N/A	N/A	N/A	24	0.37 ± 0
Nalidixic acid	N/A	N/A	N/A	>24	>24
Penicillin	≤0.12	N/A	≥0.25	0.75 ± 0 (R)	0.75 ± 0 (R)
Rifampin	≤1	2	≥4	0.5 ± 0.2 (S)	0.37 ± 0 (R)
Tetracyclin	≤4	8	≥16 (≥8 ^f)	3 ± 0 (S)	3.0 ± 0 (S)
Trimethoprim	≤2	N/A	≥4	>24 (R)	0.37 ± 0 (S)
Vancomycin	≤4	N/A	N/A (≥4 ^f)	18 ± 9 (non-S)	0.61 ± 0.37(S)

442 ^a Interpretive criteria (MIC µg/mL; CLSI 2010) S, susceptible
 443 ^b Interpretive criteria (MIC µg/mL; CLSI 2010) I, intermediate susceptibility
 444 ^c Interpretive criteria (MIC µg/mL; CLSI 2010) R, resistant
 445 ^d S, susceptible
 446 ^e N/A, not available
 447 ^f I, intermediate susceptibility
 448 ^g R, resistant
 449 ^h Interpretive criteria (MIC µg/mL; EFSA 2008)
 450 ⁱ Confirmed using test strips (1.1 ± 1.0 µg/mL, n=6)

451 **Table 1-12: Minimum inhibitory concentrations (MIC, µg/mL) of DSL strains of**
 452 ***B. subtilis***

Antibiotic	S ^a	I ^b	R ^c	<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	<i>B. subtilis</i> ATCC 6051A	<i>B. subtilis</i> ATCC 55405	<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406
Amoxicillin	N/A ^d	N/A	N/A	0.4	12.2 ± 13.6	4.3 ± 9.6	0.6 ± 0.5
Ampicillin	≤0.25	N/A	≥0.5	>24 (R ^e)	>24 (R)	>24 (R)	No data

Antibiotic	S ^a	I ^b	R ^c	<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	<i>B. subtilis</i> ATCC 6051A	<i>B. subtilis</i> ATCC 55405	<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406
Aztreonam	N/A	N/A	N/A	>24	>24	>24	No data
Cephotaxime	≤8	16-32	≥64	6.1 ± 4.7 (S ^f)	5 ± 1.7 (S)	1.3 ± 1.3 (S)	>24
Ciprofloaxcin	≤1	2	≥4	No data	No data	No data	>24 (R)
Doxycycline	N/A	N/A	N/A	0.4	0.4	0.4	8.4 ± 3.3
Erythromycin	≤0.5	1-4	≥8 (≥4 ^g)	0.4 (S)	0.4 (S)	0.4 (S)	0.4 (S)
Gentamicin	≤4	8	≥16 (≥4 ^g)	0.6 ± 0.2 (S)	0.6 ± 0.2 (S)	0.4 (S)	0.4 (S)
Meropenem	N/A	N/A	N/A	ND	No data	No data	1.2 ± 1.1
Nalidixic acid	N/A	N/A	N/A	>24	8 ± 3.5	8 ± 3	9.6 ± 3.3
Trimethoprim	≤2	N/A	≥4	>24 (R)	>24 (R)	>24 (R)	>24 (R)
Vancomycin	≤4	N/A	N/A (≥4 ^g)	0.9 ± 0.7 (S)	0.4 (S)	0.4 (S)	0.37 (S)

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- ^a Interpretive criteria (MIC µg/mL; CLSI 2010) S, susceptible
^b Interpretive criteria (MIC µg/mL; CLSI 2010) I, intermediate susceptibility
^c Interpretive criteria (MIC µg/mL; CLSI 2010) R, resistant
^d N/A, not available
^e R, resistant
^f S, susceptible
^g Interpretive criteria (MIC µg/mL; EFSA 2008)

460 **Table 1-13: Minimum inhibitory concentrations (MIC, µg/mL) of the masked**
461 ***Bacillus* species on the DSL**

Antibiotic	S ^a	I ^b	R ^c	<i>Bacillus</i> species 2 18118-1	<i>Bacillus</i> species 4 18121-4	<i>Bacillus</i> species 16970-5	<i>Bacillus</i> species 7 18129-3
Amoxicillin	N/A ^d	N/A	N/A	Variable	0.37	0.9 ± 0.6	0.4
Ampicillin	≤0.2 5	N/A	≥0.5	No data	No data	>24 (R ^e)	>24 (R)
Aztreonam	N/A	N/A	N/A	>24	No data	>24	>24
Cephotaxime	≤8	16-32	≥64	1.6 ± 0.7 (S ^f)	3 (S)	11 ± 2.4 (I ^g)	6.1 ± 4.7 (S)
Ciprofloaxcin	≤1	2	≥4	No data	0.37 (S)	No data	No data
Doxycycline	N/A	N/A	N/A	0.8 ± 0.4	0.37	0.4	0.4
Erythromycin	≤0.5	1-4	≥8 (≥4 ^h)	0.37 (S)	0.37 (S)	0.4 (S)	0.4 (S)
Gentamicin	≤4	8	≥16 (≥4 ^h)	1.2 ± 0.5 (S)	1.5 (S)	0.5 ± 0.2 (S)	0.6 ± 0.2 (S)
Meropenem	N/A	N/A	N/A	No data	0.37	No data	No data
Nalidixic acid	N/A	N/A	N/A	8 ± 3	12	9.0 ± 3.3	>24
Trimethoprim	≤2	N/A	≥4	>24 (R)	0.37 (S)	24 ± 23 (R)	>24 (R)
Vancomycin	≤4	N/A	N/A (≥4 ^h)	0.37 (S)	0.75 (S)	0.4 (S)	0.9 ± 0.7 (S)

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- ^a Interpretive criteria (MIC µg/mL; CLSI 2010) S, susceptible
^b Interpretive criteria (MIC µg/mL; CLSI 2010) I, intermediate susceptibility
^c Interpretive criteria (MIC µg/mL; CLSI 2010) R, resistant
^d N/A, not available

466 ^e R, resistant
467 ^f S, susceptible
468 ^g I, intermediate susceptibility
469 ^h Interpretive criteria (MIC µg/mL; EFSA 2008)

470 **1.1.3 Effects**

471 **1.1.3.1 Environment**

472 ***B. amyloliquifaciens***

473 *B. amyloliquifaciens* is widely distributed in nature in a variety of habitats. Certain
474 strains have been released to agricultural ecosystems as biological pesticides for the
475 control of fungal plant pathogens (PMRA-HC 2012; U.S. EPA 2011; U.S. EPA 2012);
476 others have been released to aquatic habitats as a water treatment/conditioner
477 (Advanced Water Technologies 2012). Despite its natural presence in and history of
478 release into, a variety of environments, a comprehensive search of the scientific
479 literature across a number of sources yielded no cases of infection or evidence of
480 adverse effects in aquatic or terrestrial plants, vertebrates or invertebrates.

481 Studies on the effects of *B. amyloliquifaciens* strains FZB24 and D747 on a variety of
482 environmental species were submitted to support their registration as biofungicides for
483 use on terrestrial plants (Appendix 11, Table A-51 and Table A-52). Briefly, no
484 significant pathogenicity or toxicity was observed in terrestrial vertebrates (CD and
485 Sprague Dawley rats, Northern Bobwhite quail), aquatic vertebrates (rainbow trout),
486 terrestrial invertebrates (honeybee adults and larvae, earthworm) or aquatic
487 invertebrates (*Daphnia magna*) at the tested concentrations. Although studies on
488 aquatic or terrestrial plants were not reported as part of the pesticide registrations,
489 pesticides containing these strains are deliberately applied to terrestrial plants to control
490 fungal and bacterial plant pathogens and no adverse effects on the treated plants have
491 been reported in the scientific literature.

492 Murine exposure assays were conducted by Health Canada scientists. Female BALB/c
493 mice remained asymptomatic after exposure to 10⁶ CFU of *B. amyloliquifaciens* 13563-
494 0 spores or vegetative cells administered in a 25 µL volume via an endotracheal
495 nebulizer. Aside from a transient inflammatory response, no significant changes were
496 observed (Appendix 12, Table A-59 to Table A-63).

497 ***B. atrophaeus***

498 *B. atrophaeus* is widely distributed in nature. It is used as a non-pathogenic surrogate
499 for *B. anthracis* in experiments modelling airborne dispersal of spores (Carrera et al.
500 2007; Page et al. 2007; U.S. EPA 2013a). In spite of its natural presence in and
501 releases into the environment, a comprehensive search of the scientific literature across
502 a number of sources yielded no cases of infection or evidence of adverse effects in
503 aquatic or terrestrial plants, vertebrates or invertebrates.

504 Murine exposure assays were conducted by Health Canada scientists. Female BALB/c
505 mice remained asymptomatic after exposure to 10^6 CFU of *B. atrophaeus* 18250-7
506 spores or vegetative cells administered in a 25 μ L volume via an endotracheal
507 nebulizer. Aside from a transient inflammatory response, no significant changes were
508 observed (Appendix 12, Table A-59 to Table A-63).

509 ***B. licheniformis***

510 Environmental isolates of *B. licheniformis* have the ability to form biofilms (Dat et al.
511 2012) which are implicated in the pathogenesis of bovine mastitis (reviewed in
512 Contreras and Rodríguez 2011; Nieminen et al. 2007) and bovine toxemia (Murray et al.
513 1995). *B. licheniformis* has been reported to cause sporadic abortion or stillbirths in
514 cattle as well as in buffalo, sheep, pigs and camelids (Agerholm et al. 1995; Agerholm
515 et al. 1997; Cabell 2007; Duncanson 2012; Galiero and De Carlo 1998; Gill 1999;
516 reviewed in Kirkbride et al. 1986; Kirkbride 1993; Madslie et al. 2012; Mitchell and
517 Barton 1986). Other adverse effects in terrestrial vertebrates associated with
518 *B. licheniformis* include placentitis, keratoconjunctivitis, feather degradation and yolk sac
519 infection in ostriches (Johnson et al. 1994; Gill 1999; Sheldon et al. 2002; Murray 2006;
520 Hare et al. 2008; Rajchard 2010; Goncagul et al. 2012). *B. licheniformis* has been
521 implicated in adverse effects in insects, including bed bugs, root-knot nematodes,
522 *Ecualyptus* snout-beetles and moths (Reinhardt et al. 2005; Mekete et al. 2008; Molina
523 and Santolmazza-Carbone 2010; Bilbech et al. 2012). An isolate of *B. licheniformis* was
524 implicated in effects in plants as the causative agent of pistachio dieback (Baradaran
525 and Ghasemi 2010).

526 A six month study attempted to determine the cause of 218 naturally-aborted bovine
527 fetuses (Agerholm et al. 1997). The likely cause of 73 abortions was diagnosed; the
528 most common causes were bovine diarrhea virus (13%), *Neospora caninum* (10%),
529 mycosis (5%) and *B. licheniformis* (4%) (Agerholm et al. 1997). In another study,
530 *B. licheniformis* represented 3% of bovine abortions (n=5,662) (Murray 2006). A
531 Canadian bovine abortion update report for years 1998 to 2004 implicated
532 *B. licheniformis* in 1.1 to 3.1% of abortion cases submitted to the Animal Health
533 Laboratory (McEwen and Carman 2005). In comparison, *Neospora* species represented
534 between 8.3 and 19% of cases submitted and other bacterial species represented
535 between 6.1 and 14% for the same period of time. An etiological agent was not
536 identified in up to 60.6% of cases between 2001 and 2002. Despite its presence at high
537 concentrations in agricultural settings (10^4 - 10^7 CFU/ m^3 in indoor air and 10^4 - 10^6 CFU/g
538 in settled dust (Andersson et al. 1999), abortion from exposure to naturally-occurring
539 *B. licheniformis* populations is not common. Pathogenesis of abortion is not clear but
540 ingestion of poor-quality/mouldy feed during gestation and subsequent hematogenous
541 spread to the reproductive tract as well as introduction during general animal husbandry
542 activities have been implicated (Cabell 2007; Scott 2011; Goncagul 2012). Gentamicin
543 and ciprofloxacin were the most effective antibiotics tested against *B. licheniformis*
544 isolated from the cervicovaginal mucus of repeat-breeding cows (Yadav and Kashyap
545 2003).

546 Experimental infection with *B. licheniformis* strain DVL 9315323 in pregnant dairy cows
547 demonstrated placentome tropism after IV challenge doses ranging from 10^9 to 10^{12}
548 CFU per animal (Agerholm et al. 1999). *B. licheniformis* bacteria were closely
549 associated with placentome and fetal lesions, and were hypothesised to have caused
550 abortion or premature delivery (Agerholm et al. 1999). In another mammalian study,
551 immune depressed BALB/c mice were exposed intravenously to environmental and
552 food isolates of *B. licheniformis*, including the type strain *B. licheniformis* ATCC 14580
553 at doses of $<1 \times 10^6$ to 6×10^{10} CFU per animal (Agerholm et al. 1997; Appendix 11,
554 Table A-54). Mice were able to eliminate high numbers of the bacteria within one week
555 however, some of the tested isolates caused pulmonary and brain lesions. Male albino
556 Wistar rats exposed to a strain of *B. licheniformis* had an oral NOAEL reported to be
557 greater than 1.1×10^{11} CFU/kg body weight (Nithya et al. 2012; Appendix 11, Table
558 A-54).

559 Studies on the effects of *B. licheniformis* strain SB3086 on a variety of environmental
560 species were submitted to support its registration as a fungicide for use on terrestrial
561 plants (Appendix 11, Table A-53). No pathogenicity or toxicity was observed in
562 terrestrial vertebrates (rats, mallard ducks), aquatic vertebrates (rainbow trout) or
563 terrestrial invertebrates (honeybee larvae) at the tested concentrations (U.S. EPA,
564 2001). Aquatic invertebrates (*Daphnia magna*) were exposed to the technical grade
565 active ingredient (TGAI). The survival of daphnids exposed to 1×10^7 CFU/mL of the
566 TGAI (1000 times the expected environmental concentration for pesticidal use) was
567 90% (two died) (PMRA-HC, personal communication). The TGAI was considered to be
568 not toxic in terms of survival, reproduction, length and weight relative to the control.
569 Although pathogenicity and toxicity studies on aquatic or terrestrial plants were not
570 reported as part of the pesticide registration, the pesticide containing this strain is
571 deliberately applied to terrestrial plants to control fungal plant pathogens. No adverse
572 effects on the treated plants have been reported in the scientific literature or in testing
573 performed for efficacy evaluation.

574 No negative effects were reported in brine shrimp, rainbow trout, pigs and chickens
575 exposed to probiotics containing strains of *B. licheniformis* (Link and Kovác 2006;
576 Merrifield et al. 2010a; Merrifield et al. 2010b; Rahimi and Kahsksefidi 2006; Vinoj et al.
577 2013). Increased weight gain and/or pathogen resistance were noted.

578 Murine exposure assays were conducted by Health Canada scientists. Female BALB/c
579 mice remained asymptomatic after exposure to 10^6 CFU of *B. licheniformis* ATCC
580 12713 spores or vegetative cells administered in a 25 μ L volume via an endotracheal
581 nebulizer. Aside from a transient inflammatory response, no significant changes were
582 observed (Appendix 12, Table A-59 to Table A-63).

583 ***B. subtilis***

584 *B. subtilis* occurs naturally in indoor air and settled dust of agricultural settings at
585 elevated cell-densities (Andersson et al. 1999). Certain strains have been released to
586 agricultural ecosystems as fungicides for use on terrestrial plants (Mendelsohn and

587 Vaituzis 1999; U.S. EPA 2006; PMRA-HC 2007a; PMRA-HC 2007b; PMRA-HC 2007c;
588 U.S. EPA 2010; PMRA-HC 2013); others have been released to aquatic habitats as a
589 water treatment/conditioner (Advanced Water Technologies 2012). Despite its natural
590 presence in, and history of release into, a variety of environments, a comprehensive
591 search of the scientific literature across a number of sources yielded no cases of
592 infection or evidence of adverse effects in aquatic plants or vertebrates.

593 Studies on the effects of strains of *B. subtilis* on a variety of environmental species were
594 submitted to support the registration of certain strains as biofungicides for use on
595 terrestrial plants (Appendix 11, Table A-56 and Table A-57). No significant adverse
596 effects were reported in birds, mammals, terrestrial insects, earthworms or soil micro-
597 organisms as a result of exposure to *B. subtilis* strain MBI 600 (PMRA-HC 2007a). No
598 significant adverse effects in birds, freshwater and marine fish, mammals or algae were
599 reported as a result of exposure to *B. subtilis* strain QST 713 (PMRA-HC 2007b). There
600 is some evidence of effects in aquatic and terrestrial invertebrates, but results are
601 inconsistent. In studies reviewed by the U.S. EPA, mortalities were reported in *Daphnia*
602 *magna* and parasitic *Hymenoptera* after exposure to *B. subtilis* QST 713 at varying
603 concentrations (Mendelsohn and Vaituzis 1999). The cause of death and involvement of
604 *B. subtilis* QST 713 in toxicity or pathogenicity could not be determined in these studies.

605 Murine exposure assays were conducted by Health Canada scientists. Female BALB/c
606 mice remained asymptomatic after exposure to 10^6 CFU of *B. subtilis* ATCC 6051A,
607 *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and *B. subtilis* subsp.
608 *inaquosorum* ATCC 55406 spores or vegetative cells administered in a 25 μ L volume
609 via an endotracheal nebulizer. Aside from a transient inflammatory response, no
610 significant changes were observed (Appendix 12, Table A-59 to Table A-63).

611 Pathogenicity and toxicity studies were performed by Environment Canada scientists⁷
612 using *Festuca rubra* (red rescue), *Folsomia candida* (collembolan or springtail) and
613 *Eisenia andrei* (earthworm) exposed to either *B. subtilis* ATCC 6051A or *B. subtilis*
614 ATCC 55405 in either field-collected sandy clay loam or a formulated artificial sandy
615 loam soil (Appendix 11, Table A-58). For the red fescue, field-collected or artificial soils
616 were inoculated with 10^5 CFU/g soil dry weight of either *B. subtilis* ATCC 6051A or
617 *B. subtilis* ATCC 55405. At the end of the study (day 21), a significant reduction
618 (approximately 18%) in the mean shoot length was detected in plants exposed to
619 *B. subtilis* ATCC 55405 in the field-collected soil, relative to the field-collected soil
620 negative control.

621 In the springtail trials, the arthropods were exposed for 28 days to field-collected or
622 artificial soils inoculated with either 10^4 CFU of *B. subtilis* ATCC 6051A or 10^3 CFU of
623 *B. subtilis* ATCC 55405 per gram of dry soil. When compared with the negative control
624 in both soils, a significant reduction (approximately 50%) in juvenile production was
625 observed after exposure to *B. subtilis* ATCC 55405, while no juveniles were produced

⁷ Tests done according to Environment Canada's "Guidance Document for Testing the Pathogenicity and Toxicity of New Microbial Substances to Aquatic and Terrestrial Organisms (EPS 1/RM/44, March 2004)".

626 after exposure to *B. subtilis* ATCC 6051A. Adult survival was not affected by either of
627 these strains.

628 In the earthworm trials, the invertebrate was exposed for 35 days in field-collected or
629 artificial soils inoculated with either 10⁴ CFU of *B. subtilis* ATCC 6051A or 10⁵ CFU of
630 *B. subtilis* ATCC 55405 per gram of dry soil. There were no adverse effects on
631 reproduction upon exposure to either strain, regardless of soil type. A significant
632 increase in juvenile production was observed in the field-collected soil, relative to the
633 field-collected soil negative control, after exposure to *B. subtilis* ATCC 55405.

634 **Masked DSL *Bacillus* Strains**

635 Murine exposure assays were conducted by Health Canada scientists. Female BALB/c
636 mice remained asymptomatic after exposure to 10⁶ CFU of *Bacillus* species 16970-5,
637 *Bacillus* species 2 18118-1, *Bacillus* species 4 18121-4 and *Bacillus* species 7 18129-3
638 spores or vegetative cells administered in a 25 µL volume via an endotracheal
639 nebulizer. Aside from a transient inflammatory response, no significant changes were
640 observed (Appendix 12, Table A-59 to Table A-63).

641 **1.1.3.2 Human Health**

642 With the exception of *B. cereus*, *Bacillus* infections in humans are rare. They are
643 diverse and tend to occur in immune compromised people (Pennington et al. 1976), or
644 in association with implanted medical devices (Banerjee et al. 1988) or recent trauma
645 (Logan 2012). Cases of non-*B. cereus* food poisoning caused by *Bacillus* species have
646 been reported (Kramer and Gilbert 1989; Murray et al. 1995). In recent years however,
647 there have been no reports of food poisoning incidents or outbreaks attributed to non-
648 *B. cereus* *Bacillus* species (Sorokulova, personal communication). As potential
649 contaminants of tobacco products, *Bacillus* species have been implicated in infections,
650 pulmonary inflammation and allergic sensitivities and plasma exudation and tissue
651 dysfunction in the mouth (Rooney 2005; Rubinstein and Pedersen 2002).

652 ***B. amyloliquefaciens***

653 *B. amyloliquefaciens* is globally distributed in a variety of ecological niches and has a
654 history of use in industrial fermentation and pest control. A comprehensive search of the
655 scientific literature across all major sources yielded no reports of human infection linked
656 to the species or of other adverse effects in humans from exposure to the organism, its
657 metabolites or structural components.

658 Studies submitted to support pesticide registrations for *B. amyloliquefaciens* strains
659 FZB24 and D747 included a variety of exposures in mammalian models used to predict
660 adverse effects in humans (Appendix 11, Table A-51 and Table A-52). Oral, pulmonary
661 and intravenous exposure studies using *B. amyloliquefaciens* strains FZB24 or D747
662 demonstrated low toxicity and no pathogenicity in CD and Sprague-Dawley rats at
663 maximum challenge doses.

664 In studies conducted at Health Canada, female BALB/c mice were exposed to 10⁶ CFU
665 of *B. amyloliquefaciens* 13563-0 vegetative cells or spores administered in a 25 µL
666 volume via an endotracheal nebulizer, as a model for human pulmonary exposure. The
667 mice appeared normal and remained asymptomatic after exposures to vegetative cells
668 and spores. All treated mice were necropsied 24 hours after exposure to vegetative
669 cells or 1 week after exposure to spores to assess bacterial clearance, pulmonary
670 cytokine expression and acute phase response (Appendix 12, Table A-59 to Table
671 A-63). A statistically significant pro-inflammatory response was observed and some
672 pulmonary cytokines were elevated 24 hours following exposure to vegetative cells. No
673 significant changes were observed after one week following exposure to spores. Mice
674 dosed with spores were not assessed for inflammation or cytokine expression at 24
675 hours, so the occurrence of transient inflammation would not have been detected. The
676 serum amyloid A levels were slightly elevated in the acute phase response for both
677 vegetative cells at 24 hours and spores at one week post-exposure.

678 No cases of hypersensitivity from glucanases or amylases produced by
679 *B. amyloliquefaciens* have been reported (Caballero et al. 2007). No hypersensitivity
680 incidents were reported during testing, production, use or handling of
681 *B. amyloliquefaciens* biocontrol strains FZB24 and D747 in controlled laboratory
682 settings during research and development (U.S. EPA 2011; U.S. EPA 2012).

683 ***B. atrophaeus***

684 *B. atrophaeus* has a widespread distribution in nature and a history of environmental
685 release as a surrogate organism for modelling airborne dispersal of pathogenic *Bacillus*
686 species (Carrera et al. 2007; Page et al. 2007; U.S. EPA 2013a). A comprehensive
687 search of the scientific literature across all major sources yielded no reports of human
688 infection with *B. atrophaeus* or of other adverse effects in humans from exposure to the
689 organism, its metabolites or structural components.

690 In studies conducted at Health Canada, female BALB/c mice were exposed to 10⁶ CFU
691 of *B. atrophaeus* 18250-7 vegetative cells or spores administered in a 25 µL volume via
692 an endotracheal nebulizer, as a model for human pulmonary exposure. The mice
693 appeared normal and remained asymptomatic after exposures to both spores and
694 vegetative cells. All treated mice were necropsied 24 hours after exposure to vegetative
695 cells or 1 week after exposure to spores to assess bacterial clearance, pulmonary
696 cytokines expression and acute phase response (Appendix 12, Table A-59 to Table
697 A-63). A statistically significant pro-inflammatory response was observed and some
698 pulmonary cytokines were elevated 24 hours following exposure to vegetative cells. No
699 significant changes were observed after one week following exposure to spores. Mice
700 dosed with spores were not assessed for inflammation or cytokine expression at 24
701 hours, so the occurrence of transient inflammation would not have been detected. The
702 serum amyloid A levels were slightly elevated in the acute phase response for both
703 vegetative cells and spores.

704 No cases of hypersensitivity or allergenicity as a result of *B. atrophaeus*, its metabolites
705 or structural components have been reported.

706 ***B. licheniformis***

707 Although *B. licheniformis* is naturally present in high concentrations in a variety of
708 environments to which humans are exposed, only 35 case reports of infection have
709 been published in the English literature since 1966. Several were cases of bacteremia
710 or septicemia, but a range of other infections were also reported (Blue et al. 1995;
711 Castagnola et al. 1997; Cotton et al. 1987; Maucour et al. 1999; Murray et al. 1995;
712 Tabbara and Tarabay 1979; Thurn and Goodman 1988). Almost all cases involved
713 predisposing factors: immune deficiency, debilitating disease or significant breaches in
714 natural barriers to infection.

715 *B. licheniformis* bacteremia was reported in patients with cancer (Banerjee et al. 1988;
716 Ozkocaman et al. 2006), peritonitis (Sugar and McCloskey 1977), central venous
717 catheters (Blue et al. 1995; Castagnola et al. 1997) and after a bronchoscopic
718 procedure (Hong et al. 2004). It was also seen in association with foot lesions (Gayet et
719 al. 2005) and in a pregnant woman (Peloux et al. 1976). Co-bacteremia of
720 *B. licheniformis* and *B. subtilis* in an elderly patient with predisposing factors was also
721 reported (La Jeon et al. 2012). In three cases of *B. licheniformis* septicemia, one was
722 due to contaminated intravenous lines (Matsumoto et al. 2000), another followed
723 arteriography (Hardy et al. 1986) and the third was in a pre-term infant (Lépine et al.
724 2009; Thomson et al. 1990). In two accounts, individuals deliberately injected
725 themselves with products containing *B. licheniformis* spores (alone or in combination
726 with spores of other *Bacillus* species), resulting in bacteremia (Galanos et al. 2009;
727 Hannah and Ende, 1999). Bacteremia was recurrent in one case, possibly because
728 spores, which were resistant to antibiotic treatment, remained in the tissues and
729 germinated periodically (Hannah and Ender 1999). This kind of recurrent sepsis caused
730 by *B. licheniformis* was also observed more recently, in an immune competent individual
731 with no apparent underlying conditions (Haydushka et al. 2012).

732 *B. licheniformis* ophthalmitis or endophthalmitis (Maucour et al. 1999; Tabbara and
733 Tarabay 1979; Thurn and Goodman 1988) and brain abscess (Jones et al. 1992) each
734 resulting from penetrating eye trauma have been reported. A brain abscess caused by
735 *B. licheniformis* was also described in a patient with acute myeloid leukemia (Mochiduki
736 et al. 2007) and in a healthy patient which later progressed to a malignant brain tumour
737 (Flores et al. 2001). In the last case, subsequent to being the causal organism in the
738 formation of a brain abscess, *B. licheniformis* was postulated to be the oncogenic agent.
739 Although conclusive evidence of such a causal relationship is lacking, *B. licheniformis*
740 has been hypothesized to be an oncogenic bacterium along with others such as
741 *Helicobacter pylori* (Wainwright and Al Talih 2003). Other infections with *B. licheniformis*
742 include parotid gland abscess (Longo et al. 2003), a cutaneous infection as the result of
743 injury (Ameur et al. 2005), prosthetic valve endocarditis (Santini et al. 1995), a
744 pacemaker wire infection with bacteremia (Quan et al. 2000), post-operative ventriculitis

745 where *B. licheniformis* was isolated from cerebrospinal fluid (Young et al. 1982) and
746 spondylitis in association with bacteremia in a lung cancer patient (Kim et al. 2012).

747 The safety of *B. licheniformis* strain Mel (isolated from milk) was assessed for use in the
748 food industry (Appendix 11, Table A-54). The oral no observed adverse effect level
749 (NOAEL) was greater than 1.1×10^{11} CFU/kg body weight in male albino Wistar rats
750 (Nithya et al. 2012). Studies submitted to support pesticide registrations for
751 *B. licheniformis* strain SB3086 included a variety of exposures in standard mammalian
752 models used to predict adverse effects in humans (Appendix 11, Table A-53). Oral,
753 pulmonary and intravenous exposure studies using *B. licheniformis* strain SB3086
754 demonstrated low toxicity and no pathogenicity in rats at maximum challenge doses.

755 Artificially immune depressed mice (BALB/c mice treated intraperitoneally with
756 cyclophosphamide at 0.2 mg/g body weight), were dosed, intravenously with $<1 \times 10^6$
757 to 6×10^{10} CFU per animal of clinical, environmental and food isolates of
758 *B. licheniformis*, including the type strain ATCC 14580 (Agerholm et al. 1997; Appendix
759 11, Table A-54). Despite the immune-depressed state of the mice, they were able to
760 eliminate high numbers of the bacteria within one week, but *B. licheniformis* was
761 recovered from the liver and spleen of most mice and from the kidneys of some mice
762 one week after exposure. Some of the tested isolates caused pulmonary and brain
763 lesions. Signs were only observed in two mice and no deaths attributed to treatment
764 were reported. Given the high doses, zero treatment-related mortality and the clearance
765 of most bacteria from tissues, all tested strains of *B. licheniformis* were considered to be
766 of low pathogenicity in immune depressed mice.

767 In studies conducted at Health Canada, female BALB/c mice were exposed to 10^6
768 CFU/25 μ L of *B. licheniformis* ATCC 12713 vegetative cells or spores administered in a
769 25 μ L volume via an endotracheal nebulizer, as a model for human pulmonary
770 exposure. The mice appeared normal and remained asymptomatic after exposures to
771 vegetative cells and spores. All treated mice were necropsied 24 hours after exposure
772 to vegetative cells or 1 week after exposure to spores to assess bacterial clearance,
773 pulmonary cytokine expression and acute phase response (Appendix 12, Table A-59 to
774 Table A-63). A statistically significant pro-inflammatory response was observed and
775 some pulmonary cytokines were elevated 24 hours following exposure to vegetative
776 cells. An increase in serum amyloid A level in the acute phase response relative to the
777 control was observed for vegetative cells of *B. licheniformis* ATCC 12713. No data
778 regarding pulmonary cytokines or serum amyloid A level were available for exposure to
779 spores of *B. licheniformis* ATCC 12713.

780 *B. licheniformis* has been reported in the literature as being implicated in outbreaks of
781 food poisoning (Appendix 13). Endospore-forming bacteria, like *B. licheniformis*, along
782 with heat-resistant toxic substances they produce, may survive pasteurization and other
783 dairy processes as well as cooking temperatures (Biesta-Peters et al. 2010; Nieminen
784 et al. 2007). For a toxic dose of enterotoxin to be produced in contaminated milk or
785 other foods, cell counts of 10^5 to 10^9 CFU/g are estimated to be required (reviewed in
786 Cosentino et al. 1997; Griffiths 1990; Logan, 2012; Lund, 1990; Rosenkvist and Hansen

787 1995; Salkinoja-Salonen et al. 1999). Food poisoning symptoms resulting from ingestion
788 of *B. licheniformis*-contaminated food occur 5 to 12 hours after consumption (8 hour
789 median). *B. licheniformis* food poisoning is similar to the diarrheal syndromes caused by
790 *Clostridium perfringens* and *B. cereus* (reviewed in Drobniowski 1993; Kramer and
791 Gilbert 1989). Death as a result of *B. licheniformis* food poisoning was reported in an
792 infant that had consumed contaminated formula (Mikkola et al. 2000; Salkinoja-Salonen
793 et al. 1999). Two *B. licheniformis* isolates obtained from the formula were reported to be
794 toxigenic (Salkinoja-Salonen et al. 1999). *B. licheniformis* ATCC 14580 (the type strain)
795 has been reported to be non-toxigenic (Pedersen et al. 2002). The DSL strain,
796 *B. licheniformis* ATCC 12713, was tested at Health Canada for Hbl and Nhe toxin
797 production and was not observed to produce these diarrheal toxins. Germination of
798 spores and growth of *Bacillus* spp. in heat-treated raw milk and other foods produce
799 “off-flavours” and poor appearance which may deter consumption and thereby prevent
800 exposure (reviewed in Abo-Elnaga et al. 2002; Davies and Wilkinson 1973).

801 Glyphosate acetyltransferase from *B. licheniformis* used in an herbicide was evaluated
802 for potential allergenicity and toxicity (Delaney et al. 2008). The authors concluded that
803 at least in the context of agricultural biotechnology there are no expected adverse
804 effects to humans and the potential for human exposure to the protein is low if
805 expressed in transgenic plants (Delaney et al. 2008). *B. licheniformis* strain SB3086 has
806 been screened for delayed contact sensitivity in guinea pigs and was determined to not
807 be a dermal sensitizer. No reports of hypersensitivity or allergenicity implicating the DSL
808 strain *B. licheniformis* ATCC 12713 have been described.

809 ***B. subtilis***

810 *B. subtilis* bacteremia, septicemia and other infections have been reported (De Boer et
811 al. 1991; reviewed in Drobniowski 1993; Ihde and Armstrong 1973; Logan 1988; Murray
812 et al. 1995; Olszewski et al. 1999; Pennington et al. 1976; reviewed in Tuazon et al.
813 1979; Turnbull et al. 1979); however, *B. subtilis* infections are rare, and involve
814 predisposing conditions including immune deficiency, debilitating disease and significant
815 breaches in normal barriers to infection. Few cases of infection and no fatalities caused
816 by *B. subtilis* have been reported since 1980.

817 *B. subtilis* bacteremia has been reported in cancer patients (Banerjee et al. 1988).
818 Nosocomial bacteremia caused by *B. subtilis* was reported in four of eight patients with
819 underlying conditions (cancer, head trauma and recent surgery) who had been given a
820 probiotic containing *B. subtilis* spores (10^9 spores per tablet) (Richard et al. 1988).
821 Septicemia caused by *B. subtilis* was reported in a young child (Cox et al. 1959) and in
822 hospitalized patients who had intravenous lines (Matsumoto et al. 2000).

823 *B. subtilis* was implicated in a case of cellulitis that progressed to necrotizing fasciitis in
824 a cancer patient (Tuazon et al. 1979). Infections where *B. subtilis* was implicated as the
825 causative agent or a concomitant as the result of indwelling medical devices have been
826 reported (Ihde and Armstrong 1973; Schoenbaum et al. 1975). Some reported
827 *B. subtilis* infections were fatal (Ihde and Armstrong 1973; Pennington et al. 1976;

828 reviewed in Tuazon et al. 1979). In these cases, patients had serious co-morbidities and
829 in some cases *B. subtilis* was thought to be a contaminant and its role as the causative
830 agent was initially overlooked.

831 Studies submitted to support pesticide registrations for *B. subtilis* strains QST 713 and
832 MBI 600 included a variety of exposures in standard mammalian models used to predict
833 adverse effects in humans (Appendix 11, Table A-56 and Table A-57). Oral, pulmonary
834 and intravenous exposure studies using *B. subtilis* strains QST 713 and MBI 600
835 demonstrated low toxicity and no pathogenicity in CD rats at maximum challenge doses.

836 In studies conducted at Health Canada, female BALB/c mice were exposed to 10^6 CFU
837 of *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC
838 6051 and *B. subtilis* subsp. *inaquosorum* ATCC 55406 vegetative cells and spores
839 administered in a 25 μ L volume via an endotracheal nebulizer, as a model for human
840 pulmonary exposure. The mice appeared normal and remained asymptomatic after
841 exposures to vegetative cells and spores. All treated mice were necropsied 24 hours
842 after exposure to vegetative cells or 1 week after exposure to spores to assess bacterial
843 clearance, pulmonary cytokine expression and acute phase response (Appendix 12,
844 Table A-59 to Table A-63). Vegetative cells and spores were enumerated in the lungs,
845 trachea and esophagus. Changes in cytokine level and serum amyloid A in the acute
846 phase response were only reported for vegetative cells of *B. subtilis* subsp.
847 *inaquosorum* ATCC 55406.

848 Endospore-forming bacteria such as *Bacillus* species, along with the heat-resistant toxic
849 substances they produce, may survive pasteurization and other dairy processes
850 (Nieminen et al. 2007). The proliferation of these micro-organisms in foods represents a
851 potential food poisoning hazard (Beattie and Williams 1999). After consumption of food
852 with high bacterial loads (10^5 - 10^9 CFU/g) *B. subtilis* food poisoning symptoms may
853 begin 10 minutes to 14 hours (2.5 hour median) with acute onset of vomiting
854 (Rosenkvist and Hansen 1995; Logan 2012). Foods often implicated are meat, seafood,
855 pastry products and rice dishes. *B. subtilis* food poisoning has also been associated
856 with spoiled (ropy) bread where the concentration of *B. subtilis* has been reported to be
857 approximately 10^8 CFU/g. Foodborne illness due to ropy bread is unlikely given the
858 unattractive appearance (discoloured, sticky and soft crumb) of the affected bread as a
859 result of the high number of cells present which breakdown starch and proteins
860 (Rosenkvist and Hansen 1995; Logan 2012; Lund 1990). The DSL strains *B. subtilis*
861 ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and
862 *B. subtilis* subsp. *inaquosorum* ATCC 55406, were tested at Health Canada for Hbl and
863 Nhe toxin production and were not observed to produce these diarrheal toxins.

864 In a recent article, liver damage was reported in patients who had consumed nutritional
865 supplements which contained *B. subtilis* (Logan 2012). The strain was later
866 demonstrated to be hepatotoxic in a Hep2G cell culture assay. Several strains of
867 *B. subtilis* were tested in rats and other vertebrates and no negative effects were
868 observed.

869 No hypersensitivity incidents were reported during testing, production or use of
870 *B. subtilis* strains QST 713 or MBI 600 (PMRA-HC 2007b; PMRA-HC 2007c). *B. subtilis*
871 MBI 600 was a moderate skin sensitizer 24 to 72 hours post challenge (PMRA-HC
872 2007c; U.S. EPA 2012). *B. subtilis* produces exoenzymes that facilitate the decay of
873 organic matter (Tjalsma et al. 2004). Subtilisins are proteolytic enzymes produced by
874 *B. subtilis* that are known to elicit allergic reactions including dermatitis and respiratory
875 allergies in humans following repeated exposure (Juniper et al. 1977; Norris et al. 1981;
876 Schweigert et al. 2000; Thorne et al. 1986; Tripathi and Grammer 2001; Weissman and
877 Lewis 2002). *B. subtilis* has been reported to produce enzymes that cause symptoms
878 associated with allergenicity including asthma and irritation (Flindt and Hendrick 2002).

879 **Masked DSL *Bacillus* Strains**

880 In studies conducted at Health Canada, female BALB/c mice were exposed to 10⁶ CFU
881 of *Bacillus* species 16970-5, *Bacillus* species 2 18118-1, *Bacillus* species 4 18121-4
882 and *Bacillus* species 7 18129-3 vegetative cells or spores administered in a 25 µL
883 volume via an endotracheal nebulizer, as a model for human pulmonary exposure. The
884 mice appeared normal and remained asymptomatic after exposures to vegetative cells
885 and spores. All treated mice were necropsied 24 hours after exposure to vegetative
886 cells or 1 week after exposure to spores to assess bacterial clearance, pulmonary
887 cytokine expression and acute phase response (Appendix 12, Table A-59 to Table
888 A-63). Vegetative cells and spores were enumerated in the lungs, trachea and
889 esophagus. Changes in the cytokine levels following exposure to vegetative cells and
890 spores of *Bacillus* species 16970-5, *Bacillus* species 2 18118-1 and *Bacillus* species 4
891 18121-4 were observed. *Bacillus* species 7 18129-3 was not tested. Changes in
892 cytokine level and serum amyloid A in the acute phase response were only reported for
893 vegetative cells of *Bacillus* species 16970-5, *Bacillus* species 2 18118-1 and *Bacillus*
894 species 4 18121-4 and spores of *Bacillus* species 16970-5 and *Bacillus* species 2
895 18118-1.

896 **1.2 Hazard Severity**

897 Regular exposure to members of the *B. subtilis* complex occurs due to their widespread
898 distribution in the environment (Murray et al. 1995). Strains can be found on dust
899 particles which can be inhaled (Andersson et al. 1999). Dermal contact may occur as
900 strains are commonly found in soils and on most surfaces (Logan and De Vos 2009;
901 Murray et al. 1995; Thatoi et al. 2013). Despite the high natural exposure to these
902 micro-organisms there is a low rate of reported infections (Rooney, personal
903 communication). Furthermore, *B. subtilis* complex members have a history of use in
904 biocontrol, growth promotion and as probiotics, all resulting in direct exposure to
905 humans and environmental species, and without reported adverse effects. Finally, the
906 DSL strains are widely used in a variety of sectors in Canada (see 2.1 Sources of
907 Exposure) and no adverse effects have been reported in association with these uses.

908 **1.2.1 Environmental Hazard**

909 **1.2.1.1 *B. amyloliquefaciens***

910 The environmental hazard severity for *B. amyloliquefaciens* 13563-0 is estimated to be
911 low because no cases of infection or adverse effects in terrestrial and aquatic
912 vertebrates, invertebrates and plants were found in the scientific literature. Testing of
913 *B. amyloliquefaciens* pesticidal strains in terrestrial and aquatic vertebrates and
914 invertebrates indicates low pathogenic or toxic potential. Testing conducted by Health
915 Canada scientists in murine models and cell lines indicates that *B. amyloliquefaciens*
916 13563-0 has low pathogenic potential. There is a history of safe use of
917 *B. amyloliquefaciens* 13563-0 and of *B. amyloliquefaciens* pesticidal strains.

918 **1.2.1.2 *B. atrophaeus***

919 The environmental hazard severity for *B. atrophaeus* 18250-7 is estimated to be low
920 because information from the scientific literature indicates that *B. atrophaeus* has low
921 toxic and pathogenic potential in terrestrial and aquatic vertebrates, invertebrates and
922 plants and no adverse effects were reported. Testing conducted by Health Canada
923 scientists in murine models and cell lines indicates that *B. atrophaeus* 18250-7 has low
924 pathogenic potential.

925 **1.2.1.3 *B. licheniformis***

926 The environmental hazard severity for *B. licheniformis* ATCC 12713 is estimated to be
927 low because information from the scientific literature indicates that *B. licheniformis* has
928 low pathogenic potential to terrestrial or aquatic invertebrates or plants. Though
929 *B. licheniformis* abortion occurs naturally in agricultural settings it is rare and under
930 experimental conditions, doses required to establish infection in the bovine placenta
931 were high and resulted in higher blood concentrations of bacteria than would be
932 expected during infection under natural conditions. In the unlikely case of infection,
933 relevant veterinary antibiotics against *B. licheniformis* ATCC 12713 are available. In
934 addition, it has been used as a probiotic in brine shrimp, rainbow trout, pigs and
935 chickens without negative effects reported. Testing conducted by Health Canada
936 scientists in murine models and cell lines indicates that *B. licheniformis* ATCC 12713
937 has low pathogenic potential (consistent with the *Bacillus* species assessed in this
938 report).

939 **1.2.1.4 *B. subtilis***

940 The environmental hazard severity for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405,
941 *B. subtilis* subsp. *subtilis* ATCC 6051 and *B. subtilis* subsp. *inaquosorum* ATCC 55406
942 is estimated to be low because information from the scientific literature regarding
943 *B. subtilis* indicates that it has a low toxic and pathogenic potential in terrestrial and
944 aquatic vertebrates, invertebrates and plants. However, some adverse effects were
945 reported following exposure to high concentrations of other strains of *B. subtilis*. Testing

946 of *B. subtilis* pesticidal strains in terrestrial and aquatic vertebrates and invertebrates
947 generally indicates low pathogenic or toxic potential but some effects were observed in
948 terrestrial and aquatic invertebrates. In testing conducted by Environment Canada
949 scientists, significant reductions in mean shoot length in terrestrial plants and in juvenile
950 production in terrestrial arthropods were observed after exposure to *B. subtilis* ATCC
951 6051A and *B. subtilis* ATCC 55405. Testing conducted by Health Canada scientists in
952 murine models and cell lines indicates that *B. subtilis* ATCC 6051A, *B. subtilis* ATCC
953 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and *B. subtilis* subsp. *inaquosorum* ATCC
954 55406 have low pathogenic potential. There is a history of safe use for all the DSL
955 *B. subtilis* strains.

956 **1.2.1.5 Masked DSL *Bacillus* Strains**

957 The environmental hazard severity for *Bacillus* species 16970-5, *Bacillus* species 2
958 18118-1, *Bacillus* species 4 18121-4 and *Bacillus* species 7 18129-3 is estimated to be
959 low because testing conducted by Health Canada scientists in murine models and cell
960 lines indicates that these strains have low pathogenic potential. There is a history of
961 safe use of the masked DSL *Bacillus* strains.

962 **1.2.2 Human Health Hazard**

963 **1.2.2.1 *B. amyloliquefaciens***

964 The human hazard severity for *B. amyloliquefaciens* 13563-0 is estimated to be low
965 because information from the scientific literature indicates a low pathogenic potential
966 and no cases of infection were reported. Testing of pesticidal strains of
967 *B. amyloliquefaciens* in models of human infection indicates a low pathogenic or toxic
968 potential. Testing conducted by Health Canada scientists in murine models and cell
969 lines indicates that *B. amyloliquefaciens* 13563-0 has low pathogenic potential.
970 Antibiotic susceptibility testing performed by Health Canada scientists demonstrated
971 that clinically relevant antibiotics are effective against this strain. There is a history of
972 safe use of *B. amyloliquefaciens* 13563-0.

973 **1.2.2.2 *B. atrophaeus***

974 The human hazard severity for *B. atrophaeus* 18250-7 is estimated to be low because
975 information from the scientific literature indicates a low pathogenic potential and no
976 cases of infection were reported. Testing conducted by Health Canada scientists in
977 murine models and cell lines indicates that *B. atrophaeus* 18250-7 has low pathogenic
978 potential. Antibiotic susceptibility testing performed by Health Canada scientists
979 demonstrated that clinically relevant antibiotics are effective against this strain. There is
980 a history of safe use of *B. atrophaeus* 18250-7.

981 **1.2.2.3 *B. licheniformis***

982 The human hazard severity for *B. licheniformis* ATCC 12713 is estimated to be low
983 because information from the scientific literature indicates that there is some pathogenic
984 potential, however, case reports are rare, and occur mostly in individuals with
985 compromised immunity, debilitating disease or whose normal barriers to infection are
986 breached by implanted medical devices or wounds. In one instance, recurrent sepsis
987 was reported in an individual with no known predisposition who made full recovery.
988 Testing conducted by Health Canada scientists in murine models and cell lines
989 indicates that *B. licheniformis* ATCC 12713 has low pathogenic potential.(consistent
990 with the other *Bacillus* species assessed in this report) and no toxicity or pathogenicity
991 was observed. *B. licheniformis*-associated food poisoning has been reported, however
992 the DSL strain did not produce *B. cereus*-like toxins as demonstrated in testing done by
993 Health Canada scientists. Mitigating factors such as off-flavours and appearance would
994 likely discourage consumption of contaminated food. There is a history of safe use of
995 *B. licheniformis* ATCC 12713.

996 Antibiotic susceptibility testing performed by Health Canada scientists first indicated that
997 *B. licheniformis* ATCC 12713 is resistant to many of the antibiotics it was tested against
998 (most for which interpretive criteria were available, excepting tetracycline and
999 rifampicin); however, after further investigation it was concluded that the apparent high
1000 resistance observed was an artefact of the liquid culture MIC assay. Reinterpreted using
1001 a 95% bioreduction activity cut-off, the susceptibility profile was consistent with values in
1002 the literature on the species, and for vancomycin, this was confirmed using a
1003 commercial test-strip method.

1004 **1.2.2.4 *B. subtilis***

1005 The human hazard severity for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405,
1006 *B. subtilis* subsp. *subtilis* ATCC 6051 and *B. subtilis* subsp. *inaquosorum* ATCC 55406
1007 is estimated to be low because information from the scientific literature indicates that
1008 there is some pathogenic potential in individuals with compromised immunity or whose
1009 normal barriers to infection are breached. However, the number of reports is limited,
1010 most reports pre-date 1980 and no fatalities have since been reported. Testing
1011 conducted by Health Canada scientists in murine models and cell lines indicates that
1012 *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051
1013 and *B. subtilis* subsp. *inaquosorum* ATCC 55406 have low pathogenic potential.
1014 Although *B. subtilis*-associated food poisoning has been reported, the DSL strains do
1015 not produce *B. cereus*-like toxins as demonstrated in testing done by Health Canada
1016 scientists. Mitigating factors such as off-flavours and appearance would likely
1017 discourage consumption of contaminated food. Testing of pesticidal strains of *B. subtilis*
1018 in models of human infection indicates a low pathogenic or toxic potential. There is a
1019 history of safe use of the DSL strains.

1020 **1.2.2.5 Masked DSL *Bacillus* strains**

1021 The human hazard severity for *Bacillus* species 16970-5, *Bacillus* species 2 18118-1,
1022 *Bacillus* species 4 18121-4 and *Bacillus* species 7 18129-3 is estimated to be low
1023 because Testing conducted by Health Canada scientists in murine models and cell lines
1024 indicates that these strains have low pathogenic potential. There is a history of safe use
1025 of the masked DSL *Bacillus* strains.

1026 **2. Exposure Assessment**

1027 **2.1 Sources of Exposure**

1028 This assessment considers exposure to the DSL *B. licheniformis/subtilis* group strains
1029 resulting from their addition to consumer or commercial products and their use in
1030 industrial processes in Canada.

1031 The DSL *B. licheniformis/subtilis* group were nominated to the DSL for use in consumer
1032 and commercial products including products for cleaning and deodorizing, drain cleaning
1033 and degreasing, RV/septic tank treatment and in bioremediation and biodegradation,
1034 waste and wastewater treatment and water conditioning.

1035 Responses to a voluntary questionnaire sent in 2007 to a subset of key biotechnology
1036 companies, combined with information obtained from other federal government
1037 regulatory and non-regulatory programs, indicate that DSL *B. licheniformis/subtilis*
1038 group strains were in commercial use in 2006. No information on uses of *B. atrophaeus*
1039 was collected at this time, as it was nominated to the DSL after the survey took place.

1040 The Government conducted a mandatory information-gathering survey under section 71
1041 of CEPA 1999, as published in the *Canada Gazette*, Part I, on October 3, 2009 (section
1042 71 Notice). The section 71 Notice applied to any persons who, during the 2008 calendar
1043 year, manufactured or imported strains of the DSL *B. licheniformis/subtilis* group whether
1044 alone, in a mixture, or in a product. Commercial or consumer activity was reported for
1045 these micro-organisms in a variety of different sectors (for quantities and concentrations
1046 see Table 2-1). Uses reported for members of the DSL *B. licheniformis/subtilis* group
1047 include biodegradation; biological waste treatment; bioremediation; custodial cleaning
1048 and other related products; drain cleaning and degreasing; fragrance, perfume or
1049 deodorizer; enzyme and chemical production; research and development; septic tank or
1050 recreational vehicle tank additive; and waste and wastewater treatment. No information
1051 on uses of *B. atrophaeus* was collected through the section 71 Notice, as it was
1052 nominated to the DSL after the survey took place.

1053 **Table 2-1: Quantities of DSL *B. licheniformis/subtilis* group strains reported to be**
1054 **imported or manufactured in Canada in 2009^a**

Species ^b	Total Amount Range ^c (kg)	Concentration range ^d (CFU/mL)
<i>Bacillus amyloliquefaciens</i>	10,000-100,000	2.0 × 10 ⁸ to 1.0 × 10 ¹¹

<i>Bacillus licheniformis</i>	100,000-1,000,000	4.0×10^6 to 1.0×10^{11}
<i>Bacillus subtilis</i> ^e	100,000-1,000,000	1.0×10^5 to 1.0×10^{11}

- 1055
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- ^a No information on uses of *B. atrophaeus* was collected through the Notice as it was nominated to the DSL after the survey took place
- ^b Includes all DSL strains of the species
- ^c Combined amount of all products containing the micro-organisms manufactured in or imported to Canada
- ^d Concentration range of micro-organisms reported to be imported or manufactured in Canada
- ^e Including *B. subtilis* subsp. *inaquosorum* ATCC 55406

1061 A search of the public domain (internet, patent databases, MSDS, etc.) suggests
1062 multiple potential uses of the *B. subtilis* complex including the DSL
1063 *B. licheniformis/subtilis* group strains.

1064 ***B. amyloliquefaciens***

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- As a production micro-organism of enzymes (e.g. amylase, isoprene, protease, non-structural protein 3, ribonuclease, and phytases), biosurfactants, antibiotics and detergents which have industrial and commercial applications (Madslien et al. 2012) including cleaning, degreasing, antibacterial applications (ATCC 2012c; James et al. 1995; Madslien et al. 2012; Moons et al. 2009; Pérez-García et al. 2011; Rendueles and Ghigo, 2012; Rivardo et al. 2009).
 - Application to surfaces to favour the formation of a *B. amyloliquefaciens* biofilm to displace undesirable or unknown micro-organisms (James et al. 1995; Moons et al. 2009; reviewed in Rendueles and Ghigo 2012; Rivardo et al. 2009).
 - Application in a mixture with other bacterial species for water and wastewater treatment to treat algal blooms, odours and sludge build-up (Advanced Water Technologies 2012; RoeTech 2014).

1077 ***B. atrophaeus***

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- Use of spores as a surrogate for weaponized *B. anthracis* in fine-tuning of defense monitoring equipment and as a challenge agent (Blecka et al. 2012; Carrera et al. 2007; Grinshpun et al. 2012; Page et al. 2007; U.S. EPA 2013a).
 - Use of spores to test the efficacy of sterilization by dry heat, ethylene oxide and steam sterilization as part of quality assurance and control in the production of pharmaceutical and personal care products (ATCC 2012d).
 - Pathogen transmission modelling (Gerhardts et al. 2012).

1085 ***B. licheniformis***

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- As a production organism of enzymes and biosurfactants including alpha-amylase, lichenysin, pentosanases, deoxyribonuclease (NucB), nitroreductase and levansucrase (ATCC 2013; reviewed in Komolprasert and Ofoli 1991; Moons et al. 2009; Nerurkar, 2010; reviewed in Rendueles and Ghigo 2012; Rey et al. 2004; Rivardo et al. 2009; Thatoi et al. 2013; Yakimov and Golyshin 1997).
 - Biosynthesis of silver nanocrystals (Kalimuthu et al. 2008) and gold nanocubes (Kalishwaralal et al. 2009).

- 1093 • Degradation of feather waste generated by poultry farms and processing plants
1094 (Ichida et al. 2001).
- 1095 • Bioremediation of heavy metals (e.g. zinc, cadmium and aluminum) (Kamika and
1096 Momba 2013).
- 1097 • Water and wastewater treatment to reduce algal blooms, odours and sludge build-
1098 up (Advanced Water Technologies 2012).
- 1099 • Bioindicator of the toxicity of sediment elutriates (Campbell et al. 1993).
- 1100 • Beneficial biofilm formation (James et al. 1995; Moons et al. 2009; reviewed in
1101 Rendueles and Ghigo 2012; Rivardo et al. 2009).
- 1102 • In probiotic products for humans and animals (Cutting 2011; Nithya et al. 2012).

1103 ***B. subtilis***

- 1104 • As a production organism of lipopeptides (biosurfactants), enzymes (e.g. amylase,
1105 protease and antibiotic compounds (e.g. aterritin) and isoprene (ATCC 2012b;
1106 ATCC, 2012f; Moons et al. 2009; Rendueles and Ghigo 2012; Rivardo et al. 2009;
1107 reviewed in Thatoi et al. 2013).
- 1108 • Water and wastewater treatment to reduce algal blooms, odours, sludge build-up,
1109 septic tanks and agricultural waste pits (Advanced Water Technologies 2012;
1110 RoeTech 2014).
- 1111 • Fermentation of traditional foods (Inatsu et al. 2006; Leejeerajumnean 2003).
- 1112 • Beneficial biofilm formation (James et al. 1995; Moons et al. 2009; reviewed in
1113 Rendueles and Ghigo 2012; Rivardo et al. 2009).
- 1114 • Use of spores to test sterility assurance and in bacterial resistance of latex paint
1115 (ATCC 2012f).
- 1116 • Applications in research as a bacteriophage host (ATCC 2012f).
- 1117 • Diagnostic applications in blood screening for phenylketonuria (ATCC 2012f).
- 1118 • Application in the production of feed supplements (ATCC 2012a).
- 1119 • In probiotic products for humans and animals (Cutting 2011).

1120 **2.2 Exposure Characterisation**

1121 **2.2.1 Environment**

1122 **2.2.1.1 *B. atrophaeus***

1123 Environmental exposure to *B. atrophaeus* 18250-7 is possible for terrestrial species,
1124 and to a lesser extent aquatic species, during its environmental release as a surrogate
1125 organism for *B. anthracis* in dispersal modelling and fine tuning of defense monitoring
1126 equipment. The extent of exposure will depend on the method of release, release
1127 volume, weather conditions and wind velocity. In general, exposure is expected to be
1128 low for these applications as it is a specialized activity occurring at a single, remote site
1129 in Canada. Inhalation would be the main route of exposure. Exposure as the result of
1130 dermal contact with contaminated surfaces and inadvertent ingestion through secondary
1131 contamination of food resources is expected to be low. The overall environmental
1132 exposure estimation for *B. atrophaeus* 18250-7 is low.

1133 **2.2.1.2 *B. amyloliquefaciens*, *B. licheniformis*, *B. subtilis* and masked DSL**
1134 ***Bacillus* strains**

1135 Environmental exposure to the other DSL *B. licheniformis/subtilis* strains will be
1136 considered together, as the known and potential uses are similar.

1137 Members of the *B. subtilis* complex have the ability to adapt to and thrive in many
1138 terrestrial and aquatic habitats. Numerous physiological variants exist in nature, making
1139 the complex highly successful in nearly every environment. Despite the widespread
1140 distribution of the species complex, there is evidence to demonstrate a decline in
1141 introduced populations artificially inoculated into soil microcosms and marine
1142 environments (Medina et al. 2003; Nybroe et al. 1992). High numbers of vegetative cells
1143 are unlikely to be maintained in water or soil due to competition for nutrients (Leung et
1144 al. 1995) and microbiostasis, which is an inhibitory effect of soil, resulting in the rapid
1145 decline of populations of introduced bacteria (Van Veen et al. 1997).

1146 To estimate expected environmental concentrations from expected applications, case
1147 studies in bioremediation and wastewater treatment were explored. A mixture of
1148 *Bacillus* species including *B. amyloliquefaciens* and *B. subtilis* (up to 10^{11} CFU/g) was
1149 added to treat municipal wastewater at a rate of 7.5 ppm of flow (RoeTech 2014),
1150 resulting in a concentration up to 7.5×10^5 CFU/mL in the treated wastewater. In a
1151 bench scale proof of concept study, 1.5×10^9 cells of a strain of *B. subtilis* were added
1152 to 60 g of petroleum hydrocarbon contaminated soil for a final concentration of 2.5×10^7
1153 cells/g (Wu et al. 2013). Such concentrations are unlikely to be maintained in
1154 wastewater effluent or soils as vegetative cells of the DSL *B. licheniformis/subtilis*
1155 strains do not have any competitive advantage over naturally-occurring populations of
1156 similar micro-organisms and would be subject to competition for nutrients with
1157 indigenous flora. Populations of vegetative cells of DSL *B. licheniformis/subtilis* strains
1158 introduced to soil and water will likely decrease to background levels over time. Under
1159 sub-optimal conditions, spores of the DSL *B. licheniformis/subtilis* strains are likely to
1160 persist and accumulate in the environment.

1161 Exposure to the DSL strains is expected to be greatest for organisms in and around the
1162 vicinity of direct application to aquatic ecosystems for water treatment (e.g. aquaria and
1163 ponds) or to soils for bioremediation of contaminants.

1164 Indirect exposure of environmental species resulting from the use and disposal of
1165 cleaning products is expected to be low relative to direct applications to aquatic
1166 ecosystems or soils. Growth in the market for “greener” microbial-based products may,
1167 however, increase such exposures (Spök and Klade 2009).

1168 No relevant reports concerning the persistence of toxins produced by strains of the
1169 *B. subtilis* complex in the environment were found in a comprehensive search of the
1170 scientific literature over a number of sources.

1171 The environmental exposure to the other DSL *B. licheniformis/subtilis* strains is
1172 expected to be medium based on the wide range of uses reported in response to the
1173 Notice.

1174 **2.2.2 Humans**

1175 **2.2.2.1 *B. atropheus***

1176 Human exposure to *B. atropheus* 18250-7 is possible for bystanders during its
1177 environmental release as a surrogate organism for *B. anthracis* in dispersal modelling
1178 and fine tuning of defense monitoring equipment. The extent of exposure will depend on
1179 the method of release, release volume, weather conditions, wind velocity and the
1180 proximity of bystanders to the site of application. In general, exposure is expected to be
1181 low for these applications as it is a specialized activity occurring at a single, remote site
1182 in Canada. Inhalation would be the main route of exposure. Exposure as the result of
1183 dermal contact with contaminated surfaces and inadvertent ingestion through secondary
1184 contamination of foodstuffs is expected to be low. The overall human exposure
1185 estimation for *B. atropheus* 18250-7 is low.

1186 **2.2.2.2 *B. amyloliquefaciens*, *B. licheniformis*, *B. subtilis* and masked DSL** 1187 ***Bacillus* strains**

1188 Human exposure to the other DSL *B. licheniformis/subtilis* strains will be considered
1189 together, as the known and potential uses are similar.

1190 Human exposure is expected to be greatest through the direct use of consumer
1191 products containing spores or viable cells used for cleaning or water treatment.
1192 Handling and application of such products would be expected to result in direct
1193 exposure of the skin and inhalation of aerosolized droplets or lofted spores. Inadvertent
1194 ingestion following use on or near food preparation surfaces and contact with the eyes,
1195 are possible secondary routes of exposure.

1196 Humans may also be exposed as bystanders during commercial application of cleaning,
1197 water treatment, agricultural or biodegradation products. The extent of bystander
1198 exposure will depend on the mode of application, the volume applied and the proximity
1199 of bystanders to the site of application. In general, exposure is expected to be low for
1200 these applications.

1201 Indirect human exposure to the DSL *B. licheniformis/subtilis* strains released into the
1202 environment subsequent to their use in water treatment, agricultural applications or
1203 biodegradation is also expected to occur in the vicinity of treated sites, but is expected
1204 to be less than direct exposure from the use of these organisms in consumer products.
1205 Human exposure to bodies of water and soils treated with the DSL
1206 *B. licheniformis/subtilis* strains (e.g., through recreational activities), could result in
1207 exposure of the skin and eyes, as well as inadvertent ingestion; however, dilution of
1208 these products is expected to significantly reduce exposure relative to household

1209 application scenarios. Human activity on soils recently treated with the DSL
1210 *B. licheniformis/subtilis* strains could loft spores, which could then be inhaled and could
1211 expose the skin and eyes, but this exposure is also expected to be low relative to direct
1212 use of consumer products.

1213 Release of the DSL *B. subtilis/licheniformis* strains from facilities manufacturing
1214 enzymes or biochemicals could occur, but is expected to be limited by the application of
1215 good manufacturing practices, in which measures should be taken to minimise the
1216 probability of releases of production micro-organisms.

1217 For uses of pre- or probiotics containing spores of *B. amyloliquefaciens*, *B. licheniformis*
1218 and *B. subtilis* strains, direct exposure would be principally by oral ingestion. Indirect
1219 exposure could occur following disposal of probiotics or through shedding in feces into
1220 the wastewater system. In the case of feces or disposal into the sewage system,
1221 municipal wastewater treatment would be expected to reduce the microbial burden prior
1222 to the release of effluent into the environment. Human exposure to the strains through
1223 the environment is expected to be low. Disposal of unused probiotics to municipal
1224 landfills is not expected to result in significant human exposure.

1225 In the event that spores of the DSL *B. subtilis/licheniformis* group enter the source
1226 waters of municipal drinking water treatment systems through release from intended
1227 and potential uses, drinking water treatment processes (e.g. coagulation, flocculation,
1228 ozonation, filtration and chlorination) are expected to effectively eliminate these micro-
1229 organisms and so limit their ingestion.

1230 Exposure to the other DSL *B. subtilis/licheniformis* strains is expected to be medium
1231 from the use of consumer products and low for indirect exposures subsequent to
1232 environmental release for biodegradation, bioremediation and water and wastewater
1233 treatment or release of effluents from facilities manufacturing enzymes and
1234 biochemicals.

1235 Growth in the market for “greener” microbial-based products may increase direct human
1236 exposure to the DSL *B. subtilis/licheniformis* group which have potential applications in
1237 these products (Spök and Klade 2009).

1238 **3. Risk Characterisation**

1239 In this assessment, risk is characterized according to a paradigm embedded in section
1240 64 of CEPA 1999 that a hazard and exposure to that hazard are both required for there
1241 to be a risk. The risk assessment conclusion is based on the hazard, and on what is
1242 known about exposure from current uses.

1243 The determination of risk from current uses is followed by consideration of the estimated
1244 hazard in relation to foreseeable future exposures (from new uses).

1245 ***B. amyloliquefaciens***

1246 Hazard has been estimated for *B. amyloliquefaciens* 13563-0 to be low for both the
1247 environment and human health. Environmental exposure to *B. amyloliquefaciens* 13563-0 is
1248 expected to be medium based on the wide range of uses reported in response to the section 71 Notice.
1249 Human exposure is expected to be medium for direct use of consumer products and low
1250 for indirect exposures subsequent to environmental release based on the wide range of
1251 uses reported in response to the section 71 Notice. The risk associated with current
1252 uses is estimated to be low for both the environment and human health.

1253 Growth in the market for “greener” microbial-based products may increase human
1254 exposure to the DSL *B. subtilis/licheniformis* group which have potential applications in
1255 these products (Spök and Klade 2009), however the risk from foreseeable future uses is
1256 also expected to be low, given the low hazard associated with *B. amyloliquefaciens*
1257 13563-0.

1258 ***B. atrophaeus***

1259 Hazard has been estimated for *B. atrophaeus* 18250-7 to be low for both the environment and human
1260 health. Environmental exposure to *B. atrophaeus* 18250-7 is expected to be medium and human
1261 exposure is expected to be low based on the known uses. The risk associated with current
1262 uses is estimated to be low for both the environment and human health.

1263 The risk from foreseeable future uses is also expected to be low, given the low hazard
1264 associated with *B. atrophaeus* 18250-7.

1265 ***B. licheniformis***

1266 Hazard has been estimated for *B. licheniformis* ATCC 12713 to be low for both the environment and
1267 human health because the scientific literature and laboratory results specific to the DSL strain indicate a
1268 low pathogenic potential (consistent with the other strains under assessment), and there is a history of
1269 safe use of the DSL strain. *B. licheniformis* has been associated with livestock abortion.
1270 Routes of exposure leading to *B. licheniformis* abortion in livestock are thought to
1271 include ingestion of poor-quality, mouldy feed during gestation and subsequent
1272 hematogenous spread to the reproductive tract as well as introduction during general
1273 animal husbandry activities (e.g. natural breeding, artificial insemination, parturition and
1274 during examination) (Cabell, 2007; Scott, 2011; Goncagul, 2012). Current applications
1275 of the DSL strain are not expected to significantly increase exposure of livestock by
1276 these routes. Environmental exposure to *B. licheniformis* ATCC 12713 is expected to be medium
1277 based on the wide range of uses reported in response to the section 71 Notice. Human
1278 exposure is expected to be medium for direct use of consumer products and low for
1279 indirect exposures subsequent to environmental releases based on the wide range of
1280 uses reported in response to the section 71 Notice. The risk associated with current
1281 uses is estimated to be low for both the environment and human health.

1282 Growth in the market for “greener” microbial-based products may increase human
1283 exposure to the DSL *B. subtilis/licheniformis* group which have potential applications in
1284 these products (Spök and Klade, 2009), however, the risk from foreseeable future uses

1285 is expected remain low for both humans and the environment given the low hazard
1286 associated with *B. licheniformis* ATCC 12713.

1287 ***B. subtilis***

1288 Hazard has been estimated for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis*
1289 ATCC 6051 and *B. subtilis* subsp. *inaquosorum* ATCC 55406 to be low for both the environment and
1290 human health. Environmental exposure to *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis*
1291 subsp. *subtilis* ATCC 6051 and *B. subtilis* subsp. *inaquosorum* ATCC 55406 is expected to be medium
1292 based on the wide range of uses reported in response to the section 71 Notice. Human exposure is
1293 expected to be medium for direct use of consumer products and low for indirect exposures subsequent to
1294 environmental release based on the wide range of uses reported in response to the section 71 Notice.
1295 The risk associated with current uses is estimated to be low for both the environment and human
1296 health.

1297 Growth in the market for “greener” microbial-based products may increase human
1298 exposure to the DSL *B. subtilis/licheniformis* group which have potential applications in
1299 these products (Spök and Klade, 2009), however, the risk from foreseeable future uses
1300 is also expected to be low, given the low hazard associated with *B. subtilis* ATCC
1301 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and *B. subtilis*
1302 subsp. *inaquosorum* ATCC 55406 associated with both human and environmental
1303 health.

1304 **Masked DSL *Bacillus* Strains**

1305 Hazard has been estimated for *Bacillus* species 16970-5, *Bacillus* species 2 18118-1, *Bacillus* species 4
1306 18121-4 and *Bacillus* species 7 18129-3 to be low for both the environment and human health based on
1307 laboratory results specific to the masked DSL strains and a history of safe use. Environmental exposure
1308 to *Bacillus* species 16970-5, *Bacillus* species 2 18118-1, *Bacillus* species 4 18121-4 and *Bacillus* species
1309 7 18129-3 is expected to be medium based on the wide range of uses reported in response to the section
1310 71 Notice. Human exposure is expected to be medium for direct use of consumer
1311 products and low for indirect exposures subsequent to environmental release based on
1312 the wide range of uses reported in response to the section 71 Notice. The risk
1313 associated with current uses is estimated to be low for both the environment and human
1314 health.

1315 Growth in the market for “greener” microbial-based products may increase human
1316 exposure to the DSL *B. subtilis/licheniformis* group which have potential applications in
1317 these products (Spök and Klade 2009), however, the risk from foreseeable future uses
1318 is also expected to be low, given the low hazard associated with these strains.

1319

4. Conclusions

1320 Based on information presented in this Screening Assessment, it is concluded that
1321 *Bacillus amyloliquefaciens* 13563-0, *Bacillus atrophaeus* 18250-7, *Bacillus licheniformis*
1322 ATCC 12713, *Bacillus subtilis* ATCC 6051A, *Bacillus subtilis* ATCC 55405, *Bacillus*
1323 *subtilis* subsp. *subtilis* ATCC 6051, *Bacillus subtilis* subsp. *inaquosorum* ATCC 55406,
1324 *Bacillus* species 16970-5, *Bacillus* species 2 18118-1, *Bacillus* species 4 18121-4,

1325 *Bacillus* species 7 18129-3 are not entering the environment in a quantity or
1326 concentration or under conditions that:

- 1327 • have or may have an immediate or long-term harmful effect in the environment or
1328 its biological diversity;
- 1329 • constitute or may constitute a danger to the environment on which life depends;
1330 or
- 1331 • constitute or may constitute a danger in Canada to human life or health.

1332 Therefore, it is proposed that the DSL *Bacillus licheniformis/subtilis* group strains do not
1333 meet the criteria as set out in section 64 of CEPA 1999.

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A. Appendices

2060

2061 Appendix 1: Colony morphologies of DSL *B. licheniformis/subtilis* 2062 group members

2063 Table A-1: Colony morphologies of *B. amyloliquefaciens* 13563-0

Characteristic	TSB agar after 7 days of growth at room temperature ^a	Spizizen potato agar or broth (ATCC Medium 423) at 37°C verified at 24 hours (for solid medium, add 1.5% agar) ^a
Shape	Irregular	Irregular
Size (mm) diameter	5	5
Margin	Undulate	Spreading, irregular edge
Elevation	Flat	No data
Colour/pigment	Off-white	White
Texture	Dull	Smooth, dull
Opacity	Opaque	No data

2064 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2065 Table A-2: Colony morphologies of *B. atrophaeus* 18250-7

Characteristic	TSB agar after 24 hours of growth at room temperature ^a	TSB agar after 7 days of growth at room temperature ^a	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 30°C after 24 hours under aerobic conditions ^b	Nutrient agar or broth (ATCC medium #3) at 30°C after 24 hours under aerobic conditions ^b	Nutrient agar or broth (ATCC medium #3) at 30°C after 24 hours under aerobic conditions ^b
Shape	Circular	Irregular	Circular	Circular	Circular	Irregular
Size (mm) diameter	2	5-10	0.5	No data	No data	No data
Margin	Entire	Undulate	Entire	Entire	Entire	Undulate
Elevation	Flat	Flat	No data	Low convex	Low convex	Flat
Colour/pigmentation ^a	White	Off-white/beige	White	Orange	No data	White
Texture	Smooth, moist	Smooth, moist	No data	Glistening	Glistening	No data
Opacity	Opaque	Opaque	No data	No data	Opaque	No data

2066 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2067 ^b ATCC description, multiple colony morphologies

2068 **Table A-3: Colony morphologies of *B. licheniformis* ATCC 12713**

Characteristic	TSB agar after 7 days of growth at room temperature ^a _b	TSB agar after 7 days of growth at room temperature ^a _b	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 30°C for 24 hours under aerobic conditions ^c	Nutrient agar or broth (ATCC medium #3) at 30°C for 24 hours under aerobic conditions ^c
Shape	Circular	Irregular	Irregular	No data	Irregular
Size (mm) diameter	5-7	5-7	2	No data	No data
Margin	Undulate	Undulate-lobate	Undulate, filiform	No data	No data
Elevation	Flat	Umbonate	Raised	Raised	Convex
Colour/pigment	Beige/off-white	Beige/off-white	No data	No data	No data
Texture	Moist, smooth	Wrinkled, dry	Dry	Dry, wrinkled	Mucoid
Opacity	Semi-translucent	Opaque	Opaque	No data	No data

2069 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch
 2070 ^b Colonies stick to agar, multiple colony morphologies
 2071 ^c ATCC description, multiple colony morphologies

2072 **Table A-4: Colony morphologies of *B. subtilis* ATCC 6051A**

Characteristic	TSB agar after 7 days of growth at room temperature ^a	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^b	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^b
Shape	Circular to irregular	Irregular	Irregular and spreading with age	Irregular and spreading with age
Size (mm) diameter	6 to 25	2	Larger	Smaller
Margin	Undulate	Entire	Erose	Entire
Elevation	Flat	Flat	Flat	Flat
Colour/pigment	Off-white	Off-white	Beige/cream	Beige/cream
Texture	Moist	Dry	Dull, rougher	Dull, smoother
Opacity	Translucent	Semi-translucent	Opaque	Opaque

2073 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch
 2074 ^b ATCC description, multiple colony morphologies

2075 **Table A-5: Colony morphologies of *B. subtilis* ATCC 55405**

Characteristic	TSB agar after 7 days of growth at room temperature ^{a, b}	TSB agar after 7 days of growth at room temperature ^{a, b}	TSB agar after 7 days of growth at room temperature ^{a, b}	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^c
Shape	Irregular to circular	Circular	Irregular to circular	2	Circular
Size (mm) diameter	4	4	4	No data	No data
Margin	Entire	Undulate	Lobate	Entire	Entire
Elevation	Convex	Flat	Raise	Convex	Convex
Colour/pigment	Colourless to off-white	Off-white	White	Colourless	No data
Texture	Glossy, mucoid	Matte, dry	Flat, brittle, dry	Mucoid	Mucoid, glistening
Opacity	Opaque	Translucent to opaque	Opaque	No data	Opaque

2076 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2077 ^b Multiple colony morphologies

2078 ^c ATCC description

2079 **Table A-6: Colony morphologies of *B. subtilis* subsp. *subtilis* ATCC 6051**

Characteristic	TSB agar after 7 days of growth at room temperature ^a	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^b	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^b
Shape	Irregular	Irregular	Circular	Irregular
Size (mm) diameter	20	No data	No data	No data
Margin	Undulate	Undulate	Entire	No data
Elevation	Raised	ND	Low convex	Flat
Colour/pigment	Off-white	Off-white	No data	No data
Texture	Dry	No data	Shiny	Rough
Opacity	Opaque	Opaque	Opaque	Opaque

2080 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2081 ^b ATCC description, multiple colony morphologies

2082 **Table A-7: Colony morphologies of *B.subtilis* subsp. *inaquosorum* ATCC 55406**

Characteristic	TSB agar after 7 days of growth at room temperature ^{a,b}	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar (ATCC medium #3) at 30°C verified at 24 hours ^{c,d}	Nutrient agar (ATCC medium #3) at 30°C verified at 24 hours ^{c,d}
Shape	Circular	Irregular	Circular	Circular
Size (mm) diameter	5	1	No data	No data
Margin	Undulate	No data	Erose	No data
Elevation	Flat	No data	Flat	No data
Colour/pigment	White	Colourless	Cream	White
Texture	Dull	No data	No data	Smooth
Opacity	Opaque	Opaque	Opaque	Opaque

2083 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2084 ^b Colonies grow into agar

2085 ^c ATCC description, multiple colony morphologies

2086 ^d Colonies dig into agar; this organism grows better on solid media than in a broth

2087 **Table A-8: Colony morphologies of *Bacillus* species 16970-5**

Characteristic	TSB agar after 7 days of growth at room temperature ^a	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^b
Shape	Irregular	Irregular	Circular
Size (mm) diameter	12 to 20	2	No data
Margin	Undulate	No data	Some with lobate margins
Elevation	Flat	Flat	No data
Colour	Off-white	Off-white	No data
Texture	Dull	Dry	Shiny, smooth
Opacity	Opaque	Opaque	Opaque

2088 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2089 ^b ATCC description

2090 **Table A-9: Colony morphologies of *Bacillus* species 2 18118-1**

Characteristic	TSB agar after 7 days of growth at room temperature ^a	Spizizen potato agar or broth (ATCC Medium 423) at 37°C verified at 24 hours (for solid medium, add 1.5% agar) ^a	Spizizen potato agar or broth (ATCC Medium 423) at 37°C verified at 24 hours (for solid medium, add 1.5% agar) ^b	Spizizen potato agar or broth (ATCC Medium 423) at 37°C verified at 24 hours (for solid medium, add 1.5% agar) ^b
Shape	Irregular	Circular	Circular	No data
Size (mm) diameter	5-12	5	No data	No data
Margin	Undulate	Slightly irregular edge	Slightly irregular	Dull spreading irregular edge
Elevation	Umbonate, raised	No data	No data	No data
Colour/pigment	Whitish	No data	No data	No data
Texture	Moist, shiny and dull	ND	Smooth, glistening	Glistening, smooth center
Opacity	Opaque	Opaque	Opaque	No data

2091 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2092 ^b ATCC description, multiple colony morphologies

2093 **Table A-10: Colony morphologies of *Bacillus* species 4 18121-4**

Characteristic	TSB agar after 7 days of growth at room temperature ^a	TSB agar after 7 days of growth at room temperature ^a	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 37°C for 24 hours under aerobic conditions ^b	Nutrient agar or broth (ATCC medium #3) at 37°C for 24 hours under aerobic conditions ^b
Shape	Circular	Elliptical	Irregular	Irregular	Rhizoid
Size (mm) diameter	5-7	5-7	2	No data	No data
Margin	Undulate	Undulate	Undulate, filiform	Undulate	Filamentous
Elevation	Imperfect-umbonate	Flat	Raised	Convex	Raised
Colour	Beige/off-white	Beige/off-white	No data	Translucent	No data
Texture	Moist	Matte	Dry	Smooth, glistening	Rough
Opacity	Opaque	Opaque	Opaque	No data	Opaque

2094 ^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2095 ^b ATCC description, multiple colony morphologies

2096 **Table A-11: Colony morphologies of *Bacillus* species 7 18129-3**

Characteristic	TSB agar after 7 days of growth at room temperature ^a	Nutrient agar at 30°C for 24 hours ^a	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^b	Nutrient agar or broth (ATCC medium #3) at 30°C verified at 24 hours and up to one week under aerobic conditions ^b
Shape	Irregular	Irregular	Circular	Irregular
Size (mm) diameter	20	No data	No data	No data
Margin	Undulate	Undulate	Entire	No data
Elevation	Raised	No data	Low convex	Flat
Colour	Off-white	Off-white	No data	No data
Texture	Dry	No data	Shiny	Rough
Opacity	Opaque	Opaque	Opaque	Opaque

^a Data generated by Health Canada's Healthy Environments and Consumer Safety Branch

^b ATCC description, multiple colony morphologies

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2099 **Appendix 2: Characteristics of DSL *B. licheniformis/subtilis* group**
 2100 **members – 16S ribosomal RNA gene sequence analysis**

2101 16S ribosomal RNA gene sequence data generated by Health Canada's Healthy
 2102 Environments and Consumer Safety Branch. Restriction fragment length
 2103 polymorphisms from within the V3 region and between the V4 and V5 region were
 2104 identified according to Jeyaram *et al.* 2011. The 16S ribosomal RNA gene sequences
 2105 were compared to the Ribosomal Database project release 11
 2106 (<https://rdp.cme.msu.edu/>) and top 10 matches are shown. The match hit format is:
 2107 identification code, similarity score (if reference strain is specified), S_ab score, unique
 2108 common oligomers and sequence full name.

2109 **Table A-12: Results of 16S Ribosomal RNA Gene Sequence Analysis of**
 2110 ***B. amyloliquefaciens* 13563-0^a**

Short identification	Similarity score	S_ab score	Unique common oligomers	Sequence full name
S001153538	1.000	1.000	1364	<i>Bacillus</i> sp. XI; EU779996
S001550906	1.000	1.000	1371	<i>Bacillus subtilis</i> ; Y2; GQ148813
S001588402	1.000	1.000	1374	<i>Bacillus amyloliquefaciens</i> ; IMAU80205; GU125623
S001745899	1.000	1.000	1393	<i>Bacillus amyloliquefaciens</i> ; PBT; FJ169495
S002038639	1.000	1.000	1354	<i>Bacillus amyloliquefaciens</i> ; HK1; AB279736
S002222255	1.000	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644
S002222257	1.000	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644
S002222259	1.000	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644
S002222261	1.000	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644
S002222263	1.000	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644

2111 ^a The *HinfI* site is present and the *RsaI* sites are absent indicating the micro-organisms is
 2112 *B. amyloliquefaciens* as opposed to *B. subtilis* to which it is closely related (Jeyaram et al. 2011).

2113 **Table A-13: Results of 16S Ribosomal RNA Gene Sequence Analysis of**
 2114 ***B. atrophaeus* 18250-7^a**

Short identification	Similarity score	S_ab score	Unique common oligomers	Sequence full name
S000382399	Not calculated	1.000	1432	<i>Bacillus</i> sp.; SSA3; AB017587
S000644416	Not calculated	1.000	1436	<i>Bacillus atrophaeus</i> ; SCH0408; AY881241
S000980555	Not calculated	1.000	1337	<i>Bacillus atrophaeus</i> ; K01-03; EU326483
S001872424	Not calculated	1.000	1411	<i>Bacillus subtilis</i> ; JAM A-6-10; AB542912

S002035172	Not calculated	1.000	1375	<i>Bacillus atrophaeus</i> ; NMTD54; GU568183
S002035195	Not calculated	1.000	1379	<i>Bacillus atrophaeus</i> ; GBSC56; GU568206
S002166857	Not calculated	1.000	1376	<i>Bacillus atrophaeus</i> ; RJGP16; GU969134
S002167105	Not calculated	1.000	1372	<i>Bacillus atrophaeus</i> ; LSSC3; GU994860
S002221550	Not calculated	1.000	1464	<i>Bacillus atrophaeus</i> 1942; CP002207
S002221552	Not calculated	1.000	1464	<i>Bacillus atrophaeus</i> 1942; CP002207

2115 ^a *B. atrophaeus* 18250-7 ribosomal RNA gene sequence matches *B. atrophaeus* and *Bacillus* sp. sequences and the
2116 *HinfI* site is present for the *B. subtilis/licheniformis* group (Jeyaram et al. 2011).

2117 **Table A-14: Results of 16S Ribosomal RNA Gene Sequence Analysis of**
2118 ***B. licheniformis* ATCC 12713^a**

Short identification	Similarity score	S_ab score	Unique common oligomers	Sequence full name
S000392549	Not calculated	1.000	1427	<i>Bacillus licheniformis</i> ; Mo1; AF372616
S000615411	Not calculated	1.000	1409	<i>Bacillus licheniformis</i> ; ACO1; DQ228696
S000647676	Not calculated	1.000	1421	<i>Bacillus licheniformis</i> ; K19; DQ351932
S000736754	Not calculated	1.000	1448	<i>Bacillus licheniformis</i> ; BCRC 15413; DQ993676
S000752038	Not calculated	1.000	1409	<i>Bacillus licheniformis</i> ; EF059752
S000824918	Not calculated	1.000	1422	<i>Bacillus licheniformis</i> ; BCRC 12826; EF423608
S000843501	Not calculated	1.000	1442	<i>Bacillus</i> sp. J24; EF471917
S000901702	Not calculated	1.000	1389	<i>Bacillus licheniformis</i> ; NBRC 12107; AB354236
S000941823	Not calculated	1.000	1389	<i>Bacillus licheniformis</i> ; NBRC 12202; AB363734
S001153503	Not calculated	1.000	1319	<i>Bacillus licheniformis</i> ; SVD1; EU770587

2119 ^a *Bacillus licheniformis* ATCC 12713 16S ribosomal RNA gene sequence matches mainly *B. licheniformis* ribosomal
2120 RNA gene sequences. The RFLP pattern (*RsaI* sites in V3; *HinfI* and *CfoI* site between V4 and V5) is consistent with
2121 *B. licheniformis* sp. (Jeyaram et al. 2011).

2122 **Table A-15: Results of 16S Ribosomal RNA Gene Sequence Analysis of *B. subtilis***
2123 **ATCC 6051A^a**

Short identification	Similarity score	S_ab score	Unique common oligomers	Sequence full name
S000003473	Not calculated	1.000	1423	<i>Bacillus subtilis</i> (T); DSM10; AJ276351
S000365537	Not calculated	1.000	1446	<i>Bacillus</i> sp. TUT1206; AB188212

S000383767	Not calculated	1.000	1412	<i>Bacillus</i> sp. CH4-4; AB055846
S000383768	Not calculated	1.000	1412	<i>Bacillus</i> sp. CH4-5; AB055848
S000383769	Not calculated	1.000	1412	<i>Bacillus</i> sp. CH15-2; AB055849
S000383770	Not calculated	1.000	1412	<i>Bacillus</i> sp. CH19-3; AB055850
S000383771	Not calculated	1.000	1412	<i>Bacillus</i> sp. CH20-1; AB055851
S000383772	Not calculated	1.000	1412	<i>Bacillus</i> sp. CH7-1; AB055852
S000383773	Not calculated	1.000	1412	<i>Bacillus</i> sp. CH10-1; AB055853
S000434646	Not calculated	1.000	1401	<i>Bacillus subtilis</i> ; KL-073; AY030330

2124 ^a *B. subtilis* ATCC 6051A 16S ribosomal RNA gene sequence matches *Bacillus subtilis* and *Bacillus* sp. ribosomal
2125 RNA gene sequence. The RFLP pattern (*RsaI* sites in V3; *HinfI* site between V4 and V5) is consistent for *B. subtilis*
2126 sp. (Jeyaram et al. 2011). However, the first putative *RsaI* site requires verification as it contains an ambiguous base
2127 (the dominant peak appears to correspond to A).

2128 **Table A-16: Results of 16S Ribosomal RNA Gene Sequence Analysis of *B. subtilis***
2129 **ATCC 55405^a**

Short identification	Similarity score	S_ab score	Unique common oligomers	Sequence full name
S000870716	Not calculated	1.000	1391	<i>Bacillus amyloliquefaciens</i> ; NBRC 14141; AB325582
S001745899	Not calculated	1.000	1393	<i>Bacillus amyloliquefaciens</i> ; IMAU80205; GU125623
S002038639	Not calculated	1.000	1354	<i>Bacillus amyloliquefaciens</i> ; HK1; AB279736
S002222255	Not calculated	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644
S002222257	Not calculated	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644
S002222259	Not calculated	1.000	1447	<i>Bacillus amyloliquefaciens</i> DSM 7; DSM7; FN597644
S002228859	Not calculated	1.000	1224	<i>Bacillus amyloliquefaciens</i> ; BAC3048; HM355639
S003280603	Not calculated	1.000	1329	<i>Bacillus amyloliquefaciens</i> ; BSS5; JQ407053
S003285855	Not calculated	1.000	1305	<i>Bacillus</i> sp. SE18; JQ714100
S003313087	Not calculated	1.000	1342	<i>Bacillus amyloliquefaciens</i> ; KU-8; JQ696827

2130 ^a *B. subtilis* ATCC 55405 16S ribosomal RNA gene sequence matches mainly *B. amyloliquefaciens* sequences and
2131 the *HinfI* RFLP identified by for *B. amyloliquefaciens* is present (Jeyaram et al. 2011).

2132 **Table A-17: Results of 16S Ribosomal RNA Gene Sequence Analysis of *B. subtilis***
2133 **subsp. *subtilis* ATCC 6051^a**

Short	Similarity	S_ab	Unique	Sequence full name
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identification	score	score	common oligomers	
S000398967	1.000	1.000	1383	<i>Bacillus subtilis</i> ; BHP6-1; AY162131
S001020073	1.000	1.000	1422	<i>Bacillus subtilis</i> ; B1-33; EU435361
S001096330	1.000	1.000	1435	<i>Bacillus</i> sp. zh161; EU526087
S002038710	1.000	1.000	1388	<i>Bacillus</i> sp. PT401; AB374305
S002199724	1.000	1.000	1420	Uncultured <i>Bacillus</i> sp.; CapF3B.16; HM152583
S002199742	1.000	1.000	1386	Uncultured <i>Bacillus</i> sp.; Filt.13; HM152601
S002199744	1.000	1.000	1386	Uncultured <i>Bacillus</i> sp.; Filt.15; HM152603
S002199761	1.000	1.000	1386	Uncultured <i>Bacillus</i> sp.; Filt.32; HM152620
S002199769	1.000	1.000	1385	Uncultured <i>Bacillus</i> sp.; Filt.40; HM152628
S002199775	1.000	1.000	1386	Uncultured <i>Bacillus</i> sp.; Filt.46; HM152634

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^a *Bacillus* species 6051 16S ribosomal RNA gene sequence matches *Bacillus subtilis* and *Bacillus* sp. ribosomal RNA gene sequence. The RFLP pattern (two *RsaI* sites in V3; *Hinfi* site between V4 and V5) is consistent *B. subtilis* sp. (Jeyaram et al. 2011).

2137 **Table A-18: Results of 16S Ribosomal RNA Gene Sequence Analysis of *B. subtilis***
2138 **ATCC 55406^a**

Short identification	Similarity score	S_ab score	Unique common oligomers	Sequence full name
S001020073	Not calculated	0.946	1422	<i>Bacillus subtilis</i> ; B1-33; EU435361
S001096330	Not calculated	0.946	1435	<i>Bacillus</i> sp. zh161; EU526087
S002038710	Not calculated	0.946	1388	<i>Bacillus</i> sp. PT401; AB374305
S002199724	Not calculated	0.946	1420	Uncultured <i>Bacillus</i> sp.; CapF3B.16; HM152583
S002199860	Not calculated	0.946	1421	Uncultured <i>Bacillus</i> sp.; Filt.132; HM152719
S002199880	Not calculated	0.946	1421	Uncultured <i>Bacillus</i> sp.; Filt.152; HM152739
S002410934	Not calculated	0.946	1413	<i>Bacillus subtilis</i> ; MB5 NIOT; HQ858061
S003257857	Not calculated	0.946	1446	<i>Bacillus subtilis</i> subsp. <i>inaquosorum</i> ; type strain: DSM 22148; HE582781
S003261902	Not calculated	0.946	1388	<i>Bacillus subtilis</i> ; NBRC 3108; AB680011
S003264071	Not calculated	0.946	1388	<i>Bacillus subtilis</i> ; NBRC 104440; AB682180

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^a The 16S matches for *B. subtilis* subsp. *inaquosorum* ATCC 55406 included both *Bacillus* sp. and *B. subtilis* subsp. *inaquosorum*. The pattern of restriction sites within *B. subtilis* subsp. *inaquosorum* ATCC 55406 is different compared to *B. licheniformis* strengthening the argument that it was misnamed previously.

2142 **Table A-19: Results of 16S Ribosomal RNA Gene Sequence Analysis of *Bacillus***
2143 **species 16970-5**

The results of the 16S rRNA gene sequencing analysis confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 16970-5 and closest similarity matches using the Ribosomal Database cannot be disclosed.
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2144 **Table A-20: Results of 16S Ribosomal RNA Gene Sequence Analysis of Bacillus**
2145 **species 2 18118-1**

The results of the 16S rRNA gene sequencing analysis confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of *Bacillus species 2 18118-1* and closest similarity matches using the Ribosomal Database cannot be disclosed.

2146 **Table A-21: Results of 16S Ribosomal RNA Gene Sequence Analysis of Bacillus**
2147 **species 4 18121-4**

The results of the 16S rRNA gene sequencing analysis confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of *Bacillus species 4 18121-4* and closest similarity matches using the Ribosomal Database cannot be disclosed.

2148 **Table A-22: Results of 16S Ribosomal RNA Gene Sequence Analysis of Bacillus**
2149 **species 7 18129-3**

The results of the 16S rRNA gene sequencing analysis confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity *Bacillus species 7 18129-3* and closest similarity matches using the Ribosomal Database cannot be disclosed.

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2151 **Appendix 3: Characteristics of DSL *B. licheniformis/subtilis* group**
 2152 **members – Fatty acids methyl ester (FAME) analysis**

2153 Data generated by Health Canada’s Healthy Environments and Consumer Safety
 2154 Branch shows the best match between the sample and the environmental and clinical
 2155 MIDI databases and the fatty acid profile similarity index (average of all matches) along
 2156 with the number of matches (number of matches/total number of tests, parentheses).
 2157 For methods and additional details, see www.midilabs.com/fatty-acid-analysis. As a
 2158 general rule of thumb, samples that cluster within a Euclidian distance of 2.5, 6 and 10
 2159 represent samples derived from the same strain, subspecies and species, respectively.

2160 **Table A-23: FAME analysis of *B. amyloliquefaciens* 13563-0**

Environmental		Clinical	
<i>B. amyloliquefaciens</i> (<i>B. subtilis</i> group)	0.598 (10/15)	<i>B. subtilis</i> GC subgroup A	0.735 (12/17)
<i>B. subtilis</i>	0.441 (3/15)	<i>B. subtilis</i> GC subgroup B	0.729 (5/17)
<i>B. atrophaeus</i> GC subgroup A	0.801 (1/15)		Not applicable
<i>Staphylococcus lutrae</i>	0.490 (1/15) (coag+)		Not applicable

2161 **Table A-24: FAME analysis of *B. atrophaeus* 18250-7**

Environmental		Clinical	
<i>B. atrophaeus</i>	0.877 (6/10)	<i>B. atrophaeus</i> GC subgroup B	0.814 (5/6)
Analysis not good enough for library search	(4/10)	<i>B. atrophaeus</i> GC subgroup A	0.853 (1/6)

2162 **Table A-25: FAME analysis of *B. licheniformis* ATCC 12713**

Environmental		Clinical	
<i>B. licheniformis</i> (<i>B. subtilis</i> group)	0.808 (13/14)	<i>B. licheniformis</i> (<i>B. subtilis</i> group)	0.674 (6/17)
<i>B. megaterium</i> GC subgroup A	0.719 (1/14)	<i>Staphylococcus schleiferi</i>	0.418 (6/17)
Not applicable		<i>B. pumilis</i> -GC subgroup A	0.669 (2/17)
Not applicable		<i>B. pumilis</i> -GC subgroup B	0.468 (2/17)
Not applicable		<i>B. subtilis</i>	0.233 (1/17)

2163 **Table A-26: FAME analysis of *B. subtilis* ATCC 6051A**

Environmental		Clinical	
<i>B. subtilis</i>	0.876 (16/17)	<i>B. subtilis</i>	0.872 (13/13)
<i>B. amyloliquefaciens</i>	0.798 (1/17)		Not applicable

2164 **Table A-27: FAME analysis of *B. subtilis* ATCC 55405**

Environmental		Clinical	
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<i>B. subtilis</i>	0.753 (10/15)	<i>B. subtilis</i>	0.662 (12/12)
<i>B. amyloliquefaciens</i> (<i>B. subtilis</i> group)	0.736 (5/15)	Not applicable	

2165 **Table A-28: FAME analysis of *B. subtilis* subsp. *subtilis* ATCC 6051**

Environmental		Clinical	
<i>B. subtilis</i>	0.911 (14/14)	<i>B. subtilis</i>	0.760 (9/12)
Not applicable		Analysis not good enough for library search	2/12
Not applicable		Micrococcus lylae GC subgroup B	0.292 (1/12)

2166 **Table A-29: FAME analysis of *B. subtilis* subsp. *inaquosorum* ATCC 55406**

Environmental		Clinical	
<i>B. subtilis</i>	0.803 (10/25)	<i>B. subtilis</i>	0.727 (12/12)
<i>B. amyloliquefaciens</i> (<i>B. subtilis</i> group)	0.793 (9/25)	Not applicable	
<i>B. megaterium</i> GC subgroup A	0.602 (4/25)	Not applicable	
No match	2/25	Not applicable	

2167 **Table A-30: FAME analysis of *Bacillus* species 16970-5**

Environmental	Clinical
The results generated from the FAME environmental database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 16970-5 cannot be disclosed.	The results generated from the FAME environmental database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 16970-5 cannot be disclosed.

2168 **Table A-31: FAME analysis of *Bacillus* species 2 18118-1**

Environmental	Clinical
The results generated from the FAME environmental database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 2 18118-1 cannot be disclosed.	The results generated from the FAME environmental database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 2 18118-1 cannot be disclosed.

2169 **Table A-32: FAME analysis of *Bacillus* species 4 18121-4**

Environmental	Clinical
The results generated from the FAME environmental database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 4 18121-4 cannot be disclosed.	The results generated from the FAME clinical database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 4 18121-4 cannot be disclosed.

2170 **Table A-33: FAME analysis of *Bacillus* species 7 18129-3**

Environmental	Clinical
<p>The results generated from the FAME environmental database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 7 18129-3 cannot be disclosed.</p>	<p>The results generated from the FAME environmental database confirms the identity of this strain as it was purported to be when it was nominated to the Domestic Substances List. However, due to confidentiality claims the identity of <i>Bacillus</i> species 7 18129-3 cannot be disclosed.</p>

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2172 **Appendix 4: Cellular content of select fatty acids**

2173 **Table A-34: Cellular Content of Select Fatty Acids in DSL *B. licheniformis/subtilis***
 2174 **Group Members^a**

DSL Strain	C_{16:0} (%)	Iso-C_{17:1ω}10c (%)
<i>B. amyloliquefaciens</i> 13563-0	3.17	2.4
<i>B. atrophaeus</i> 18250-7	3.07	1.5
<i>B. licheniformis</i> ATCC 12713	2.89	1.56
<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	4.34	1.95
<i>B. subtilis</i> ATCC 6051A	2.55	2.65
<i>B. subtilis</i> ATCC 55405	3.05	2.34
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	3.3	1.16
<i>Bacillus</i> species 16970-5	3.52	1.81
<i>Bacillus</i> species 2 18118-1	6.09	1.77
<i>Bacillus</i> species 4 18121-4	3.41	1.11
<i>Bacillus</i> species 7 18129-3	4.34	1.95

2175 ^a Unpublished data generated by Health Canada's Healthy Environments and Consumer Safety Branch

2176 **Appendix 5: List of some mobile elements and associated traits**
 2177 **identified in certain isolates of the *B. subtilis* complex**

2178 **Table A-35: List of Some Mobile Elements and Associated Traits Identified in**
 2179 **some strains of *B. licheniformis***

Element Name	Associated Traits	References
Plasmid (unknown name)	Dimethoate resistance and additional genes for antibiotic and heavy metal resistance (Na, Er, Ch, Cz, Cf, Ba ²⁺ and Zn ²⁺)	(Mandal et al. 2005)
Plasmid (pBL1, pBL10, pBL2)	Not specified	(Zawadzki et al. 1996)
Insertion element (<i>IS3Bli1</i>)	Encodes two predicted overlapping protein coding sequences, designated <i>orfA</i> and <i>orfB</i> in relative translational reading frames of 0 and 1. Eight of these elements lie in intergenic regions and one interrupts the <i>comP</i> gene	(Rey et al. 2004)
Prophase sequences (NZP1 and NZP3)	Codes for the large subunit of terminase, a signature protein that is highly conserved among prophages	(Rey et al. 2004)

2180 **Table A-36: List of Some Mobile Elements and Associated Traits Identified in**
 2181 **some strains of *B. subtilis***

Element Name	Associated Traits	References
Transposon (Tn917)	Used in transposition mutagenesis (rapid cloning and construction of transcriptional gene fusions and the characterization of genes which are over-expressed)	(Pragai et al. 1994)
Plasmid (pLS20)	Promotes transfer of tetracycline resistance plasmid pBC16 from <i>B. subtilis</i> (natto) to the Bacillus species <i>B. anthracis</i> , <i>B. cereus</i> , <i>B. licheniformis</i> , <i>B. megaterium</i> , <i>B. pumilus</i> , <i>B. subtilis</i> and <i>B. thuringiensis</i> .	(Koehler and Thorne, 1987)
Transposon (Tn5)	Neomycin phosphotransferase gene	(Sprengel et al. 1985)
Rolling circle replication (RCR) plasmid pTA family (pTA1015, pTA1040, pTA1050 and pTA1060)	Contains genes encoding a type I signal peptidase and genes encoding proteins belonging to the family of response regulator aspartate phosphatases likely to be involved in the regulation of post-exponential phase processes	(Meijer et al. 1998)
Plasmid (pBS4, pBS12, pBS7, pBS8, pBS6)	Not specified	(Zawadzki et al. 1996)
Transposon (integrative and conjugative elements class: ICEBs1)	<ul style="list-style-type: none"> Integrated into the <i>trnS-leu2</i> gene is regulated by the SOS response and the RapI-PhrI cell-cell peptide signaling system When DNA damage occurs or high concentrations of potential mating partners that lack the element, ICEBs1 is excised from the chromosome and transferred to recipients. 	(Auchtung et al. 2005)
Tn916 (transposon)	<ul style="list-style-type: none"> Implicated in the horizontal transfer of antibiotic resistance genes in many species of Gram positive bacteria Transfer of this element may increase the presence of tetracycline 	(Celli and Trieu-Cuot, 1998; Marra and Scott, 1999)
Tn5397 (transposon)	<ul style="list-style-type: none"> Originates from <i>Clostridium difficile</i>; element transfers to and from <i>B. subtilis</i> 	(Roberts et al. 2001; Wang

	<ul style="list-style-type: none"> • Encodes a conjugation system that is similar to that of Tn916 • Contains a group II intron 	and Mullany, 2000)
Tn5398 (transposon)	<ul style="list-style-type: none"> • Originates from <i>C. difficile</i> • Facilitates the transfer of an MLS resistance gene (<i>ermBZ</i>) 	(Mullany et al. 1995)
IS4Bsu1 (mobile element)	Spontaneously translocates to the <i>swrA</i> gene in <i>B. subtilis natto</i> ; causes a defect in poly-gamma- glutamic acid (gamma-PGA) synthesis	(Kimura et al. 2011)

2182

2183 **Appendix 6: Virulence genes**

2184 **Table A-37: List of Some Virulence Genes Identified in Certain Isolates of the**
 2185 ***B. subtilis* Complex**

Species	Virulence Gene(s)	Associated Traits	References
<i>B. amyloliquefaciens</i>	<i>HblC, HblD, HblA, NheB, NheA</i>	Enterotoxin production and discontinuous beta-hemolysis	(Phelps and McKillip, 2002)
<i>B. licheniformis</i>	<i>cesA</i>	Cereulide synthase	(Nieminen et al. 2007)
<i>B. licheniformis</i>	<i>lchAA, lchAB, lchAC</i>	Lichenysin synthase	(Nieminen et al. 2007)
<i>B. licheniformis</i>	<i>bceT, hblC, hblA, hblD</i>	Hbl enterotoxin, <i>Bacillus hemolytic</i> enterotoxin	(Oguntoyinbo and Sanni, 2007; Rowan et al. 2001)
<i>B. licheniformis</i>	<i>lchAA, lchAB</i> and <i>lchAC</i> (lichenysin synthase genes)	<ul style="list-style-type: none"> • Surfactant lichenysin • Heat-stable cyclic lipopeptide toxins; immobilizes boar sperm • Structurally similar to cereulide, but the toxic activity appears to be different; it has the potential to form ion channels in host cell membranes and has a surfactant effect 	(Logan, 2012; Mikkola et al. 2000; Nieminen et al. 2007; Peypoux et al. 1999)
<i>B. licheniformis</i>	<i>bacA, bacB, bacC</i> (bacitracin synthetases genes)	<ul style="list-style-type: none"> • Cyclic polipeptides; interferes with cell wall and peptidoglycan synthesis of Gram positive and negative bacteria Possibly/indirectly related to erythromycin resistance 	(Ishihara et al. 2002)
<i>B. licheniformis</i> and <i>B. subtilis</i>	<i>bceT, hblC, hblA, hblD</i>	Diarrhoeagenic enterotoxin production	(Rowan et al. 2001)

2186

2187 **Appendix 7: Virulence and pathogenicity testing of DSL**

2188 ***B. licheniformis/subtilis* strains: Hemolytic activity**

2189 Data generated by Health Canada’s Healthy Environments and Consumer Safety
 2190 Branch. Strains were streaked onto 5% sheep blood agar and incubated for 37°C for 24
 2191 hours. Hemolysis was judged by clearing zones around colonies which indicate lysis of
 2192 red blood cells.

2193 **Table A-38: Hemolytic activity of DSL *B. licheniformis/subtilis* strains**

DSL Strain	Hemolytic Activity
<i>B. amyloliquefaciens</i> 13563-0	+ ^{a,b}
<i>B. atrophaeus</i> 18250-7	- ^c
<i>B. licheniformis</i> ATCC 12713	+ ^d
<i>B. subtilis</i> ATCC 6051A	w ^e
<i>B. subtilis</i> ATCC 55405	w
<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	w
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	+
<i>Bacillus</i> species 16970-5	w
<i>Bacillus</i> species 2 18118-1	w
<i>Bacillus</i> species 4 18121-4	-
<i>Bacillus</i> species 7 18129-3	w

2194 ^a +, hemolytic activity
 2195 ^b Hemolysis was seen in 5 to 10% of colonies
 2196 ^c -, no hemolytic activity
 2197 ^d Hemolysis was seen in 70 to 80% of colonies
 2198 ^e w, weak hemolytic activity – clearing zones do not extend past colony margin

2199 **Appendix 8: Virulence and pathogenicity testing of DSL**

2200 ***B. licheniformis/subtilis* strains: Catalase production**

2201 Data generated by Health Canada’s Healthy Environments and Consumer Safety
 2202 Branch. Bacteria were propagated on TSB agar at 28°C for 48 hours. Hydrogen
 2203 peroxide dropped on to colony to determine conversion to water and hydrogen.
 2204 Catalase positive reaction indicated that a given bacteria has the capacity to protect
 2205 itself from reactive oxygen-induced killing from immune cells.

2206 **Table A-39: Catalase production of DSL *B. licheniformis/subtilis* strains**

DSL Strain	Catalase Production
<i>B. amyloliquefaciens</i> 13563-0	+
<i>B. atrophaeus</i> 18250-7	+
<i>B. licheniformis</i> ATCC 12713	+
<i>B. subtilis</i> ATCC 6051A	+
<i>B. subtilis</i> ATCC 55405	+
<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	+
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	+
<i>Bacillus</i> species 16970-5	+
<i>Bacillus</i> species 2 18118-1	+
<i>Bacillus</i> species 4 18121-4	+
<i>Bacillus</i> species 7 18129-3	+

2207

2208 **Appendix 9: Antimicrobial compound, other metabolites and toxins**
 2209 **produced by certain isolates of the *B. subtilis* complex**

2210 **Table A-40: Antimicrobial compounds produced in some strains of**
 2211 ***B. amyloliquefaciens***

Substance Name	Activity	References
Bacteriocin-like peptides	Broad antibacterial spectrum with activity against Gram positive bacteria	(Reviewed in Abriouel et al. 2011; Smitha and Bhat, 2012)
BLIS ^a 5940, BLIS RC-2, BLIS 5006	Antibacterial and antifungal activity	(Reviewed in Abriouel et al. 2011)
Surfactin, iturin, bacillomycine, azalomycin F, acivicin, arthrobactin, rhodotorola acid, valinomycin, stenothricin, enterochelin, nocardamin	Antibacterial and antifungal activity, inhibition of growth	(Wulff et al. 2002)
Subtilosin A	<ul style="list-style-type: none"> • Bacteriocin with bactericidal activity against Gram negative bacteria • Spermicidal activity against boar, bovine, horse, rat and human spermatozoa 	(Reviewed in Abriouel et al. 2011)

2212 ^a BLIS: bacteriocin-like inhibitory substances

2213 **Table A-41: Antimicrobial compounds produced in some strains of *B. atrophaeus***

Substance Name	Activity	References
Subtilosin A	<ul style="list-style-type: none"> • Bacteriocin with bactericidal activity against Gram negative bacteria • Spermicidal activity against boar, bovine, horse, rat and human spermatozoa 	(Reviewed in Abriouel et al. 2011)

2214 **Table A-42: Antimicrobial compounds produced in some strains of**
 2215 ***B. licheniformis***

Substance Name	Activity	References
Amoebicins (A12-A and A12-B)	<ul style="list-style-type: none"> • Amoebolytic activity against <i>Naegria fowkri</i> • Antibiotic activity against yeasts (<i>Saccharomyces heterogenicus</i> and <i>Cryptococcus neoformans</i>) and several fungal species 	(Galvez et al. 1993)
Amoebicin (m4-A)	Bactericidal and bacteriolytic activity on <i>Bacillus megaterium</i> GR10	(Lebbadi et al. 1994)
Antibiotics (bacitracin, licheniformin, proticin)	Antibiotics which are secreted and may inhibit competing organisms including Gram positive and Gram negative bacteria, yeasts and molds	(Reviewed in Katz and Demain, 1977)
Bacillocin, BLIS P40, BLIS ZJU12, BLIS MKU3, peptide A-12 C	Antibacterial and antifungal activity	(Reviewed in Abriouel et al. 2011)
Bacteriocin BL8	<ul style="list-style-type: none"> • Thermostable, broad pH range • Antibacterial against Gram positive bacteria 	(Smitha and Bhat, 2012)
Bacteriocin-like peptide	Broad spectrum antagonistic activity activities	(He et al. 2006)

Substance Name	Activity	References
	against fungal pathogens and Gram positive bacteria but not most Gram negative bacteria	
leodoglucomides A and B	<ul style="list-style-type: none"> • Cytotoxic activity against lung and stomach cancer cells lines • Moderate antimicrobial activity 	(reviewed in Tareq et al. 2012)
Lichenicidin (α , β)	Antibacterial activity associated with the cell surface	(Reviewed in Abriouel et al. 2011; Begley et al. 2009; Dischinger et al. 2009)
Lichenin	Bacteriocin produced under anaerobic conditions	(Reviewed in Abriouel et al. 2011)
Lichenysin	Lipopeptide that acts as an anionic biosurfactin as well as an antimicrobial	(Li et al. 2010; Nerurkar, 2010; Nieminen et al. 2007)
Surfactin, iturin, acivicin, arthrobactin, rhodotorola acid, valinomycin	Antibacterial and antifungal activity, inhibition of growth	(Wulff et al. 2002)

2216 **Table A-43: Antimicrobial compounds produced in some strains of *B. subtilis***

Substance Name	Activity	Reference
Anti-bacterials (ericin S and A, sublancin 168, subtilin B, subtilosin A and A1, mersacidin, betacin, MJP1, Bac 14B, LFB112)	Bacterial activity against bacterial pathogens	(Reviewed in Abriouel et al. 2011)
Antibiotics (mycobacillin, subtilin, bacilysin, bacillomycin, fungistatin, bulbiformin, bacillin, subsporin, bacillocin, mycosubtilin, fungocin, iturin, neocidin, eumycin)	Antibiotics which may inhibit competing organisms including Gram positive and negative bacteria as well as yeasts and molds	(reviewed in Katz and Demain, 1977)
Antibiotics (subtilin, ericin, mersacidin, sublancin 168, subtilosin, surfactin, iturin, bacillomycin, mycosubtilin, fengycin, plipastatin, corneybactin, bacilysin, bacilysocin, amicoumacin, mycobacillin, TL-119, rhizocticin, difficidin, 3,3'-neotrehalos-adiamine 168)	Anti-microbial activity, biofilm and swarming development, pheromones in quorum sensing and 'killing factor'.	(reviewed in Stein, 2005)
Heat-stable, protease resistant antimicrobial substance	Inhibits growth of many bacteria	(reviewed in Sorokulova et al. 2008)
Subtilin	<ul style="list-style-type: none"> • Antimicrobial peptide • Affects pore formation in the cytoplasmic membrane • Produced in higher amounts under starvation 	(Reviewed in Abriouel et al. 2011)

Substance Name	Activity	Reference
	conditions to eliminate competing species and increase available nutrients	
Surfactin	<ul style="list-style-type: none"> Lipopeptide that acts as a biosurfactin and a potent antimicrobial 	(Li et al. 2010)

2217 **Table A-44: Toxic metabolites produced by some strains of *B. amyloliquefaciens***

Substance Name	Activity	References
Amylosin	<ul style="list-style-type: none"> Inhibits boar sperm motility Cytotoxic to feline lung cells 	(Mikkola et al. 2007)

2218 **Table A-45: Toxic metabolites produced by some strains of *B. licheniformis***

Substance Name	Activity	References
Non-emetic heat stable cytotoxic component	Cytotoxicity activity	(De Jonghe et al. 2010)
Heat labile cytotoxic substance	Cytotoxicity activity	(De Jonghe et al. 2010)
<i>B. cereus</i> -like protein toxin	<ul style="list-style-type: none"> Reduction in cellular metabolic activity Cytotoxic activity 	(Beattie and Williams, 1999)
Emetic toxin	<ul style="list-style-type: none"> Induces vomiting if ingested Ionophoric uptake of K⁺ resulting in the dissipation of the transmembrane potential, stimulating swelling and respiration in mitochondria which leads to their inactivation 	(Biesta-Peters et al. 2010; Reviewed in From et al. 2005)
Hemolysin BL (Hbl) enterotoxin	Causes diarrhea	(Rowan et al. 2001)
Heat labile <i>B. cereus</i> diarrheal-like toxin	<ul style="list-style-type: none"> Cytotoxic to McCoy cells causing leaky membranes, disrupts cell surfaces and decreases metabolic activity 	(Lindsay et al. 2000)
Lichenysin	<ul style="list-style-type: none"> Inhibits sperm motility Synthesized in both aerobic and anaerobic condition during growth Species specific variations (A, B, C, D, G and surfactant BL86) 	(Li et al. 2010; Nerurkar, 2010; Nieminen et al. 2007)
Lichenysin A	<ul style="list-style-type: none"> Causes loss of motility, damage to plasma membrane and acrosome, loss of cellular NADH and ATP in boar spermatozoa Toxic towards natural (non-malignant) mammalian cells May be produce aerobically and anaerobically More powerful compared to surfactin and lichenysin B 	(Mikkola et al. 2000; Yakimov et al. 1996)
Non-proteinaceous, heat-stable, sperm toxic agent	<ul style="list-style-type: none"> Inhibits sperm motility and swells acrosome Damages cell membrane integrity Depletes cellular ATP Beta-hemolytic activity 	(Salkinoja-Salonen et al. 1999)

Substance Name	Activity	References
NucB	<ul style="list-style-type: none"> • Degrades extracellular DNA that is an essential building block of both single species and mixed biofilms • Nontoxic deoxyribonuclease • Sporulation-specific enzyme 	(Rajarajan et al. 2013; Shakir et al. 2012)
Surfactin	Inhibits phytopathogenic fungi	(Nerurkar, 2010)

2219 **Table A-46: Toxic metabolites produced by some strains of *B. subtilis***

Substance Name	Activity	References
Hemolysin BL (Hbl) enterotoxin	Causes diarrhea	(Rowan et al. 2001)
Non-emetic heat stable cytotoxic component and a heat labile cytotoxic substance	Cytotoxicity activity	(De Jonghe et al. 2010)
Protolytic and lipolytic substances	Lysis of proteins and lipids	(De Jonghe et al. 2010)
Putative emetic toxin	Causes nausea and vomiting	(From et al. 2005)

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2221 **Appendix 10: Virulence and pathogenicity testing of DSL**

2222 ***B. licheniformis/subtilis* strains: Cytotoxicity**

2223 Data generated by Health Canada’s Healthy Environments and Consumer Safety
 2224 Branch. The MTT Assay was used to determine the cytotoxic potential of the strains
 2225 towards HT29 (colonic epithelial cells) and J774A.1 (macrophage cells). MTT is a
 2226 yellow, soluble bromide salt which is reduced to a purple, insoluble formazan crystal by
 2227 dehydrogenase enzymes of living cells (indicating mitochondrial activity). In the crystal
 2228 state after reduction, it is trapped inside the cell. DMSO or another solvent such as
 2229 isopropanol or mineral oil can be used to solubilize the formazan, which can then exit
 2230 the cell, turning the solvent a purple colour that is detectable with a spectrophotometer.
 2231 This assay is suitable for animal cells that are adherent. Metabolically active bacterial
 2232 cells can also reduce MTT also. Since most animal cells are not adherent bacteria and
 2233 their formazan contribution can be rinsed away with PBS prior to solubilisation.

2234 HT29 and J774A.1 were incubated at 37°C in the presence of 5% carbon dioxide.
 2235 Mammalian cells were dosed with 10⁶ CFU/well of vegetative bacteria for 2, 4 and 24
 2236 hours. Dose cells were washed twice with PBS before adding MTT.

2237 Loss in bioreduction activity of the cell lines toward MTT was measured to determine
 2238 the cytotoxic potential of the DSL *B. licheniformis/subtilis* group strains. Cytotoxicity is
 2239 related to increased losses in bioreduction activity of the cell lines.

2240 **Table A-47: Cytotoxic potential of DSL *B. licheniformis/subtilis* strains towards**
 2241 **HT29 cells with gentamicin at 2, 4 and 24 hours**

DSL Strain ^a	2 hours	4 hours	24 hours
<i>B. amyloliquefaciens</i> 13563-0	w ^b	w	w
<i>B. atrophaeus</i> 18250-7	w ^c	w	w
<i>B. licheniformis</i> ATCC 12713	w	w	w
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	w	-	-
<i>Bacillus</i> species 16970-5	w	-	w
<i>Bacillus</i> species 2 18118-1	-	-	-
<i>Bacillus</i> species 4 18121-4	w	w	w

2242 ^a No data available for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and
 2243 *Bacillus* species 7 18129-3

2244 ^b w, weak cytotoxic activity (5-50% bioreduction loss)

2245 ^c Related to structural components

2246 **Table A-48: Cytotoxic potential of DSL *B. licheniformis/subtilis* strains towards**
 2247 **HT29 cells without gentamicin at 2, 4 and 24 hours**

DSL Strain	2 hours	4 hours	24 hours
<i>B. amyloliquefaciens</i> 13563-0	w ^a	w	w
<i>B. atrophaeus</i> 18250-7	w ^b	w	w
<i>B. licheniformis</i> ATCC 12713	w	w	+ ^{c,d}
<i>B. subtilis</i> ATCC 6051A	ND ^e	w	w
<i>B. subtilis</i> ATCC 55405	ND	- ^f	-
<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	ND	w	w

<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	w	w	+
<i>Bacillus</i> species 16970-5	w	w	+
<i>Bacillus</i> species 2 18118-1	w	w	+ ^c
<i>Bacillus</i> species 4 18121-4	w	w	w
<i>Bacillus</i> species 7 18129-3	ND	w	w

- 2248 ^a w, weak cytotoxic activity (5-50% bioreduction loss)
2249 ^b Related to structural components
2250 ^c +, cytotoxic activity (>50% bioreduction loss)
2251 ^d Growth-related
2252 ^e ND, no data
2253 ^f -, no cytotoxic activity (< 5% bioreduction loss)

2254 **Table A-49: Cytotoxic potential of DSL *B. licheniformis/subtilis* strains towards**
2255 **J774A.1 cells with gentamicin at 2, 4 and 24 hours**

DSL Strain ^a	2 hours	4 hours	24 hours
<i>B. amyloliquefaciens</i> 13563-0	- ^b	-	-
<i>B. atrophaeus</i> 18250-7	w ^{c,d}	w	w
<i>B. licheniformis</i> ATCC 12713	-	-	w
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	w	w	w
<i>Bacillus</i> species 16970-5	w	w	w
<i>Bacillus</i> species 2 18118-1	-	-	-
<i>Bacillus</i> species 4 18121-4	-	w	w

- 2256 ^a No data available for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and
2257 *Bacillus* species 7 18129-3
2258 ^b -, no cytotoxic activity (< 5% bioreduction loss)
2259 ^c w, weak cytotoxic activity (5-50% bioreduction loss)
2260 ^d Related to structural components

2261 **Table A-50: Cytotoxic potential of DSL *B. licheniformis/subtilis* strains towards**
2262 **J774A.1 cells without gentamicin at 2, 4 and 24 hours**

DSL Strain	2 hours	4 hours	24 hours
<i>B. amyloliquefaciens</i> 13563-0	- ^a	-	-
<i>B. atrophaeus</i> 18250-7	w ^{b,c}	w	w
<i>B. licheniformis</i> ATCC 12713	w	-	w
<i>B. subtilis</i> ATCC 6051A	ND ^d	w	w
<i>B. subtilis</i> ATCC 55405	ND	-	w
<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	ND	-	w
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	-	-	+ ^e
<i>Bacillus</i> species 16970-5	-	-	w
<i>Bacillus</i> species 2 18118-1	-	-	+ ^f
<i>Bacillus</i> species 4 18121-4	-	-	w
<i>Bacillus</i> species 7 18129-3	ND	-	w

- 2263 ^a -, no cytotoxic activity (< 5% bioreduction loss)
2264 ^b w, weak cytotoxic activity (5-50% bioreduction loss)
2265 ^c Cytotoxic activity related to structural components
2266 ^d ND, No data
2267 ^e +, cytotoxic activity (>50% bioreduction loss)
2268 ^f Cytotoxic activity related to growth

2269 **Appendix 11: Pathogenicity, toxicity and irritation testing results for**
 2270 **strains of the *B. subtilis* complex on terrestrial and aquatic**
 2271 **vertebrates, invertebrates and plants**

2272 **Table A-51: Pathogenicity, toxicity and irritation testing results for**
 2273 ***B. amyloliquefaciens* strain FZB24^a**

Study Type	Target organism	Dose concentration	Outcome
Acute oral toxicity and infectivity	CD rats	1.3×10^8 CFU/animal	Low toxicity, not pathogenic LD ₅₀ > 1.3×10^8 CFU/animal
Acute pulmonary toxicity and infectivity	CD rats	0.1 mL of at least 1.4×10^8 viable CFU/animal	Low toxicity, not pathogenic LD ₅₀ > 1.4×10^8 CFU/animal
Acute pulmonary toxicity and infectivity	Rats	1.3×10^8 CFU	Not toxic/ Not pathogenic
Acute intravenous infectivity	CD rats	0.5 mL of at least 1.0×10^7 CFU/animal	Not pathogenic LD ₅₀ > 1.0×10^7 CFU/animal
Acute intravenous infectivity	Rats	1.7×10^8 CFU	Not toxic/ Not pathogenic
Acute dermal toxicity	Rabbits	2000 mg/kg BW ^b (1.5 to 2×10^{12} CFU/animal)	Low toxicity but severely irritating LD ₅₀ > 2000 mg/kg
Primary dermal irritation	Rabbits	0.5 g granular test substance (7.0×10^{10} CFU/g) and 0.5 mL of 1.5% w.v suspension	No irritation observed
Primary eye irritation	Rabbit	3.6×10^{10} CFU	Eye irritant
Acute dermal irritation	New Zealand white rabbit	2000 mg/kg/BW (1.5 to 2×10^{12} CFU/animal)	Low toxicity and severely irritating LD ₅₀ > 2000 mg/kg/BW
Avian oral toxicity	Northern bobwhite (<i>Colinus virginianus</i>)	10 mL/kg BW or 1.0×10^9 CFU/g BW	NOEL ^c : 1.0×10^9 CFU/animal
Terrestrial arthropod toxicity	Adult bees (<i>Apis mellifera</i>)	10^5 CFU/mL	No signs of toxicity or pathogenicity LC ₅₀ > 1.0×10^6 CFU/mL
Terrestrial arthropod toxicity	Larva (<i>Apis mellifera</i>)	6.0×10^3 CFU/larva	LC ₅₀ > 6.0×10^3 CFU/larva
Terrestrial non-arthropod invertebrates	Worm (<i>Eisenia fetida</i>)	6.0×10^{11} CFU/kg soil	NOEC ^d : 6.0×10^{11} CFU/kg soil
Freshwater fish toxicity/pathogenicity	Rainbow trout (<i>Oncorhynchus mykiss</i>)	1.85×10^9 CFU/kg to 1.85×10^{10} CFU/L (active ingredient)	LC ₅₀ > 1.85×10^{10} CFU/L NOEC: 1.85×10^{10} CFU/L
Freshwater invertebrate toxicity/pathogenicity	<i>Daphnia magna</i>	Up to 1.85×10^{10} CFU/L	LC ₅₀ > 1.85×10^{10} CFU/L NOEC: 1.85×10^{10} CFU/L

2274 ^a Studies done with the technical grade active ingredient and not the end-use product containing the micro-organism,
 2275 (PMRA-HC, 2012; U.S. EPA, 2012)

2276 ^b BW, body weight

2277 ^c NOEL, no observed effect level is the highest dose of the test substance in the test substrate at which no
 2278 statistically significant effect on the test organism was observed, relative to the control

2279 ^d NOEC, no observed effect concentration is the highest concentration of the test substance in the test substrate at
 2280 which no statistically significant effect on the test organism was observed, relative to the control

2281 **Table A-52: Pathogenicity, toxicity and irritation testing results for**
 2282 ***B. amyloliquefaciens* strain D747^a**

Study Type	Target organism	Dose concentration	Outcome
Acute oral toxicity	Sprague-Dawley rats (5 week old, male and female)	10 ⁸ CFU/animal	Not toxic, infective or pathogenic LD ₅₀ > 5000 mg/kg
Acute pulmonary toxicity	Sprague-Dawley rats (5 week old, male and female)	10 ⁷ CFU/animal	Not toxic or pathogenic LC ₅₀ > 2.18 mg/L
Acute injection	Sprague-Dawley rats (5 week old, male and female)	10 ⁷ CFU/animal	Not toxic, infective, or pathogenic LD ₅₀ > 5050 mg/kg
Acute eye irritation	New Zealand white rabbits	0.1 mL of the end-use product	Eye irritant
Primary dermal irritation	New Zealand white rabbits	500 mg of the end-use product	No evidence of irritation
Avian oral toxicity	Northern bobwhite quail (<i>Colinus virginianus</i>)	8.9 × 10 ⁹ spores/bird	Not toxic LD ₅₀ > 4.5 × 10 ¹¹ spores/kg BW or > 8.0 × 10 ¹⁰ spores/bird
Freshwater fish toxicity/pathogenicity	Rainbow trout (<i>Oncorhynchus mykiss</i>)	1.7 × 10 ⁸ CFU/L	LC ₅₀ : 8.1 × 10 ¹⁰ CFU/L NOEC: 1.44 × 10 ¹⁰ CFU/L
Freshwater invertebrate toxicity/pathogenicity	<i>Daphnia magna</i>	1.7 × 10 ⁸ CFU/L	EC ₅₀ : 3.7 × 10 ¹⁰ CFU/L NOEC: 2.84 × 10 ⁸ CFU/L

2283 ^a Studies done with the technical grade active ingredient and not the end-use product containing the micro-organism,
 2284 (U.S. EPA, 2011)

2285 **Table A-53: Pathogenicity, toxicity and irritation testing results for**
 2286 ***B. licheniformis* strain SB3086^a**

Study Type	Target organism	Dose concentration	Outcome
Acute oral toxicity/pathogenicity	Rats	1.0 × 10 ⁸ CFU/animal ^b	Not toxic, infective, or pathogenic LD ₅₀ > 5000 mg/kg
Acute pulmonary toxicity/pathogenicity	Rats	1.1 × 10 ⁸ CFU/animal ^b	Not toxic, infective, or pathogenic
Acute intravenous toxicity/pathogenicity	Rats	1.0 × 10 ⁷ CFU/animal ^b	Not toxic, infective, or pathogenic
Acute dermal toxicity	New Zealand white rabbits	ND ^{c,d}	LD ₅₀ > 5050 mg/kg
Primary eye irritation	New Zealand white rabbits	0.1 mL/animal (concentration not provided) ^d	Non-irritating
Delayed contact hypersensitivity	Guinea Pigs	ND ^d	Not a dermal sensitizer
Avian oral toxicity/pathogenicity	Young mallards (<i>Anas platyrhynchos</i>)	4.5 × 10 ¹⁰ CFU/kg of BW ^b	No signs of illness or abnormal behaviour observed
Fresh water fish toxicity/pathogenicity	Rainbow trout (<i>Oncorhynchus mykiss</i>)	LC ₅₀ (of Formula 710-132) > 1.1 × 10 ⁶ CFU/mL ^b	No effects as the result of the active microbial agent observed

Study Type	Target organism	Dose concentration	Outcome
Fresh water aquatic invertebrate toxicity/pathogenicity (21-day renewal life-cycle)	<i>Daphnia magna</i>	1×10^4 CFU/mL ^b	LC ₅₀ : 1.8×10^6 CFU/mL NOAEC ^d : 1.2×10^6 CFU/mL ^{b,e} 2 daphnids died at the end of the test at 1×10^7 CFU/mL (1000 times the expected environmental concentration)
Invertebrate toxicity/pathogenicity	Honeybee larvae (<i>Apis mellifera</i> L.)	1.6×10^6 CFU/mL (of Formula 710-132) ^f	No statistically significant effects on larvae survival, adverse behaviour or developmental abnormalities observed

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^a (U.S. EPA, 2001)

^b TGA1, technical grade active ingredient

^c ND, no data

^d NOAEC, no observed adverse effect concentration

^e Formulation ingredients are known aquatic toxicants at high concentrations

^f EP, end product

2293 **Table A-54: Pathogenicity, toxicity and irritation testing results for several strains**
2294 **of *B. licheniformis* and *B. subtilis***

Study Type	Target organism	Dose concentration	Outcome
Experimental infection (intravenous inoculation) ^a	Immune depressed BALB/c mice	4×10^7 CFU	Numerous and larger lesions in many organs and more severe infection with lesions occurring in more organs compared to healthy/normal mice used in the study
Experimental infection (intravenous inoculation) ^a	Normal mice	4×10^7 CFU	Lesions observed in liver and kidneys
Experimental infection (intravenous inoculation) ^b	Immune depressed BALB/c mice	Intravenous doses of $<10^6$ to 10^{10} CFU	Only brain and pulmonic lesions could be definitely attributed to <i>B. licheniformis</i> . Mice were able to clear high numbers of bacteria within 1 week
Experimental infection (intravenous inoculation) ^c	Pregnant crossbred Red Danish Dairy X American Brown Swiss cows (6-8 months of gestation, n=8) and their calves	Intravenous doses of $<10^9$ to 10^{12} CFU (once or on 4 consecutive days)	Demonstration of the abortifacient potential of <i>B. licheniformis</i> and the tropism for the bovine placenta. Lesions in the fetal membranes, the fetal side of the placentomes, necrosis in the fetal compartment of the placenta and inflammation in some calves. Two abortions were observed
Cytotoxic Activity ^d	Boar sperm motility inhibition	1-10 µg/mL	EC ₅₀ : 20-30 µg/mL
Acute eye irritation study ^e	Male albino rabbits	0.1 g of 1.1×10^{11} CFU/kg BW	No irritation or negative symptoms in the cornea or iris
Acute skin irritation study ^e	Male albino rabbits	0.5 g of 1.1×10^{13} CFU/kg BW	No clinical signs of erythema or oedema
Acute oral toxicity (14-day) ^e	Adult male albino Wistar rats	1.1×10^{11} CFU/kg BW	No treatment-related changes
Subchronic oral	Male and female	1.1×10^{11} CFU/kg	NOAEL ^f $>1.1 \times 10^{11}$ CFU/kg BW

Study Type	Target organism	Dose concentration	Outcome
toxicity (13-week) ^e	Wistar rats	BW and 1.1×10^{11} CFU/kg BW	
Micronucleus assay (2 days at 24 hour intervals) ^e	Adult male and female Swiss albino mice (CFT strain)	1.1×10^{10} CFU/kg BW and 1.1×10^{11} CFU/kg BW	No signs of bone marrow cytotoxicity and no observed genocytotoxicity
Oral Pathogenicity and Toxicity Study ^g	Bobwhite quails (<i>Colinus virginianus</i>)	3,333 kg/mg daily for 5 days	LD ₅₀ > 2,000 mg/kg

- 2295 ^a *B. licheniformis* ATCC 14580, (Agerholm et al. 1995)
- 2296 ^b 13 strains of *B. licheniformis*, (Agerholm et al. 1997)
- 2297 ^c *B. licheniformis* strain DVL 9315323, (Agerholm et al. 1999)
- 2298 ^d *B. licheniformis* strains NR 5160 and NR 6768 (toxic heat-stable non-protein substance), (Nieminen et al. 2007)
- 2299 ^e *B. licheniformis* strain Me I, The concentration used in the study corresponds to 77×10^{11} CFUs for an average 70 kg human being and thus the concentration used can be considered to be 2566 to 77000 times safe for human consumption (suggested human dose range: 1×10^8 to 3×10^9 CFUs) (Nithya et al. 2012)
- 2300 ^f NOAEL, no observed adverse effect level
- 2301 ^g *B. subtilis* strain GB03, (U.S. EPA, 1993)
- 2302
- 2303

2304 **Table A-55: Pathogenicity, toxicity and irritation testing results for a mixture**
 2305 **containing two strains of *B. licheniformis* and *B. subtilis*^a**

Study Type	Target organism	Dose concentration	Outcome
Acute toxicity	BALB/c mice	5×10^7 to 2×10^{11} CFU/mouse (oral administration)	No changes in tissues, organs or weight
Acute toxicity	BALB/c mice	5×10^7 to 5×10^9 CFU/mouse (IP ^b or IV ^c administration)	No changes in tissues, organs or weight
Chronic toxicity	Mice	1×10^6 CFU/day	No effect on health status
Chronic toxicity	Rabbits	1×10^9 CFU/day	No effect on health status
Chronic toxicity	Piglets	1×10^9 CFU/day	No effect on health status

- 2306 ^a *B. licheniformis* strain VKPM B2336 and *B. subtilis* strain VKPM B2335, (Sorokulova et al. 2008)
- 2307 ^b IP, intraperitoneal
- 2308 ^c IV, intravenous

2309 **Table A-56: Pathogenicity, toxicity and irritation testing results for *B. subtilis***
 2310 **strain QST 713^a**

Study Type	Target organism	Dose concentration	Outcome
Acute oral toxicity and infectivity	CD rats	1.13×10^8 CFU/animal	Non-toxic and not infective LD ₅₀ > 1.13×10^8 CFU/animal
Acute pulmonary toxicity and infectivity	CD rats	1.2×10^8 CFU/animal	Non-toxic and not infective LD ₅₀ > 1.2×10^8 CFU/animal
Intravenous Infectivity	CD rats	9.4×10^8 CFU/animal	Non-infective
Acute dermal toxicity	CD rats	2 g/kg BW (2.3×10^{11} to 2.73×10^{11} CFU/animal)	Low toxicity LD ₅₀ > 2g/kg BW
Eye irritation	Rabbits	0.1 ml (4.8×10^9 CFU/animal)	Minimally irritating
Dermal irritation	Rabbits	500 mg (2.4×10^{10} CFU/animal)	Slightly irritating
Avian oral toxicity	Northern bobwhite quail (<i>Colinus virginianus</i>)	1×10^8 CFU/g BW/day (5000 mg/kg BW/day)	LD ₅₀ > 5000 mg/kg BW

Study Type	Target organism	Dose concentration	Outcome
Freshwater fish	Rainbow trout (<i>Oncorhynchus mykiss</i>)	Max dose: 1.4×10^7 CFU/mL	LC ₅₀ : 1.4×10^7 CFU/mL
Freshwater aquatic invertebrate (48-hour)	<i>Daphnia magna</i>	5×10^5 CFU/mL, 1×10^6 CFU/mL, 2×10^6 CFU/mL and 4×10^6 CFU/mL	Mortality of 15, 15, 45 and 85% respectively and lethargy of surviving daphnids in the 100mg/L treatment
Freshwater aquatic invertebrate (21-day)	<i>Daphnia magna</i>	5×10^5 CFU/mL, 1×10^6 CFU/mL, 2×10^6 CFU/mL and 4×10^6 CFU/mL	LC ₅₀ ~ 3×10^5 CFU/mL NOEC: 7.5×10^3 CFU/mL
Freshwater aquatic invertebrate (21-day)	<i>Daphnia magna</i>	7.9×10^5 CFU/mL, 1.8×10^6 CFU/mL, 3.4×10^6 CFU/mL, 7.3×10^6 CFU/mL and 2.0×10^7 CFU/mL	LC ₅₀ ~ 1.6×10^6 CFU/mL NOEC: 7.9×10^5 CFU/mL
Freshwater aquatic invertebrate	Grass shrimp (<i>Palaemonetes pugio</i>)	4.0×10^6 CFU/g	LC ₅₀ > 4.0×10^6 CFU/mL
Aqueous plant	Single cell green alga (<i>Scenedesmus subspicatus</i>)	Max dose: 5.1×10^5 CFU/mL	NOEC ≥ 100 mg/L LOEC > 100 mg/L
Non-target insect study (oral/dietary)	Honey Bee - <i>Apis mellifera</i> L.	Max dose: 100,000 ppm	LD ₅₀ > 100,000 ppm
Dietary toxicity/pathogenicity	Honey Bee - <i>Apis mellifera</i> L.	600, 6,000 and 60,000 ppm	LC ₅₀ : 5663 ppm
Non-target insect study (oral/dietary)	Green lacewing (<i>Chrysoperla carnea</i>)	Max dose: 60000 ppm	LC ₅₀ > 60,000 ppm
Non-target insect study (oral/dietary)	Ladybird beetle - <i>Hippodamia convergens</i>	Max dose: 1.2×10^9 CFU/mL (60000 ppm)	LC ₅₀ > 60,000 ppm NOEC: 60,000 ppm (1.2×10^9 CFU/g)
Toxicity and pathogenicity test (30 days)	Parasitic Hymenoptera - <i>Nasonia vitripennis</i>	600, 6,000 and 60,000 ppm	LC ₅₀ : 28,000 ppm (15 days)
Non-target insect study (oral/dietary)	Parasitic Hymenoptera - <i>Nasonia vitripennis</i>	Max dose: 3.2×10^9 CFU/mL (60000 ppm)	LC ₅₀ ~ 24,739 ppm

^a Studies done with the technical grade active ingredient (Mendelsohn and Vaituzis, 1999; U.S. EPA, 2006; U.S. EPA, 2010; PMRA-HC, 2007b).

Table A-57: Pathogenicity, toxicity and irritation testing results for *B. subtilis* strain MBI 600^a

Study Type	Target organism	Dose concentration	Outcome
Acute oral toxicity and infectivity	CD Rats	2.0×10^8 spores	Low toxicity, not infective LD ₅₀ > 2×10^8 CFU
Acute pulmonary toxicity and infectivity	CD Rats	3.3×10^8 to 3.7×10^8 spores	Toxic, not infective LD ₅₀ > 3.5×10^8 CFU
IV infectivity	CD Rats	10^7 spores	No significant signs of toxicity
Acute dermal toxicity	New Zealand White Rabbits	2mL/kg body weight	Low toxicity (slight oedema) LD ₅₀ > 2mL/kg body weight
Eye irritation	New Zealand White	1.0×10^9 CFU	Minimally irritating

Study Type	Target organism	Dose concentration	Outcome
	Rabbits		
Dermal irritation	New Zealand White Rabbits	2.0 × 10 ¹⁰ CFU	Minimally irritating
Acute Avian Oral Toxicity and Pathogenicity	Bobwhite quails (<i>Colinus virginianus</i>)	GUS 378 Concentrate: 4000 mg/kg BW/day	Low toxicity, not pathogenic
Acute Avian Oral Toxicity and Pathogenicity	Bobwhite quails (<i>Colinus virginianus</i>)	Water-soluble metabolites: 240 mg/kg BW/day	Low toxicity, not pathogenic
Acute Avian Oral Toxicity and Pathogenicity	Bobwhite quails (<i>Colinus virginianus</i>)	Washed spores: 3680 mg/kg BW/day	Low toxicity, not pathogenic
Plant Toxicity and Pathogenicity	Soybean seeds	10 ⁵ to 10 ⁷ viable spores/seed	Not pathogenic
Freshwater Fish Toxicity and Infectivity	Carp	2.0 × 10 ⁶ CFU/mL, 2.0 × 10 ⁷ CFU/mL, 2.0 × 10 ⁸ CFU/mL	No treatment-related toxicity or pathogenicity

2315 ^a Studies done with the technical grade active ingredient and not the end-use product containing the micro-organism,
2316 (PMRA-HC, 2007a)

2317 **Table A-58: Pathogenicity and toxicity testing results for *B. subtilis* ATCC 6051A**
2318 **and ATCC 55405^a**

Study Type	Target organism	Dose concentration	Outcome
Pathogenicity/toxicity testing	Red fescue (<i>Festuca rubra</i>)	10 ⁵ CFU/g soil dry weight	Shoot length significantly affected (p = 0.03) ^b
Pathogenicity/toxicity testing	Springtail (<i>Folsomia candida</i>)	10 ³ CFU ^c /g soil dry weight ; 10 ⁴ CFU ^d /g soil dry weight	<ul style="list-style-type: none"> • Significant reduction (p < 0.01) in juvenile production^c • No juvenile production (statistical analysis could not be performed)^d • Adult survival not affected by either strain
Pathogenicity/toxicity testing	Earth worm (<i>Eisenia andrei</i>)	10 ⁵ CFU ^c /g soil dry weight; 10 ⁴ CFU ^d /g soil dry weight	No adverse effects reported

2319 ^a Data generated by Environment Canada's Biological Methods Division

2320 ^b The survival, growth and reproduction of test organisms were significantly inhibited in the field-collect soil relative to
2321 the artificial soil

2322 ^c *B. subtilis* ATCC 55405

2323 ^d *B. subtilis* ATCC 6051A

2324 **Appendix 12: Virulence and pathogenicity testing of the DSL**
 2325 ***B. licheniformis/subtilis* strains**

2326 Murine exposure data generated by Health Canada’s Healthy Environments and
 2327 Consumer Safety Branch. Female BALB/c mice were exposed to 10⁶ CFU/25µL of
 2328 bacteria (vegetative cells or spores) via an endotracheal nebulizer for pulmonary
 2329 exposure. Animals were necropsied at 24 hours and 1 week for vegetative cells and
 2330 spores exposures respectively.

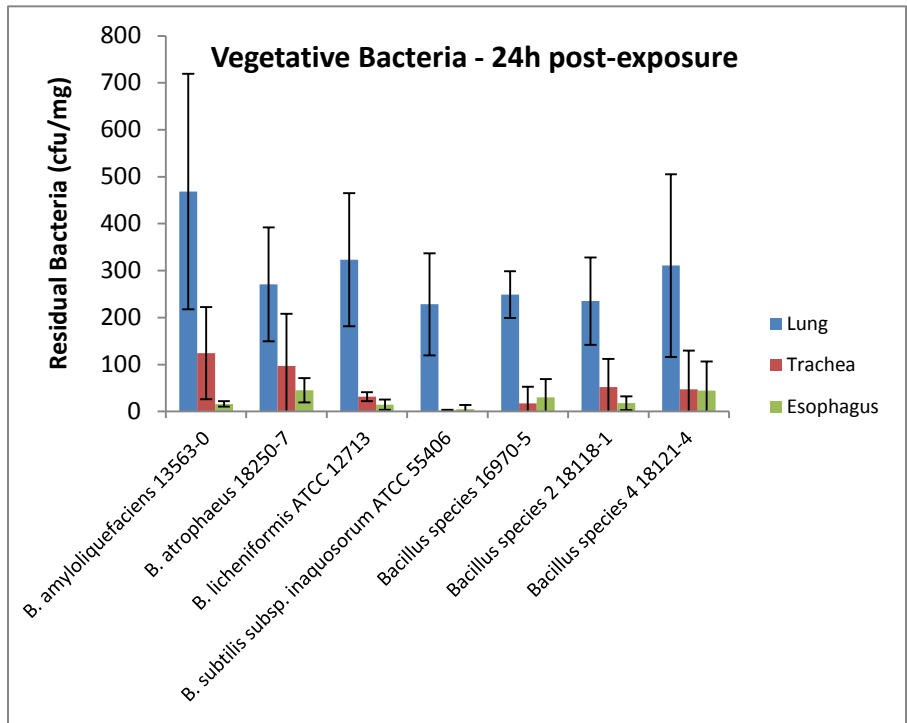
2331 **Clearance following endotracheal exposure**

2332 **Table A-59: Enumeration of vegetative cells (CFU/mg) of DSL**
 2333 ***B. licheniformis/subtilis* group strains following endotracheal exposure**

Strain ^a	Lung	Trachea	Esophagus
<i>B. amyloliquefaciens</i> 13563-0	468.5	124.1	16.1
<i>B. atrophaeus</i> 18250-7	270.7	96.6	45.0
<i>B. licheniformis</i> ATCC 12713	323.1	31.4	14.6
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	228.4	1.5	4.8
<i>Bacillus</i> species 16970-5	249.2	17.6	30.2
<i>Bacillus</i> species 2 18118-1	235.0	51.8	17.8
<i>Bacillus</i> species 4 18121-4	310.7	47.3	44.3

2334 ^a No data available for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and
 2335 *Bacillus* species 7 18129-3

2336 **Figure A-1: Enumeration of vegetative cells (CFU/mg) of DSL**
 2337 ***B. licheniformis/subtilis* group strains following endotracheal exposure**



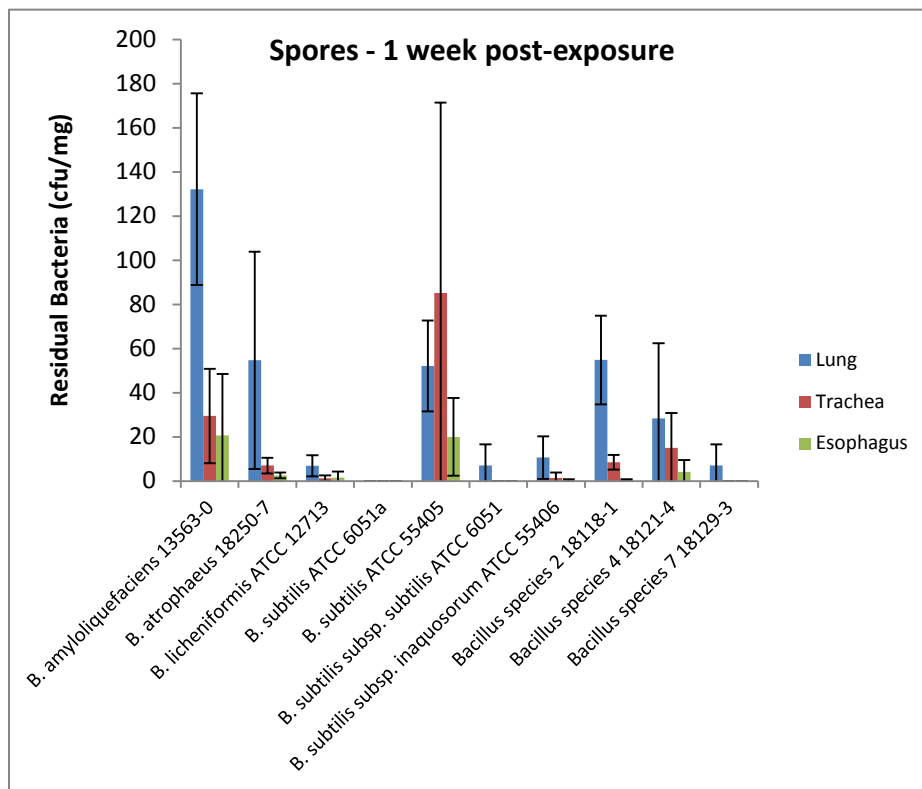
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2339 **Table A-60: Enumeration of spores (CFU/mg) of DSL *B. licheniformis/subtilis***
 2340 **group strains following endotracheal exposure**

Strain ^a	Lung	Trachea	Esophagus
<i>B. amyloliquefaciens</i> 13563-0	132.2	29.5	20.7
<i>B. atrophaeus</i> 18250-7	54.7	7.0	2.6
<i>B. licheniformis</i> ATCC 12713	6.9	1.3	1.6
<i>B. subtilis</i> ATCC 6051A	0.0	0.0	0.0
<i>B. subtilis</i> ATCC 55405	52.1	85.1	20.0
<i>B. subtilis</i> subsp. <i>subtilis</i> ATCC 6051	7.0	0.0	0.0
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	10.6	1.4	0.3
<i>Bacillus</i> species 2 18118-1	54.8	8.5	0.4
<i>Bacillus</i> species 4 18121-4	28.4	15.0	4.1
<i>Bacillus</i> species 7 18129-3	7.0	0.0	0.0

2341 ^a No data available for *Bacillus* species 16970-5

2342 **Figure A-2: Enumeration of spores (CFU/mg) of DSL *B. licheniformis/subtilis***
 2343 **group strains following endotracheal exposure**



2344

2345 **Pulmonary Cytokines**

2346 **Table A-61: Pulmonary cytokine expression (pg/mL) from vegetative cell**
 2347 **exposures**

DSL Strain ^a	IL-1 beta	IL-6	MCP-1	IL-12 (p70)	KC	TNF-alpha
Control (saline)	73.58 ± 13.26	2.02 ± 0.61	1501.94 ± 288.19	18.07 ± 2.26	7.32 ± 1.16	728.57 ± 107.77
<i>B. amyloliquefaciens</i> 13563-0	141.39 ± 60.31	1.46 ± 1.11	1597.70 ± 177.87	13.05 ± 5.77	62.46 ± 16.28	575.77 ± 58.43
<i>Bacillus atrophaeus</i> 18250-7	1619.34 ± 564.47	4.20 ± 0.73	4446.72 ± 1536.15	16.49 ± 9.96	452.83 ± 160.76	718.82 ± 135.08
<i>B. licheniformis</i> ATCC 12713	1818.28 ± 573.73	5.80 ± 1.94	6032.81 ± 2094.65	19.08 ± 7.44	387.79 ± 146.88	705.84 ± 228.92
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	262.22 ± 44.63	1.10 ± 0.49	1624.45 ± 242.78	12.23 ± 4.65	34.61 ± 19.19	591.58 ± 87.85
<i>Bacillus</i> species 16970-5	101.13 ± 5.94	1.10 ± 0.53	1651.50 ± 319.11	16.38 ± 5.78	26.29 ± 18.31	667.01 ± 133.49
<i>Bacillus</i> species 2 18118-1	1444.876 ± 778.68	4.53 ± 2.24	5554.10 ± 2162.64	13.95 ± 2.00	660.90 ± 74.56	647.20 ± 205.74
<i>Bacillus</i> species 4 18121-4	7545.602 ± 1988.01	53.43 ± 21.70	20278.06 ± 7401.54	24.40 ± 7.21	2082.50 ± 501.70	983.90 ± 172.32

2348 ^a No data available for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and
 2349 *Bacillus* species 7 18129-3

2350 **Table A-62: Pulmonary cytokine expression (pg/mL) from spore exposures**

DSL Strain ^a	IL-beta	IL-6	MCP-1	IL-12 (p70)	KC	TNF-alpha
Control (saline)	90.86 ± 13.65	2.29 ± 1.27	837.12 ± 147.20	12.62 ± 8.05	9.13 ± 2.46	484.79 ± 160.58
<i>B. amyloliquefaciens</i> 13563-0	98.07 ± 11.21	2.87 ± 1.75	921.78 ± 187.96	9.82 ± 7.62	11.89 ± 4.39	476.05 ± 167.15
<i>Bacillus atrophaeus</i> 18250-7	120.36 ± 52.91	2.53 ± 1.60	750.55 ± 146.43	14.23 ± 8.82	9.48 ± 2.70	521.90 ± 110.22
<i>Bacillus</i> species 2 18118-1	104.37 ± 9.54	2.13 ± 1.78	843.20 ± 101.71	13.86 ± 6.73	10.0 ± 3.74	526.06 ± 115.86
<i>Bacillus</i> species 4 18121-4	90.31 ± 19.54	3.06 ± 1.47	884.30 ± 105.05	17.10 ± 6.72	7.17 ± 0.74	374.76 ± 91.07

2351 ^a No data available for *B. licheniformis* ATCC 12713, *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis*
 2352 subsp. *inaquosorum* ATCC 55406, *Bacillus* species 16970-5 and *Bacillus* species 7 18129-3

2353 **Acute phase response**

2354 **Table A-63: Serum Amyloid A (SAA)^a Levels (µg/mL) in serum samples obtained**
 2355 **from BALB/c mice treated with vegetative cells or spores of DSL strains**

Strain ^b	Vegetative cells	Spores
Control (saline)	13.80 ± 3.52	14.08 ± 0.63
<i>B. amyloliquefaciens</i> 13563-0	17.87 ± 2.73	16.60 ± 2.09
<i>Bacillus atrophaeus</i> 18250-7	20.73 ± 1.21	21.56 ± 14.0

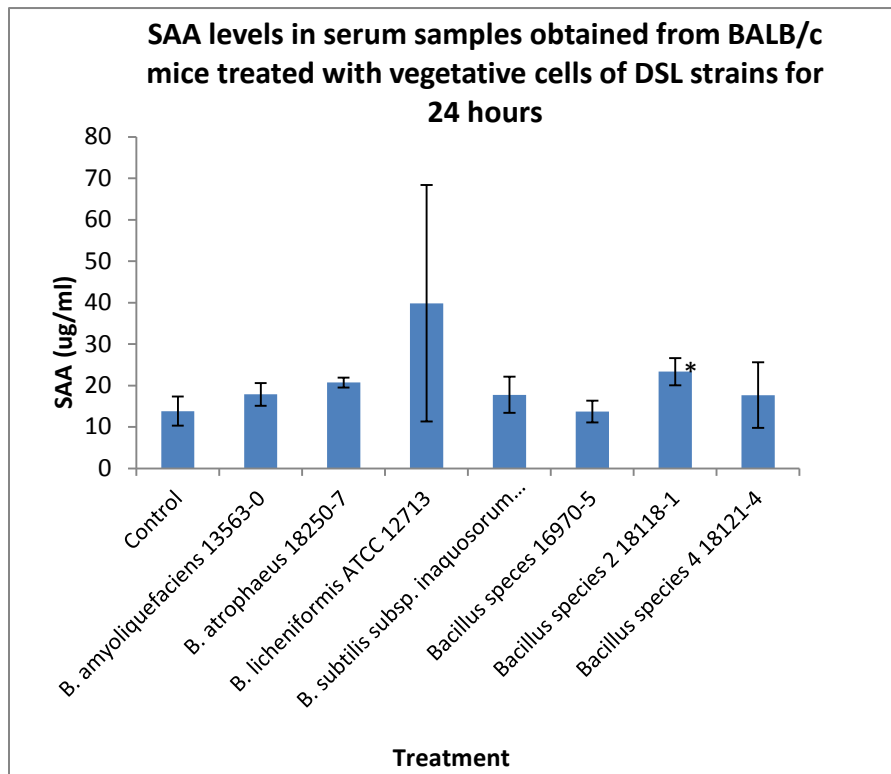
<i>B. licheniformis</i> ATCC 12713	39.54 ± 28.54	No data
<i>B. subtilis</i> subsp. <i>inaquosorum</i> ATCC 55406	17.76 ± 4.34	No data
<i>Bacillus</i> species 16970-5	13.72 ± 2.66	13.88 ± 3.10
<i>Bacillus</i> species 2 18118-1	23.35 ± 3.29	16.04 ± 2.38
<i>Bacillus</i> species 4 18121-4	17.68 ± 7.89	No data

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2358

^a Serum amyloid A, an indicator of systemic effects, was measured using ELISA
^b No data available for *B. subtilis* ATCC 6051A, *B. subtilis* ATCC 55405, *B. subtilis* subsp. *subtilis* ATCC 6051 and *Bacillus* species 7 18129-3

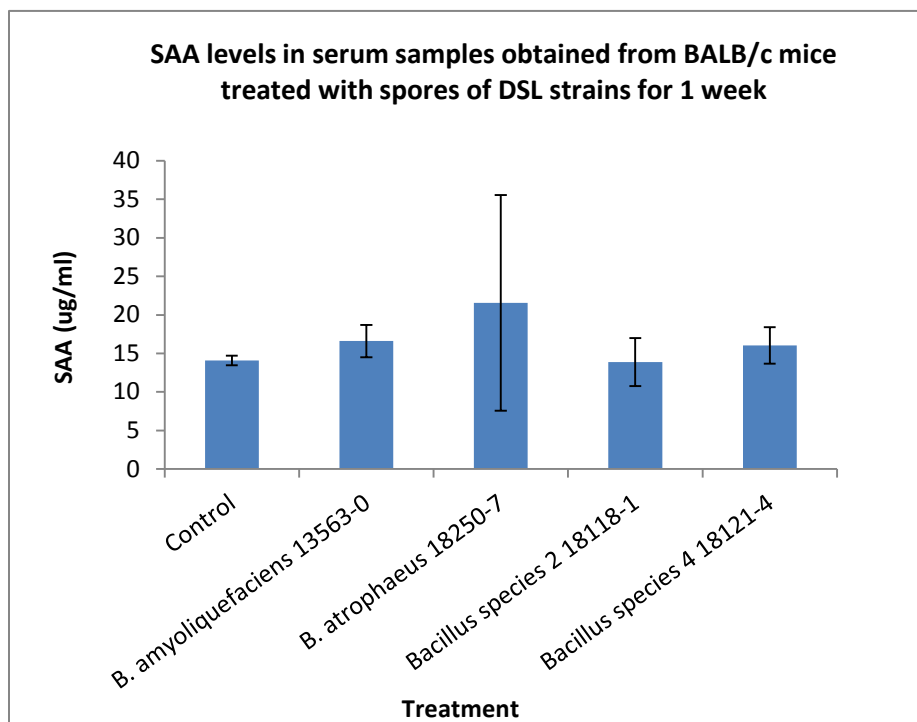
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Figure A-3: Serum Amyloid A (SAA) Levels (µg/mL) in serum samples obtained from BALB/c mice treated with vegetative cells of DSL strains



2361

2362 **Figure A-4: Serum Amyloid A (SAA) Levels ($\mu\text{g}/\text{mL}$) in serum samples obtained**
2363 **from BALB/c mice treated with spores of DSL strains**



2364

2365 **Appendix 13: Food poisoning outbreaks**

2366 **Table A-64: Food poisoning outbreaks involving *B. licheniformis***

Place	Year	# of Cases ^a	Symptoms	Cause	Death(s)
Veterans Administration Hospital, Denver, CO ^b	1959	161	Gastroenteritis including abdominal cramps, diarrhoea and vomiting	Cooked turkey meat that was held at room temperature overnight	1
Australia ^c	1976	49	Abdominal pain, diarrhoea and vomiting	Meals-on-wheels co-contaminated with <i>Clostridium perfringens</i> and <i>B. cereus</i>	1
Prison in Ohio, USA ^d	1995	165	No data	Turkey and gravy were implicated	No data
Kindergarten in Split, Croatia ^e	2000	12	Nausea, headache and vomiting	Contaminated milk powder that was prepared two hours prior to consumption and not boiled. Co-contamination with <i>B. subtilis</i>	0

2367 ^a Case refers to an individual person diagnosed with food poisoning
 2368 ^b (Tong et al. 1962), though the authors implicate *B. subtilis* as the causative agent, the food poisoning was likely
 2369 caused by *B. licheniformis* as the onset and symptoms are more in line with the description by Lund (1990) and
 2370 biochemical testing results appear to be closer to *B. licheniformis* (e.g. growth in salt and anaerobic growth).
 2371 ^c (Jephcott et al. 1977)
 2372 ^d (CDC 1995)
 2373 ^e (Pavić et al. 2005), contamination of food as the result of toxin-producing isolated of *B. licheniformis* and *B. subtilis*
 2374 was proven via vacuolation assay and MTT cell culture test.